Desmoplastic Small Round-cell Tumor: Retrospective Review of Institutional Data and Literature Review

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Abstract. Background: Desmoplastic small round-cell tumor (DSCRT) in adults is an extremely rare (age-adjusted incidence 0.3 per million) and aggressive sarcoma with limited data for optimal management. Patients and Methods: Retrospective analysis of patients with DSCRT diagnosis (2010-2020) was performed following Institutional Review Board approval. The follow-up period was from pathological diagnosis to the last patient contact. Endpoints were type of response and duration of response. Results: In the current analysis, first-line treatment in all cases was vincristine, anthracycline, and cyclophosphamide alternating with ifosfamide and etoposide (VAC-IE) with 100% response for a mean duration of 9.8 (range=5-12) months. Patients received 1-4 subsequent lines of therapy. All patients received temozolomide with irinotecan (50% partial response, duration 8-9 months). Two patients that underwent consolidative cytoreductive surgery hyperthermic intraperitoneal chemotherapy had a longer survival (30.6 vs. 11.2 months). Patients suffered 100% mortality with a median survival was 17.8 (range=11.2-30.6) months. Conclusion: While aggressive multimodality treatment is always warranted for DSCRT, the options are limited by the multicentric presentation, short-lived initial response and lack of established subsequent therapy portending a poor prognosis. Consolidative cytoreductive surgery following first-line therapy may improve survival.

Desmoplastic small round-cell tumor (DSCRT) in adults is a rare sarcoma predominantly affecting young adults, with an

This article is freely accessible online.

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Key Words: Sarcoma, carcinomatosis, metastatic cancer, chemotherapy.

age-adjusted incidence of 0.3 per million. It has been three decades since DSCRT was first described as a distinct entity by Gerald and Rosai (1), and our understanding of this disease continues to evolve. For instance, it is well established that the disease predominantly affects the young, with a median age at diagnosis of 22 years (2). Most patients that develop DSCRT harbor the unique chromosomal translocation t(11;22)(p13:q12), leading to the fusion of the N-terminal domain of Ewing's sarcoma (EWS) gene to the C-terminal domain of Wilms' tumor-suppressor gene (WT1) (3). While aggressive multimodality treatment is always warranted in patients with DSCRT given its aggressive nature, therapeutic options are often limited by the disease extent at presentation, which confers a poor prognosis (3-5). As a result, 60-70% of patients succumb to DSCRT within 2-3 years despite best care (6).

We describe our institutional experience with this rare and aggressive cancer in the following study. There is a gap of knowledge regarding the response of this cancer to novel systemic therapy agents. We therefore intended to specifically explore the impact of novel molecular targeted therapies and recent advances in surgical techniques, including cytoreductive surgery with hyperthermic intraperitoneal chemotherapy, on DSCRT.

Patients and Methods

Institutional Review Board approval was obtained (approval number 2019-270), and both the Allegheny Health Network cancer registry as well as the Pathology Department registry were queried to identify patients treated at Allegheny General Hospital for the diagnosis of "desmoplastic small round-cell tumor" over a 10-year duration from 2010-2020. Following the identification of patients, both the current and previous versions of the electronic medical records were queried for data for each case. This included queries through the electronic medical record repository for older cases.

The extracted information included patient demographics (name, age, race and gender), clinical characteristics (radiology and pathology including histology and immunohistochemistry staining),

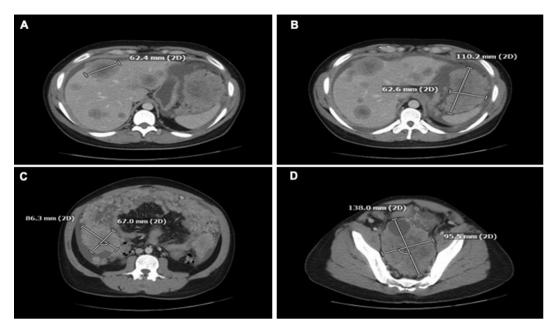


Figure 1. Cross-sectional computed tomographic imaging demonstrating extensive intra-abdominal involvement of tumor with liver metastases (A), and perigastric (B) pericolic (C) and pelvic (D) involvement, as well as carcinomatosis.

treatment history (chemotherapy, surgery) and outcomes (treatment toxicity, recurrence and survival). Names and abbreviations used for chemotherapy and surgery are standard and are defined at the first place of usage. The dosages were according to historically described treatment protocols for sarcoma and adjusted based on response or toxicity (7-15).

