

Predictive Factors for Local Control and Survival in Patients with Cancer of Unknown Primary (CUP) Irradiated for Cerebral Metastases

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Abstract. *Background/Aim: To identify predictors of local control and survival after whole-brain irradiation (WBI) for cerebral metastases from cancer of unknown primary (CUP). Patients and Methods: In 140 patients receiving WBI alone or following resection, seven factors were investigated including treatment approach, WBI-regimen, age, gender, Eastern Cooperative Oncology Group (ECOG) performance score, number of cerebral lesions and extra-cerebral metastases. Results: On univariate analysis, resection plus WBI and boost ($p=0.002$), ECOG 0-1 ($p<0.001$) and a single lesion ($p<0.001$) were positively associated with local control. On Cox regression, ECOG-score remained significant ($p=0.002$). On univariate analysis of survival, surgery plus WBI and boost ($p=0.009$), ECOG 0-1 ($p<0.001$), a single lesion ($p=0.024$) and no extra-cerebral metastases ($p<0.001$) were associated with better outcomes. On Cox regression, ECOG-score ($p<0.001$) and extra-cerebral lesions ($p<0.001$) were significant. Conclusion: Significant predictors of local control and survival were identified that contribute to treatment personalization and design of prospective trials in patients with cerebral metastases from CUP.*

Despite modern diagnostic procedures, the origin of the primary tumor remains unknown in a considerable number of

cancer patients (1). Many patients with cancer of unknown primary (CUP) have a disseminated stage of disease at the time of first diagnosis (2). Patients with CUP account for about 5% of those in whom cerebral metastases are detected (1, 3-5). Their prognosis is relatively poor when compared to patients with cerebral metastasis from other tumor entities like breast cancer and needs to be improved (3-6). In addition to new technologies and novel drugs, individualizing radiotherapy approaches may improve patient care. In order to avoid over- or undertreatment of a specific palliative situation, several factors should be considered when choosing for the best possible treatment program (3). Such factors include the patient's expected survival time and the possible results of the treatment, *i.e.* one should be aware of what can really be achieved (4, 5). Therefore, it would be advantageous for physicians to be able to use predictive factors to estimate both the expected outcome of the planned treatment and the patient's remaining lifespan. This study aimed to identify predictive factors for local (*i.e.* intracerebral) control of the disease and survival in a cohort of patients with cerebral metastases from CUP, who received whole-brain-irradiation (WBI) alone or following neurosurgical resection.

Patients and Methods

In the present study, data of 140 patients with brain metastases from CUP were evaluated. They were treated with WBI alone (N=113) or WBI following neurosurgical resection (N=27). Five of the patients received upfront surgery and a radiotherapy boost delivered to the bed of each resected lesion with WBI. WBI-regimens included 5×4 Gy in one week (N=38), 10×3 Gy in two weeks (N=70) and 15×3 Gy in three weeks (N=6) and 20×2 Gy in four weeks (N=26). The boost dose was either 5×3 Gy (following 10×3 Gy) or 5×2 Gy (following 20×2 Gy).

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Seven potential predictive factors were investigated regarding their impact on local control (defined as freedom from new cerebral metastases and recurrence/progression of the treated lesions) and survival following WBI. These factors included the treatment approach (WBI alone vs. upfront neurosurgery plus WBI vs. upfront neurosurgery plus WBI plus boost to the sites of resection), WBI-regimen (5×4 Gy vs. 10×3 Gy vs. 15×3 Gy/20×2 Gy), age at start of WBI (≤61 years vs. ≥62 years, median=62 years), gender, Eastern Cooperative Oncology Group (ECOG) performance score (0-1 vs. 2 vs. 3-4), number of cerebral lesions (1 vs. 2-3 vs. ≥4) and extra-cerebral metastatic lesions (no vs. yes) (Table I). For univariate analysis of both local control and survival, we used the Kaplan-Meier method (7). The Kaplan-Meier curves of each factor were compared with the Wilcoxon test. Those factors found significant ($p<0.05$) or showed a strong trend ($p<0.06$) on univariate analysis were additionally included in a multivariable Cox regression analysis.

