

Interstitial Brachytherapy in Combination With Previous Transarterial Embolization in Patients With Unresectable Hepatocellular Carcinoma

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Abstract. *Background/Aim:* Treatment of patients with large hepatocellular carcinoma (HCC) remains challenging and survival in advanced tumor stages is limited. This study was conducted to investigate the efficacy of embolization followed by computed tomography (CT)-guided interstitial high-dose-rate brachytherapy (CT-HDRBT) in patients with unresectable HCC. *Patients and Methods:* A total of 47 patients undergoing CT-HDRBT were divided into 2 groups: i) patients previously treated with transarterial chemoembolization (TACE) and ii) patients treated with bland transarterial embolization (TAE). The primary endpoint was overall survival (OS), while secondary endpoints were the time to progression (TTP) and the local progression rate. *Results:* A total of 78 lesions were treated. The mean size of the main tumors was 58.3 mm. The median OS in TACE and TAE groups was 28.9 months and 32.3 months, respectively ($p=NS$). The median OS of patients classified as BCLC stage A using the Barcelona Clinic Liver Cancer classification system (BCLC) was 32.3 months, while the median OS of patients in BCLC stage B and C was 36.9 and 17.7 months, respectively. The local progression rate was 7.7% (6/78), with no statistically significant difference between TACE and TAE. The median TTP was significantly longer in the TACE group compared to the TAE group (11.7 months and 10.3 months, respectively). *Conclusion:* Treatment with transarterial embolization and subsequent

CT-HDRBT leads to a very promising survival rate for patients with unresectable HCC.

Endovascular and percutaneous interventions play an important role in the treatment of patients with hepatocellular carcinoma (HCC), as described in clinical management guidelines based on the Barcelona Clinic Liver Cancer (BCLC) staging system (1). According to the BCLC system, surgical approaches, such as liver resection and liver transplantation, as well as image-guided tumor ablation are curative treatments for very early (BCLC 0) and early stage tumors (BCLC A) (1).

Patients with an intermediate stage (BCLC B) HCC are candidates for trans-arterial chemoembolization (TACE), as randomized trials have proven the efficacy of this technical approach to control symptoms and prolong survival (2).

However, TACE remains a palliative treatment with an unsatisfactory survival of 16-16.9 months in patients with BCLC stage B and 6-10.7 months in patients with BCLC stage C (1, 3).

In recent years, the combination of transarterial embolization (TAE) and percutaneous ablation, such as radiofrequency ablation (RFA), has been established and is widely applied (4). Using this combination, complete thermal ablation can be achieved in HCCs that are up to 5 cm in diameter, whereas thermal ablation alone leads to high rates of incomplete ablation and early local recurrence in HCCs larger than 3 cm (5, 6).

To overcome the size limitation of thermal ablation techniques, CT-guided interstitial high-dose rate brachytherapy (CT-HDRBT) that uses high dose gamma radiation was developed for tumor ablation (7). In addition, since radiation is not subjected to the heat sink effect, CT-HDRBT is also applicable for lesions that are in direct contact to major vessels (8).

Cisplatin is one chemotherapeutic agents used in TACE, and is known to have a radiosensitizing effect on tissue, leading to higher vulnerability to gamma radiation (3, 9).

The aim of this study was to investigate the survival of patients with an intermediate- to advanced-stage HCC who

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have been treated with transarterial embolization and subsequently by CT-HDRBT.

Patients and Methods

All procedures performed in this study that involve human participants were in accordance with the ethical standards of the institutional and/or national research committee and with the 1964 Helsinki declaration and its later amendments or comparable ethical standards (10). The study was approved by the Institutional Review Board (No. EA2/114/10). Informed consent was obtained from all individual participants included in the study. For this type of study consent for publication is not required.

Patients. Baseline characteristics of the cohort are summarized in Table I.

We included 47 Patients that presented with unresectable HCC between March 2011 and March 2015. Diagnosis was confirmed by imaging criteria and in most cases (35/47) by additional histological assessment. All patients were discussed in the multidisciplinary tumor board and their inclusion in the study was decided by a consensus.