The follow-up period spanned from the date of pathological diagnosis to the date of last patient contact or date of death obtained from obituary records. Due to the small dataset/population size, comparative analysis was not performed. Categorical variables are summarized as percentages and continuous variables are summarized as means and ranges.

Results

Based on the review of records, seven patients were diagnosed with DSCRT at our Institution from 2010 to 2020, and data extraction was possible for four. All patients were White men with a mean age of 29 (range=27-30) years. The mean follow-up was 24 (range=8-31) months. All patients presented with gastrointestinal symptoms (abdominal distention, pain, nausea and vomiting) and computed tomography imaging demonstrated widespread peritoneal carcinomatosis in all of the patients (Figure 1). Two patients had liver metastases at presentation. Among these four patients, two were in the very high-risk and two in the high-risk categories (Table I).

Fluorescent *in situ* hybridization in all four cases demonstrated chromosomal translocation t(11;22)(p13;q12),

resulting in fusion of Ewing sarcoma RNA-binding protein 1 (EWSR1) and Wilm's tumor suppressor (WT1) genes. Positive immunohistochemical markers included cytokeratin CAM5.2 and desmin. While there was weak positivity for the neuroendocrine markers' neuron-specific enolase (one patient), cluster of differentiation CD57 and CD56 (one patient) and epithelial membrane antigen (one patient), stains were negative (100%) for synaptophysin and chromogranin. Representative samples from surgical and cytological DSCRT specimens are demonstrated in Figure 2. Next-generation sequencing analysis was performed in one case. Genomic alterations identified were Ewing sarcoma breakpoint region 1 (EWSR1)-WT1 fusion and Casitas B-lineage Lymphoma (CBL R149Q). Nextgeneration sequencing was attempted in another patient but failed due to insufficient sample.

All patients presented with advanced non-resectable disease and therefore received systemic chemotherapies as outlined in Table I. All patients received combination chemotherapy with vincristine, doxorubicin, cyclophosphamide alternating with ifosfamide and etoposide (VAC-IE) as first line for a mean of 7.5 (range=3-14) cycles, with overall response of 100% and complete response in two out of the four patients. The mean duration of response was 9.8 (range=5-12) months.

DSCRT progressed in all patients eventually and they received one to four lines of subsequent therapies. Beyond the VAC-IE regimen in the first line, only the temozolomide plus

Table I. Treatment course and response of individual patients.

Case	Risk category ^a	Line of therapy	Treatment	Complications	Response	TTP, months	Survival, months
1	Very high	1	VAC+IE Dose reductions, bacteremia Clostridium difficile infection		PR	5 .3	8.3
		2	TEM-IRI	Clostridium difficile infection	None	1	
		3	Imatinib		None	2	
2	High	1	VAC+IE followed by CRS-HIPEC followed by VAC+IE	Neutropenia mucositis	CR	12	28
		2	Cyclophosphamide+ topotecan	Thrombocytopenia	NR	3	
		3	TEM-IRI		CR	9	
		4	Gemcitabine + docetaxel		None	3	
		5	Trabectidin		None	1	
3	High	1	VAC+IE followed by CRS-HIPEC followed by VAC+IE	Neutropenia, multiple infections	CR	12	27
		2	CRS		CR	4	
		3	Pazopanib		None	2	
		4	Trabectedin		None	1	
		5	TEM-IRI and bevacizumab, subsequently nivolumab added	Irinotecan discontinued due to abdominal pain	PR	8 (5 on nivolumab)	
4	Very high	1	VAC+IE	Dose reduction due to cytopenia and retinal hemorrhage, doxorubicin discontinued cycle 11	PR	11.2	15.4
		2	TEM-IRI and temsirolimus	Severe diarrhea after one cycle	None	4	