Results

On univariable analysis, neurosurgical resection followed by WBI plus boost ($p=0.002$), ECOG score of 0-1 ($p<0.001$) and presence of only one cerebral lesion ($p<0.001$) had a significantly positive impact on local control (Table II). In addition, absence of extra-cerebral metastatic lesions ($p=0.053$) showed a strong trend. On Cox regression analysis, the ECOG score remained significant (risk ratio (RR)=1.69, 95%-confidence interval (CI)=1.21-2.37, $p=0.002$), whereas the treatment approach (RR=1.06, 95%CI=0.90-1.27, $p=0.48$), the number of cerebral lesions (RR=1.06, 95%CI=0.86-1.32, $p=0.59$) and extra-cerebral lesions (RR=1.32, 95%CI=0.85-2.07, $p=0.21$) were no longer significantly associated with local control.

On univariate analysis of survival, surgery followed by WBI plus boost ($p=0.009$), ECOG score of 0-1 ($p<0.001$), only one cerebral lesion ($p=0.024$), and absence of extra-cerebral metastatic lesions ($p<0.001$) were significantly associated with a better outcome (Table III). On Cox regression analysis, the ECOG score (RR=2.22, 95%CI=1.63-3.03, $p<0.001$) and extra-cerebral lesions (RR=2.31, 95%CI=1.55-3.49, $p<0.001$) maintained their significant association with survival, whereas treatment approach (RR=1.01, 95%CI=0.88-1.17, $p=0.85$) and number of cerebral lesions (RR=1.16, 95%CI=0.97-1.38, $p=0.10$) did not.

Discussion

A considerable number of studies have been performed in patients with CUP of the head and neck region including identification of prognostic factors (8-10). Far fewer studies are available that focused on patients irradiated for metastases from CUP including vertebral lesions and cerebral lesions (11-13). Many patients with cerebral metastases from CUP have a poor prognosis that must be improved (12). In order to achieve this goal, a clear understanding of significant predictive factors associated

Table I. Summary of potential predictive factors included in this study.

	Number of patients (%)
Treatment approach	
WBI alone	113 (81)
Surgery + WBI	22 (16)
Surgery + WBI + boost	5 (4)
WBI-regimen	
5×4 Gy	38 (27)
10×3 Gy	70 (50)
15×3 Gy/20×2 Gy	32 (23)
Age	
≤61 years	67 (48)
≥62 years	73 (52)
Gender	
Female	46 (33)
Male	94 (67)
ECOG performance score	
0-1	44 (31)
2	56 (40)
3-4	40 (29)
Number of cerebral lesions	
1	40 (29)
2-3	18 (13)
≥4	82 (59)
Extra-cerebral metastases	
No	66 (47)
Yes	74 (53)

WBI: Whole-brain-irradiation; ECOG: Eastern Cooperative Oncology Group.

with treatment outcomes in terms of local (intracerebral) control and survival would be helpful. The current study aimed to contribute to the identification of such factors in a series of 140 patients who received WBI alone or following resection of metastatic lesions with or without a radiation boost to the beds of the resected metastases.

The treatment approach was significantly associated with local control, on univariable analysis. Surgery followed by WBI and a boost to the metastatic sites achieved the best local control rates, followed by surgery plus WBI and WBI alone. The finding that resection plus WBI and a boost was superior to resection plus WBI without an additional boost has been previously shown for patients with a single brain metastasis or those with a limited number of lesions from various primaries (14, 15). In a retrospective study of 195 patients with different primary tumors and a single brain metastasis, the 2-year local control rates were 51% after surgery plus WBI plus boost compared to 20% after surgery plus WBI ($p=0.002$) (14). In another study of 201 patients with a limited number of cerebral lesions from various tumor entities, the treatment approach was an independent predictor of local control (RR=2.15, $p=0.002$) in favor of the addition of a boost to surgery followed by WBI (15). Also, in the

Table II. Local control rates at 6 and 12 months following WBI.

