Assessments of Neoadjuvant Hormone Therapy Followed by Robotic-Assisted Radical Prostatectomy for Intermediate- and High-Risk Prostate Cancer

JU-CHUAN HU, SHENG-CHUN HUNG and YEN-CHUAN OU

Division of Urology, Department of Surgery, Taichung Veterans General Hospital, Taichung, Taiwan, R.O.C.

Abstract. Background/Aim: It is still unclear whether neoadjuvant hormone therapy (NHT) followed by radical prostatectomy is the best treatment option for advanced prostate cancer. This study aimed to evaluate the benefits of NHT followed by robotic-assisted laparoscopic radical prostatectomy (RaLP) in locally advanced prostate cancer.

Patients and Methods: This study included 48 patients that had received NHT prior to RaLP by a single surgeon. The control group was selected using computerized 1:1 ratio matching. General characteristics, peri-operative parameters, and oncologic outcomes were analyzed retrospectively.

Results: Significantly shorter operative time, lesser blood loss and lower positive surgical margins were reported in the NHT group. The median period to biochemical recurrence (BCR) was shorter (3 months vs. 5 months, p=0.0145) and the overall BCR rate was lower (54.17% vs. 87.5%, p=0.0243). Conclusion: NHT followed by RaLP may provide clinicopathological benefits, especially in patients with pre-operative PSA values between 10-20 and above 50. Further prospective studies are needed to assess the impacts of NHT.

Current guidelines have established that patients with intermediate- or high-risk prostate cancer (CaP) could be offered neoadjuvant, concurrent, and adjuvant hormone therapy if receiving definitive radiation therapy. Conceptually, neoadjuvant treatment could offer theoretical benefits not only for reducing the tumor size, but also treating undetected micro-metastases (1). These benefits could contribute to a higher possibility of free surgical margin and may result in improved progression-free survival. Therefore, considerable research efforts have been expended to determine whether neoadjuvant therapy improves clinical outcomes in CaP.

Certain studies have shown favorable effects with respect to lower positive surgical margin and pathological down-staging in patients with locally advanced CaP who received neoadjuvant hormone therapy (NHT) followed by either conventional or laparoscopic radical prostatectomy (2-6). With the exception of few non-randomized trials, most of these studies failed to provide evidence that NHT contributes to lower incidences of biochemical recurrence and disease progression (7-14).

The regimens of neoadjuvant therapy varied among these studies and included single use of LHRH agonists, combined therapy with LHRH agonists plus anti-androgen agents (15), chemotherapy alone or chemohormone therapy (16-20). However, there are currently no sufficient evidence to show that NHT could benefit patients receiving robotic-assisted laparoscopic radical prostatectomy (RaLP).

The purpose of this study was to assess the clinicopathological results of patients that received NHT prior to RaLP, especially for D’Amico intermediate- and high-risk patients. We also attempted to identify which populations of patients with CaP might benefit from NHT.

Patients and Methods

Between January 2008 and June 2014, men with D’Amico intermediate- or high-risk CaP who received RaLP in Taichung Veterans Generals Hospital were retrospectively reviewed. The patients that were enrolled in the study had received hormone therapy before surgical intervention. The decision of prescribing NHT or not was made by the same surgeon according to the oncologic risks.

Exclusion criteria included patients who had received NHT initially prescribed by other surgeons, patients who had taken 5-α-reductase inhibitors or neoadjuvant chemotherapy prior to RaLP, patients with poor cardiopulmonary functions and poor performance status (i.e., Eastern Cooperative Oncology Group [ECOG] worse than
and multivariate analysis were performed using a logistic regression the Kaplan-Meier method and the relationship between survival and subgroup classification was analyzed using the log-rank test. Univariate biochemical recurrence-free (BCR-free) survival was estimated with the propensity score methodology. The clinical features are displayed in Table I.

The median age was 66.5 and 65.5 for the control group and NHT group, respectively (p=0.348). The median PSA level at diagnosis was 44.3 ng/ml in the control group and 36.35 ng/ml in the NHT group (p=0.902). There were no significant differences between the groups in body size, Gleason score at biopsy, and tumor percentages of all biopsy specimens. With respect to clinical stages, the control group included more cT2c stage (50% in the control group vs. 20.83% in NHT group), while the NHT group had a greater percentage of cT3b stage (12.5% in the control group vs. 33.33% in the NHT group).