CR: Complete response; CRS-HIPEC: cytoreductive surgery with hyperthermic intraperitoneal chemotherapy; NR: no response; PR: partial response; TEM-IRI: temozolomide with irinotecan; TTP: time to progression; VAC+IE: vincristine, anthracycline, and cyclophosphamide alternating with ifosfamide and etoposide. aBased on imaging at initial presentation (24).

irinotecan regimen (TEM-IRI) led to a response, including complete response in one patient and partial response in another, with durations of response of 9 and 8 months, respectively. Other agents used in subsequent lines included cyclophosphamide plus topotecan, gemcitabine plus docetaxel, bevacizumab (in conjunction with TEM-IRI), nivolumab (in conjunction with temozolomide and bevacizumab), pazopanib, trabectedin, temsirolimus and imatinib (Table I).

Among systemic therapies, the VAC-IE regimen resulted in the highest response rate, 100%, followed by the TEM-IRI regimen (50%). The rest of the subsequent lines of systemic therapy failed to show any measurable and meaningful response (Table II).

Two patients that underwent consolidative cytoreductive surgery with hyperthermic intraperitoneal chemotherapy (CRS–HIPEC) following the initial chemotherapy using the VAC-IE regimen had a longer survival (30.6 *vs.* 11.2 months). None of the patients received radiation therapy. All patients succumbed to their disease. Overall median survival was 17.8 (range=11.2-30.6) months.

Discussion

Presentation and risk stratification. The findings of our study are consistent with historical data – namely that the disease

predominantly affects young adults with a male predilection (6). The disease is notorious for presenting with widespread metastases, making it unamenable to definitive surgery, which was also also our experience (2, 4, 5). It is common for patients to have significant tumor burden upon initial workup, and symptoms may not be apparent until the peritoneal surfaces are extensively infiltrated with tumor (16).

Histologically, tumors are typically composed of small, round, blue cells with hyperchromatic oval nuclei and scant cytoplasm that are arranged in nests, trabeculae, and sheets within a dense, desmoplastic (scarred and fibrous) stroma (see Figure 2). Mitotic figures are frequent, as well as both local and single-cell necrosis. Immunohistochemistry demonstrates epithelial, mesenchymal, and neural differentiation to varying degrees; all tumors show positivity for keratins and epithelial membrane antigen, and show a characteristic dot-like staining pattern for desmin and vimentin, which are mesenchymal markers (17). Neural markers (CD56, neuron-specific enolase, chromogranin, synaptophysin, and S100 protein) may show positivity but were negative in all but one patient in our study.

Due to the morphological overlap of DSCRT with other types of neoplasm, definitive diagnosis is dependent on molecular analysis by cytogenetics and *in situ* hybridization or reverse transcriptase polymerase chain reaction studies.

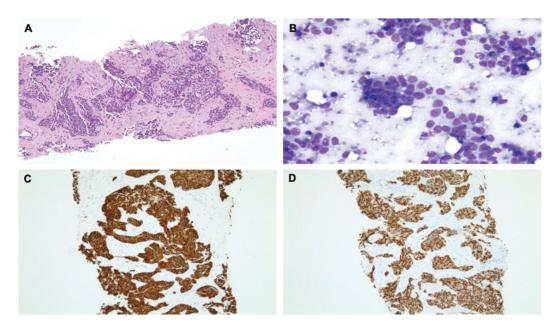


Figure 2. Representative images from histology slides for desmoplastic small round-cell tumor. A: Low-magnification view (10×) B: High-magnification view (400×) C: Positive staining for cytokeratin CAM5.2. D: Positive staining for desmin.