All patients underwent a dedicated MRI of the liver with a liver specific contrast medium (EOB-DTPA, Primovist, Bayer Pharma, Leverkusen, Germany) and a multislice contrast-enhanced spiral CT of the chest, abdomen and pelvis, to rule out extrahepatic tumor burden or secondary malignancy.

Following baseline imaging, patients were treated with TACE and subsequent CT-HDRBT. As transarterial embolization with Lipiodol makes tumors clearly visible in native CT scans, a second group of patients who underwent CT-HDRBT following bland TAE was collected to rule out any bias due to a facilitated catheter placement in CT fluoroscopy. Data collection and analysis were performed under the approval of the ethical review board, Number EA2/114/10.

Embolization. All transarterial procedures were carried out under sterile conditions using latest-generation digital subtraction units, under local anesthesia and when necessary with mild conscious sedation, using 2-3 mg Midazolam (Hoffmann-La Roche, Basel, Switzerland). In the TACE arm, embolization was performed with an emulsion of 10 ml iodised oil (Lipiodol, Guerbet, Aulnay-sous-Bois, France) and 50 mg cisplatin (Medac, Wedel, Germany) diluted in 5 ml saline. As crystalline cisplatin was withdrawn from the market in 2013, it was replaced by 50 mg mitomycin C (Medac, Wedel, Germany), which created a second TACE group (mitomycin-TACE). In the bland embolization arm, embolization was performed with iodized oil only.

CT-guided interstitial high dose rated brachytherapy. One day following embolization all patients underwent CT-HDRBT. Treatment was performed using a conscious sedation. Working steps of CT-HDRBT have been explained in detail in several publications (7, 8, 11). Briefly, following a spiral CT scan of the upper abdomen the expected puncture sites were marked and sterilized. Subsequently, tumors were punctured using a 17G needle and 6 French (6F) angiographic sheaths were pushed into the tumor over a stiff guide wire. These sheaths served as stabilizing devices for the closed ended 6F catheters, which were introduced subsequently. Catheter positions in relation to the tumor were

depicted in the native CT scan, which was used for further radiation planning using a three-dimensional radiation planning workstation (Brachyvision, Varian Medical Systems, Palo Alto, CA, USA). The intended dose applied to the clinical target volume (CTV) was 20 Gy with a sharp dose descent, sparing the tissue outside the tumor margin. Radiation was performed in a single fraction using an Iridium 192 source. Finally, catheters were retracted, and the puncture tracts were sealed using thrombogenic sponge torpedoes to minimize the risk of bleeding.

Follow-up. Patients were followed-up by regular clinical visits and MRI of the liver, starting at 6 and 12 weeks following CT-HDRBT, and then spacing them by 3 months. Eighteen months later the intervals between MRI scans were extended to 6 months. When local recurrence (defined as asymmetric lesion growth at any time during follow-up) or new tumors at distant sites occurred, a second or third therapy was applied in cases treatable by CT-HDRBT in combination with TACE or TAE (according to the group patients were assigned to).

The follow-up ended in April 2018, and survival was determined either by personal interview or from data obtained from the residents' registration office or the local cancer registry.

Endpoints and statistical analysis. The primary endpoint was Overall Survival (OS) and the secondary endpoints were: i) Time to Progression (TTP) and ii) local progression rate.

Baseline characteristics were reported using descriptive statistics, and differences between the treatment groups were calculated using multivariate analysis in a cox regression model. OS and TTP were calculated using the Kaplan Meier Method with the SPSS Software Package Version 25 (SPSS, IBM, Armonk, NY, USA). Statistical significance was defined by a *p*-value of less than 0.05 in the Log-rank test. Adverse events were reported according to the Society of Interventional Radiology (SIR) criteria (12).

Results

Study cohort and intervention. Forty-seven patients with 78 tumors were enrolled in the study. The mean age was 70.7 years in the TACE group and 70.8 in the TAE group. The male/female ratio was 5:1 in both groups. The mean diameter of the main lesion was 58.3 mm in the TAE group, 47.5 mm in the cisplatin-TACE group and 57.1 mm in the mitomycin-TACE group. In total, 4 patients were BCLC stage A, 30 patients were BCLC stage B and 13 patients were BCLC stage C. All BCLC stage C cases involved segmental portal venous invasion.