	At 6 months (%)	At 12 months (%)	p-Value
Treatment approach			
WBI alone	29	19	
Surgery + WBI	59	32	
Surgery + WBI + boost	80	80	0.002
WBI-regimen			
5x4 Gy	41	27	
10x3 Gy	30	19	
15x3 Gy/20x2 Gy	42	31	0.43
Age			
≤61 years	37	27	
≥62 years	34	20	0.18
Gender			
Female	36	19	
Male	35	28	0.78
ECOG performance score			
0-1	51	36	
2	38	25	
3-4	13	0	<0.001
Number of cerebral lesions			
1	61	47	
2-3	28	n.a.	
≥4	25	14	<0.001
Extra-cerebral metastases			
No	43	32	
Yes	27	11	0.053
Whole series	36	24	

WBI: Whole-brain irradiation; ECOG: Eastern Cooperative Oncology Group; n.a.: not available; bold p-Values=significant.

Table III. Survival rates at 6 and 12 months following WBI.

	At 6 months (%)	At 12 months (%)	p-Value
Treatment approach			
WBI alone	27	15	
Surgery + WBI	50	21	
Surgery + WBI + boost	80	60	0.009
WBI-regimen			
5x4 Gy	29	14	
10x3 Gy	27	17	
15x3 Gy/20x2 Gy	50	22	0.25
Age			
≤61 years	37	20	
≥62 years	29	15	0.77
Gender			
Female	37	16	
Male	31	19	0.87
ECOG performance score			
0-1	61	33	
2	29	19	
3-4	8	0	<0.001
Number of cerebral lesions			
1	45	28	
2-3	33	0	
≥4	27	13	0.024
Extra-cerebral metastases			
No	47	34	
Yes	20	2	<0.001
Whole series	33	18	

WBI: Whole-brain irradiation; ECOG: Eastern Cooperative Oncology Group; bold p-Values=significant.

univariate analysis of the present study, the ECOG score and the number of cerebral lesions were associated with local control. The ECOG score was significant on both univariable and multivariable analyses. These findings are consistent with those from a large previous study of 1,797 patients irradiated for brain metastases from various types of primary tumors (4). In that study, both performance status and number of cerebral lesions were independent predictors of local control.

In the current study, the treatment approach, the ECOG score, the number of cerebral lesions and extra-cerebral metastatic lesions were associated with survival on univariable analysis. In addition, the ECOG score and extra-cerebral metastatic lesions achieved significance also on multivariable analysis. Again, these findings are consistent with the results of other studies performed in patients with cerebral metastases from different primaries (14, 15). In the study of 195 patients with a single brain metastasis, a trend was found (14). The 2-year survival rates were 40% after surgery plus WBI plus boost compared to 25% after surgery plus WBI ($p=0.11$). In the study of 201 patients with a limited number of cerebral lesions, surgery plus WBI plus

boost resulted in a significantly better survival than surgery plus WBI on both univariate (1-years survival rates 66% vs. 41%, $p<0.001$) and multivariate analysis ($RR=1.94$, $p=0.010$) (15). In the large study of 1,797 patients, better performance status score, lower number of cerebral lesions and absence of extra-cerebral metastases were independently associated with an improved survival (4).

The predictive factors identified in this study can be used for defining the best suitable treatment for an individual with cerebral metastases from CUP. In general, patients with poor expected outcomes should receive a less burdensome treatment with a major focus on prevention or control of symptoms and preservation or improvement of the patient's quality of life. Patients with one or two lesions may benefit from upfront surgery in addition to WBI in terms of better local control and survival. However, one should be aware of the findings from a matched-pair study that only the complete removal of all metastatic lesions leads to a better survival prognosis (16). Many patients with few cerebral lesions are good candidates for stereotactic radiosurgery, either alone or in combination with WBI (3, 13, 17, 18).

In summary, this study identified significant predictors of local control and survival in patients irradiated for cerebral metastases from CUP. These predictors contribute to personalization of the treatment and to proper design of future clinical trials.

Conflicts of Interest

On behalf of all Authors, the corresponding Author states that there is no conflict of interest related to this study.

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