DSCRT is characterized by the specific t(11;22)(p13;q12) translocation, with *EWSR1–WT1* gene fusion in the majority of cases (18, 19). By *in situ* hybridization, the identification of this characteristic fusion between exon 7 of *EWSR1* and exon 8 of *WT1* is therefore crucial in establishing diagnosis (19-21). *EWSR1* is in fact the most frequent gene to partner with other genes to generate recurrent fusion genes in soft-tissue neoplasms associated with nonrandom chromosomal translocations (22). Identifying other genes that may assist with risk assessment of this rare disease is important. Moreover, novel liquid biopsy tests for these mutations may offer utility in monitoring patients with known diagnoses (23).

Once diagnosis is established, risk classification for prognostication has been validated based on imaging findings as follows: Intermediate risk: no liver involvement or ascites; high risk: either liver involvement or ascites; very high risk: both liver involvement and ascites. Intermediate-risk patients had a 5-year survival of 61% [95% confidence interval (CI)=40-76%] *versus* 16% (95% CI=6-29%) among high-risk patients and 8% (95% CI=1-29%) among very high-risk patients (24). Two of our patients belonged to high-risk category and two others were in the very high-risk category and their mean survivals were 27.5 months and 11.9 months, respectively.

Conventional chemotherapy and efficacy. Optimal treatment of intraabdominal DSRCT (IA DSRCT) involves an aggressive multimodality approach with chemotherapy, surgical resection, and radiation therapy. No single agent has been accepted as the standard chemotherapy. The regimen in

Table II. The outcomes of different treatment approaches for study patients (n=4).

Regimen	Patients,	Response rate	Median (range) response, months
VAC+IE	4	100%	9.8 (5.3-12.2)
TEM-IRI	4	50%	8.5 (1-9)
CRS+HIPEC	2*	100%	6.5 (5-8)
Cyclophosphamide+topotecan	1	0%	n/a
Gemcitabine+docetaxel	1	0%	n/a
Trabectedin	2	0%	n/a
Pazopanib	1	0%	n/a
Bevacizumab	1	0%	n/a
Nivolumab	1	0%	n/a
Temsirolimus	1	0%	n/a
Imatinib	1	0%	n/a

CRS-HIPEC: Cytoreductive surgery with hyperthermic intraperitoneal chemotherapy; TEM-IRI: temozolomide with irinotecan; VAC+IE: vincristine, anthracycline, and cyclophosphamide alternating with ifosfamide and etoposide; *Out of two eligible.

most studies included the chemotherapy protocol for the Ewing family of tumors that emphasizes alkylating agents shown to be effective for small-cell tumors (21). The most common regimen is the P6 protocol or VAC-IE chemotherapy regimen proposed by Kushner *et al.* (1, 2, 3, and 6 cycles of VAC; 4, 5, 7 cycles of IE) (7, 8). Common second-line agents include cyclophosphamide with

topotecan, TEM-IRI and high-dose ifosfamide. Less common salvage regimens include gemcitabine with docetaxel, cyclophosphamide with vinorelbine, and dacarbazine (8).

Several other regimens have been trialed on an investigational basis and include carboplatin and actinomycin in addition to P6 drugs (5); pazopanib in heavily pre-treated patients (9, 10); vinorelbine, cyclophosphamide, and temsirolimus in patients with relapse (25); and eribulin in advanced cases (26). In a study in Germany by Sheet *et al.* with the largest series of patients with DSRCT enrolled in prospective trials to date, the best outcome was observed with vincristine, dactinomycin, ifosfamide and doxorubicin (VAIA) (5). A modified PAVEP regimen (cyclophosphamide, pirarubicin, etoposide and cisplatin) has been employed by some centers to reduce severe adverse events and to improve the completion rate of chemotherapy (21, 27).