Patients' baseline characteristics are listed in detail in Table I, the 2 TACE arms are listed separately with respect to the drug used and are summarized as c-TACE groups.

Procedural outcome. A total of 19 patients underwent bland TAE followed by CT-HDRBT (Figure 1). Twelve patients were treated with cisplatin-TACE (Figure 2) and subsequently by CT-HDRBT, while 16 patients were treated with mitomycin-TACE followed by CT-HDRBT. According to the

Table I. Baseline characteristics of the study cohort according to treatment modality. cTACE patients are further divided by drug used. *p*-Value indicates whether there were significant differences between the groups.

| | TAE + Brachytherapy | cTACE + Brachytherapy | <i>p</i> -Value | cTACE- Cisplatin | cTACE- Doxorubicin |
|--|------------------------|--------------------------|-----------------|---------------------|-----------------------|
| Number of patients | 19 | 28 | | 12 | 16 |
| Mean age, years (SD) | 70.8 (6.8) | 70.7 (11.5) | 0.601 | 66.3 (11.6) | 72.2 (12.2) |
| Gender (male/female) | 16/3 | 23/5 | 1 | "11/1" | "23/5" |
| Lesion | | | | | |
| Multifocal HCC | 6 (31.6) | 16 (57.1) | 0.085 | 7 (58.3) | 9 (56.3) |
| Mean number of lesions (range) | 1.32 (1-2) | 2.03 (1-5) | 0.225 | 2.17 (1-5) | 1.94 (1-5) |
| Mean diameter main lesion (range) | 58.32 (23-144) | 55.74 (22-110) | 0.443 | 47.5 (22-86) | 57.12 (30-110) |
| Portal invasion (%) | 4 (21.1) | 8 (28.6) | 0.737 | 3 (25) | 5 (31.3) |
| BCLC-Stage (%) | | | 0.342 | | |
| A | 3 (15.8) | 1 (3.6) | | 1 (8.3) | 0 |
| B | 12 (63.2) | 18 (64.3) | | 8 (66.7) | 9 (56.3) |
| C | 4 (21.1) | 9 (32.1) | | 3 (25) | 7 (43.8) |
| Liver function | | | | | |
| Ascites (%) | 2 (10.5) | 5 (17.9) | 0.685 | 1 (8.3) | 4 (25.0) |
| Hepatic encephalopathy (%) | 1 (5.3) | 1 (3.6) | 1 | 0 | 1 (6.3) |
| Cirrhosis (%) | 17 (89.5) | 27 (96.4) | 0.557 | 11 (91.7) | 16 (100) |
| Alcohol-abuse (%) | 9 (50) | 10 (41.7) | 0.591 | 4 (33.3) | 6 (37.5) |
| Hepatitis B (%) | 0 | 0 | | 0 | 0 |
| Hepatitis C (%) | 3 (15.8) | 8 (38.1) | 0.163 | 6 (50) | 1 (6.3) |
| Mean AFP, µg/l (SD) | 706.8 (2506.7) | 21485.8 (102057.8) | 0.624 | 32.36 (11.57) | 37219.15 (34614.77) |
| Mean albumin, g/dl (SD) | 5.0 (4.89) | 3.7 (0.704) | 0.263 | 3.73 (0.75) | 3.63 (0.69) |
| Mean total bilirubin, mg/dl (SD) | 0.742 (0.402) | 0.942 (0.630) | 0.354 | 1.31 (1.14) | 0.83 (0.50) |
| Mean INR (SD) | 1.12 (0.125) | 1.14 (0.112) | 0.629 | 1.11 (0.13) | 1.15 (0.10) |
| Child-Pugh Stage (%) | | | 0.377 | | |
| A | 18 (94.7) | 22 (78.6) | | 10 (83.3) | 12 (75) |
| B | 1 (5.3) | 6 (21.4) | | 2 (16.6) | 4 (25.0) |
| Partial resection prior to treatment (%) | 1 (5.3) | 3 (10.7) | 0.638 | 2 (16.6) | 1 (6.3) |

SIR criteria, one major complication (SIR Grade C) occurred during follow-up: a liver abscess evolved in the ablated area and was treated by CT guided drainage and antibiotics. Additionally, small perihepatic or inguinal hematoma as well as small amounts of free fluid in the pelvis were seen in postinterventional ultrasound in 11 patients (SIR Grade A). Table II provides an overview of the adverse events that occurred in both study arms.