In the present study, all patients underwent first-line therapy with VAC-IE or the P6 regimen, with good initial response and variable duration of response (7,8). Two out of the four patients were able to undergo CRS-HIPEC, and this was associated with longer survival, which might also be a reflection of the lower burden of the disease which permitted surgery. TEM-IRI appears to be the second most active regimen following first-line treatment; two out of the four patients responded, including one with complete response. Other systemic therapies, including immunotherapy, anti-angiogenesis agents, and oral tyrosine kinase inhibitors, failed to show any meaningful efficacy but added treatment toxicities. The high mortality from the disease is also universal (2, 4, 5).

Novel systemic therapy. In the present study, we have described our experience with novel agents involving antivascular endothelial growth factor, multi-kinase inhibitors and mammalian target of rapamycin (mTOR) inhibitors. A limited number of studies have reported the use of these agents in DSRCT, with inconsistent outcomes. A substantial number of DSRCTs overexpress vascular endothelial growth factor receptor-2, and a handful of patients have had clinical responses to sunitinib, sorafenib, or pazopanib. Other biological therapies such as mTOR inhibitors, antiganglioside GD2 antibodies, imatinib, and a combination of insulin-like growth factor-1 with mTOR inhibitors have shown limited success (8, 11). Biological agents that have shown effect include anlotinib (8), apatinib (28) and trabectedin (12). In one study, imatinib was used to treat patients with DSRCT after molecular-based selection but failed to show efficacy, similarly to our experience (13). A study by Wedekind et al. found high human leukocyte antigen and cytotoxic T-cell target scores, low programmed death ligand-1 expression and increase in CD56+ natural killer cells in DSRCT samples from diagnosis to recurrence. It was therefore suggested that DSRCT may be amenable to immunotherapies based on human leukocyte antigen and cytotoxic T-cell target scores target scores (29). Their study also demonstrated reduction of these scores at recurrence, reflecting possible immune evasion, but the impact on outcomes is not well elucidated (29). Some have implicated a stem cell hypothesis in DSRCT based on the relative insensitivity of the tumor to high-dose chemotherapy (27). Unlike Ewing sarcoma, CD133+ stem cells have not yet been identified in DSCRT (27). Aggressive chemotherapy followed by stem cell therapy has been proposed but is not supported by evidence to date (30, 31).

Role of surgery and radiation therapy. Surgical aspects of the treatment of this disease involve multivisceral resection combined with peritonectomy. Due to peritoneal implantation and the presence of residual tumor tissue after visceral resection, HIPEC with cisplatin is performed as an effective adjunctive therapy (8, 32, 33). Jordan et al. demonstrated good outcome in patients with limited liver metastases able to undergo complete cytoreduction in the liver (34). In a case report by Cracco et al., a patient with bilobar liver metastases underwent CRS with HIPEC and was tumor-free at 2 years (35). Where surgical therapy is considered, a strategy of neoadjuvant chemotherapy for systemic control followed by surgery for local control might also be tried but experience is limited (21). A tolerable outpatient regimen in these cases includes neoadjuvant vincristine, ifosfamide, dexrazoxane/ doxorubicin, and etoposide. However, HIPEC has not been shown to statistically improve survival (36). Similar findings were observed in other countries such as Canada and USA (6, 30, 37-39). In our study, two out of four patients had disease amenable to CRS-HIPEC and had the longest survival compared to other patients. It is, however, unclear whether the prolonged survival was due to the surgical procedure or to the excellent response (both patients achieved complete response) to first-line chemotherapy, the P6 regimen, which rendered adjuvant CRS-HIPEC possible.

Adjuvant therapy options include radiotherapy (30 Gy, whole abdomen) with or without chemotherapy agents such as irinotecan and temozolomide (16). Although overall survival (OS) may not be affected, radiation therapy may improve outcomes in these patients (3, 33, 40-42). Radiation is also used for palliation on tumor recurrence (8). In the present study, radiation therapy was not utilized.

Survival and prognostic factors. In rare tumors such as DSCRT, cancer registries can be used to perform pooled analysis of a large number of patients and to assess their trends in treatment and outcomes. In one such study on DSCRT that used the National Cancer Database, 41.2% (n=200) underwent surgical resection of their primary tumor, chemotherapy was administered to 86.5% (n=415) of patients and radiation therapy was administered to 13.0% (n=63) of patients (37). With multimodal therapy, the median OS in DSCRT ranges

between 24 and 34 months (33). In a French Sarcoma Group study among adult patients, the median OS was 25 months; the 1-, 3- and 5-year OS rates were 90%, 35% and 4%, respectively. Five patients were considered cured after a median disease-free interval of 100 (range=22-139) months. Factors predictive of cure were female sex, median peritoneal carcinomatosis index<12, MD Anderson stage I, completeness of cytoreduction score CC0/1 and whole abdomino-pelvic radiation therapy (36). Another National Cancer Database based study showed 69.7% of patients diagnosed with DSCRT died during the follow-up period, with a median OS of 25.9 (interquartile range=22.7-27.5) months; 1-, 3-, and 5-year OS rates were 78.6%, 32.3%, and 18.4%, respectively. On multivariable analysis in this study, stage IV disease, and receipt of surgery, chemotherapy or radiation therapy were independently associated with improved OS (37).

In an MD Anderson case series, median OS of 60 months was achieved. After 18 months of follow-up, 20 patients (62.5%) had disease recurrence and median disease-free survival was 10 months. The median time to extrahepatic abdominal failure was 19.4 months. Factors affecting time to local progression included liver metastases at diagnosis, and an interval of greater than 5.6 months between diagnosis and HIPEC or greater than 2.1 months between HIPEC and whole abdominal radiation therapy. None of these factors altered OS. Grade 3 or higher toxicities occurred in 84% of patients (43). In another study, with a median follow-up of 59.9 months, the median survival was 37.7 months, and the median disease-free survival was 15.5 months. The factors predictive of 3-year OS were the absence of extra-peritoneal metastases, complete surgical resection, postoperative whole abdominal radiation therapy, and postoperative chemotherapy. HIPEC had no impact on OS in their study (32).

Treatment-related toxicity. As the above-mentioned studies show, while patients do respond to therapies, these are often insufficient for long-term benefit and patients also experience high treatment-related toxicity (21). For instance, Pahuja *et al.* reported complete response to treatment but the patient died of treatment complications (44). In a study by Stiles *et al.*, patients achieved a median OS of 45 (95% CI=35.1-54.9) months but long-term parenteral nutrition was required in eight patients for a median of 261 (range=37-997) days. Clinically significant long-term complications requiring further surgery included gastroparesis (one patient), small bowel obstruction (three patients) and hemorrhagic cystitis (two patients) (45). Thus, quality of life should be an important consideration in taking care of this patient population.

Conclusion

DSRCT remains an ominous tumor with poor prognosis. Studies are ongoing to understand the mechanisms of tumor

heterogeneity and drug resistance in this tumor type (18). Based on currently available evidence, the best treatment strategy incorporates chemotherapy using the P6 protocol VAC-IE in combination with appropriate surgical and radiation therapy approaches. While novel targeted agents are being used, the results have not been promising. Despite aggressive therapy, outcomes continue to be poor and patient preferences on quality of life should be an important consideration in the decision-making process. This study is limited by its small case number at a single institution and retrospective nature. While prospective clinical trial for such a rare entity can be challenging, sharing experiences in the oncology community through retrospective studies should be valued.

Conflicts of Interest

No Author has any conflicts of interest in regard to this study.

Authors' Contributions

Study concept and design: All Authors. Data collection: Thejus Jayakrishnan, Ryan Moll and Ariel Sandhu. Analysis and interpretation of data: Thejus Jayakrishnan, Ryan Moll, Ariel Sandhu, Angela Sanguino and Shifeng Mao. Drafting of the article: Thejus Jayakrishnan, Ryan Moll, Ariel Sandhu, Angela Sanguino and Shifeng Mao. Critical revision of the article for important intellectual content: All Authors. Administrative, technical, or material support: Shifeng Mao.

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Received June 7, 2021 Revised July 1, 2021 Accepted July 7, 2021