The local recurrence rate was 7.7% (6/78 lesions), with no difference with regards to the medication used for embolization. There was no statistically significant tendency towards a particular size or location of these recurrent tumors.

Overall survival. Median overall survival was 28.9 months in the TACE group and 32.3 months in the TAE group, with no statistically significant difference between both arms ($p=0.307$) (Figure 3).

As there were no significant differences in survival between the treatment groups, the survival was analyzed according to BCLC stages in a second step. Patients had a median survival of 32.3 months, 36.9 months and 17.7 months in BCLC stage A, B and C, respectively (Figure 4).

Table II. Overview of adverse events that occurred during the study according to the SIR criteria. No statistically significant difference was observed regarding the occurrence of adverse events in the TACE arm versus the bland embolization arm. The *p*-Value was determined using the Fisher's Exact Test.

| Complications (SIR Grade) | TACE (n=28) | TAE (n=19) | <i>p</i> -Value |
|---------------------------|-------------|------------|-----------------|
| Minor (Grade A) | 7 (25%) | 4 (21%) | 1 |
| Major (Grade C) | 1 (3.6%) | 0 (0%) | 1 |
| Total | 8 (28.6%) | 4 (21%) | 0.74 |

Time to progression. Following a median follow-up period of 28.3 months, 31 of the 47 patients (66.0%) had passed away and 2 patients (4.2%) underwent liver transplantation and were excluded from the survival analysis at the timepoint of liver transplantation. Five patients (10.6%) were lost to follow-up. Median TTP was 10.3 months in the TAE group, and 11.7 months in the TACE group, with no statistically significant difference between the two arms ($p=0.466$).

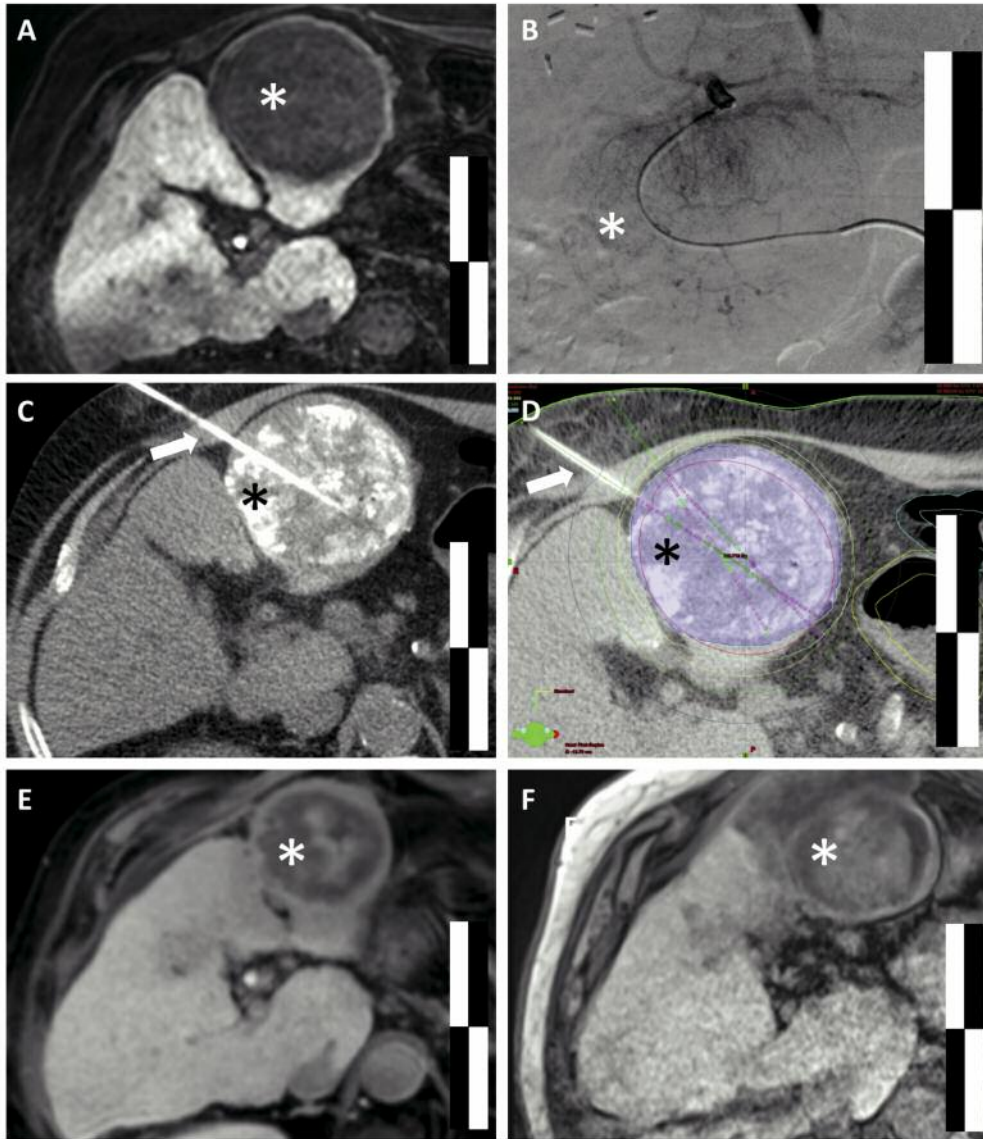


Figure 1. Treatment process of a patient treated with Chemoembolization and CT-HDRBT. (A) Shows the pretreatment MRI imaging of the tumor. (B) Shows the hypervascularisation of the tumor on DSA before Chemoembolization. The good delineation of the tumor on native CT imaging due to the Lipiodol deposition is shown in (C). (D) Depicts the dose planning of the CT-HDRBT following the placement of the catheters. (E) and (F) show the shrinkage of the tumor on follow-up imaging after 9 and 20 months, respectively. *Indicates the tumor while the arrows point to the CT-HDRBT catheters. Scale bars: 5/10 cm.

Discussion

The main finding of this study is that the overall survival of patients with HCC treated with the combination therapy showed very promising results compared to the survival data obtained by D' Avola *et al.* or the EASL, where the expected survival of patients with the allocated therapy in BCLC stage B is 16 months (13) or 24 months (14) versus 36.9 months in our cohort. Survival was even more promising in patients

with advanced tumor stage and portal venous infiltration treated with embolization followed by CT-HDRBT, in which the examined median OS was 16.9 months while survival was expected to be only 9 months (13) -10.7 months (14) in BCLC stage C.

Interestingly, no radiosensitizing effect of either cisplatin or the alternative drug mitomycin C was observed in patients treated with TACE and CT-HDRBT, as their survival and local recurrence rates were similar to those of patients treated

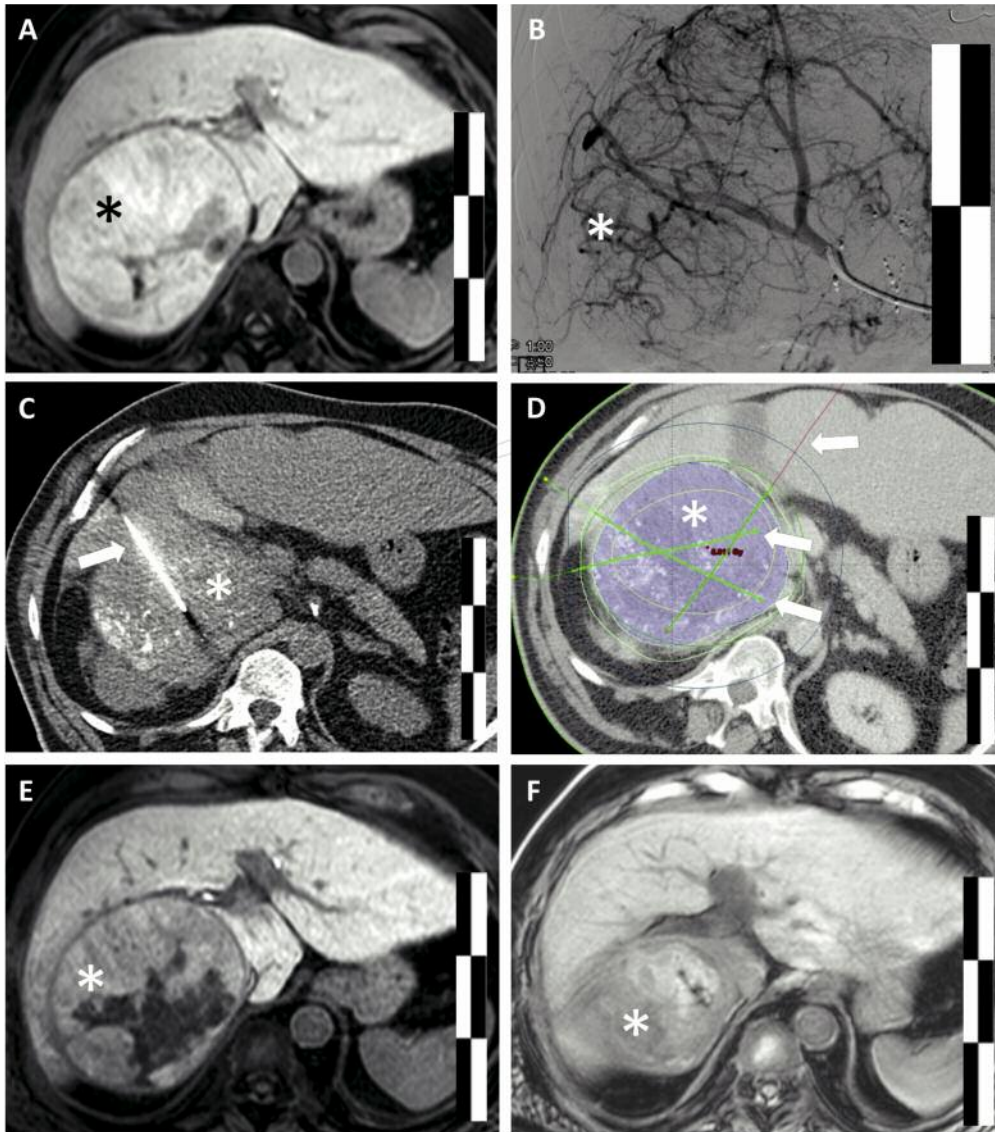


Figure 2. Treatment process of a patient treated with the combination of bland embolization and CT-HDRBT. (A) Shows the pretreatment MRI imaging of the tumor. (B) Shows the hypervascularisation of the tumor on DSA before Chemoembolization. The good delineation of the tumor on native CT imaging due to the Lipiodol deposition is shown in C. (D) Depicts the dose planning of the CT-HDRBT after placement of the catheters. (E) and (F) show the shrinkage and necrosis inside the tumor on follow-up imaging after 3 and 15 months, respectively. *Indicates the tumor while the arrows point to the CT-HDRBT catheters. Scale bars: 5/10 cm (B) and 5/10/15 cm (A, C-F).

with TAE and CT-HDRBT. Our findings are comparable to the data obtained from a meta-analysis by Facciorusso *et al.*, that showed no superiority of TACE over TAE (9).

The local recurrence rate observed in our study was very low (6.4%), being indicative of a good local tumor control, despite the large size of the main lesions that reached up to 14 cm. The tumor size investigated in this study is beyond the limits described for other combination therapies, such as radiofrequency ablation following embolization.

CT-HDRBT, as a treatment for hepatic malignancies, was established at our institution in the last two decades and is being used with very promising results in multiple different tumor entities, especially in large size primary hepatic tumors, such as HCCs or cholangiocarcinomas (8, 15).

Initial attempts to combine embolization with subsequent ablation were published by Buscarini *et al.* in 1999 (16). This group concluded that the combination of both these techniques treated HCC tumors more effectively than one

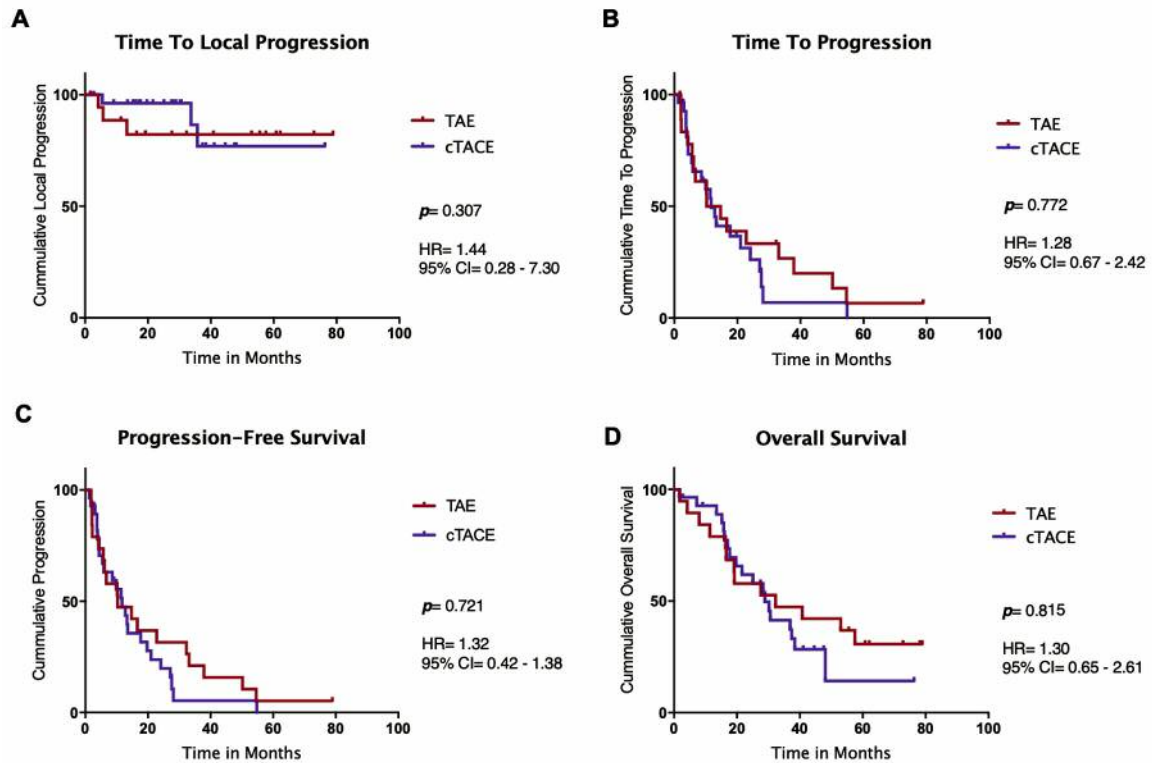


Figure 3. Kaplan–Meier curves of the cohort for time to local progression (A), time to progression (B), progression-free survival (C) and overall survival (D). Red represents the study arm treated with the combination of bland embolization and brachytherapy while blue represents the study arm treated with the combination of chemoembolization and brachytherapy.

type of treatment alone in a cohort of 11 patients. In another study, Liao *et al.* showed in a series of 36 patients and 41 lesions that tumors of up to 5 cm can be treated successfully by combination therapy, and pushed the upper size limit further beyond the established boundaries of that time (17). In 2004, Akamatsu *et al.* conducted a randomized trial with 42 patients, in which it was demonstrated that TAE can be useful before percutaneous ethanol injection in terms of reducing the risk of local tumor recurrence (18). In this study, no patients developed local recurrence after RFA but the mean tumor size was only 29±11 mm. A possible interventional alternative to treat patients with large size and portal vein infiltrating tumors is radioembolization. The recently presented SIRVENIB trial from the Asian pacific region included 360 patients from 27 centers in 11 Asian countries (19). This trial demonstrated statistically significant longer tumor response rates and fewer severe adverse events when comparing radioembolization to sorafenib, but no significant improvement in OS was observed. A major drawback of this study was that 28.6% of the patients in the radioembolization arm did not receive the allocated intervention, whereas the dropout-rate was only 9% in the

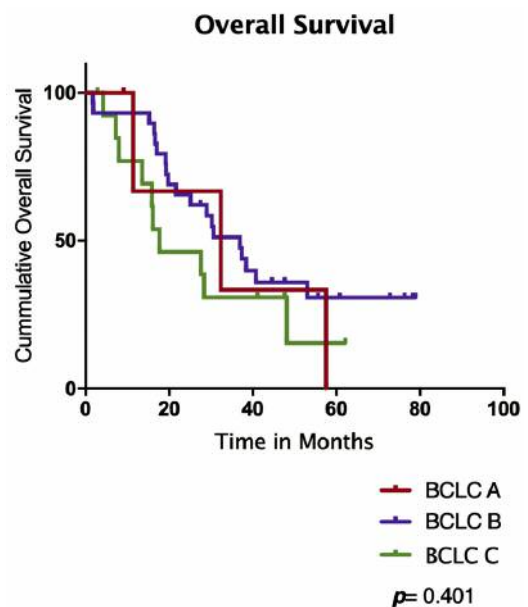


Figure 4. Kaplan-Meier curve for overall survival of the whole cohort grouped based on the BCLC staging system. Red, blue and green represent patients in BCLC stage A, B and C, respectively.

Sorafenib arm. Similar results were observed in the SARAH Trial from France investigating 467 patients, with a median OS of 8.0 months in the radioembolization arm and 9.9 months in the Sorafenib arm (20). In this study, 26.6% of patients assigned to radioembolization also did not receive the allocated therapy (dropout rate 26.5%). In both these trials, the majority of patients had more advanced tumor stages with multifocal disease and a larger extent of portal venous infiltration compared to our cohort. Nevertheless, the low survival rates under both therapies on their own highlight the potential advantage for the survival of advanced stage HCC patients when combination therapy (embolization and CT-HDRBT) is being used.

The major limitation of this study is its retrospective nature and that the therapy allocation had to be deployed at the interventionalists' discretion, since the medical ethics review board denied the randomization of patients for TACE *versus* bland embolization. The cohort size of 47 patients included in this study is within the typical scope of interventional studies combining two procedures in a single center, however, the results of this study should be confirmed by multi-center studies with a larger cohort. Unfortunately, no group of patients treated with CT-HDRBT only was enrolled in our study. Therefore, the prolonged survival cannot be attributed to a specific part of the treatment. As the institutions' standard approach is to treat all patients with large HCC with the combination of embolization and CT-HDRBT, no other comparable group of patients could be matched to the study cohort retrospectively.

Apart from the oncological outcome, the combination of the embolization and subsequent CT-HDRBT offers two major advantages for the treatment and aftercare of the patient. First, the excellent visibility of the tumor on native CT imaging due to the iodized oil deposition facilitates the optimal catheter placement for radiation. Second, the tumor devascularization occurring following embolization makes it easier to identify new enhancement on follow-up imaging and thus facilitates the detection of progressive disease. Finally, the combination therapy shows no severe postinterventional bleeding.

The combination of transarterial embolization and subsequent high-dose rate brachytherapy shows very promising results with regard to local tumor control and a substantial effect on the survival of patients with large-size HCC. The additional administration of a chemotherapeutic agent during embolization, however, demonstrated no benefit for patients' survival and tumor recurrence over pure embolization.

Conflicts of Interest

All Authors declare that they have no conflicts of interest.

Authors' Contributions

Development and Creation of the study was performed by MJP and BG, acquisition of data was done by DS, BRT and MJP. Analysis and interpretation of data was performed by DS, BRT and FC. DS, BRT and BG drafted the manuscript, and participated in the critical revisions together with FC and BH.

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