This study has the Institute With Human Ethical Issue approval (Taichung Veterans General Hospital, Taichung, Taiwan, ROC). The institute review board number was CE15215B.

**Peri-operative outcome.** The total operative periods and times for anastomosis were both significantly shorter in the NHT group. The other peri-operative results are shown in Table II.

**Pathological outcome.** The median tumor percentage in whole prostate specimens was significantly lower in the NHT group (control vs. NHT: 70% vs. 30%, p=0.0398). There is a significant lower positive surgical margin in the NHT group (control vs. NHT: 91.67% vs. 37.5%, p=0.0002). Significantly smaller prostate volumes in the NHT group were also reported, but there was no significant difference between these two groups in extracapsular invasion, bladder neck (BN), seminal vesicle (SV) and prostate urethra invasion. The Gleason score in the majority of patients in both groups was 9. The pathologic stage was upgraded in both groups with a higher percentage of T3/T4 in the control group (95.83% in the control group vs. 75% in the NHT group, p=0.097). There are no differences in lymphadenopathy between the two groups.

**Oncologic outcome.** Of the 48 eligible patients, no patients deceased during the follow-up period. The median follow-up period was 44.5 months in the control group versus 18.5 months in the NHT group (p=0.0001). This limitation might have contributed to a confounding effect on the oncologic outcome, which would be discussed later.

There were lower incidences of biochemical recurrences in the NHT group (median BCR in control group vs. NHT group: 87.5% vs. 54.17% (p=0.0243). The hazard ratio of overall BCR-free survival in the NHT group was 0.404 (range=0.203-0.803), p=0.0047 (Figure 1a).

We also found a significantly decreased rate of BCR in patients who received 4-12 months of NHT compared to patients receiving 2-3 months of NHT (Figure 1b). The hazard ratio of BCR-free survival was 0.519 (0.228-1.182) in patients who received NHT for 2-3 months, and 0.298 (0.134-0.665) in the NHT 4-12 months group (p=0.0133).
was 115 min significantly shorter in the NHT group. There was not only less blood loss (50 ml vs. 20 min, 184.0±36.7 min, \( p=0.0015 \)) and the overall operation time (145 min vs. 110 ml, \( p=0.0263 \)) but also smaller 4-12 mon \((n=24)\) and the downsizing volume of prostate specimen may play an important role in shortening the operative time and more blood loss. However, the importance of the above mentioned confounding factors were difficult to evaluate.

Table I. Patients characteristics.

<table>
<thead>
<tr>
<th>Age (year-old)</th>
<th>Control ((n=24))</th>
<th>NHT ((n=24))</th>
<th>( p )-Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>66.50 (63.00-70.50)</td>
<td>65.50 (58.5-73.50)</td>
<td>0.348</td>
<td></td>
</tr>
<tr>
<td>Body height (cm)</td>
<td>165.00 (160.25-167.25)</td>
<td>165.25 (161.50-169.00)</td>
<td>0.509</td>
</tr>
<tr>
<td>Body weight (kg)</td>
<td>66 (60.5-72.5)</td>
<td>67.5 (60.5-75.6)</td>
<td>0.7966</td>
</tr>
<tr>
<td>BMI</td>
<td>24.45 (22.70-26.54)</td>
<td>24.05 (22.53-26.69)</td>
<td>0.665</td>
</tr>
<tr>
<td>ASA</td>
<td>2 (8.33%)</td>
<td>2 (8.33%)</td>
<td>0.49</td>
</tr>
<tr>
<td>I</td>
<td>9 (79.17%)</td>
<td>21 (87.5%)</td>
<td></td>
</tr>
<tr>
<td>II</td>
<td>3 (12.5%)</td>
<td>1 (4.17%)</td>
<td></td>
</tr>
<tr>
<td>Initial GS at biopsy</td>
<td>44.30 (25.63-72.30)</td>
<td>36.35 (24.82-77.82)</td>
<td>0.9015</td>
</tr>
<tr>
<td>2-3 mon</td>
<td>12 (50%)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4-12 mon</td>
<td>12 (50%)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Initial GS at biopsy</td>
<td>52.5 (31.25-72.50)</td>
<td>41.5 (22.50-70)</td>
<td>0.4578</td>
</tr>
<tr>
<td>Post-NHT PSA reduction (%)</td>
<td>-</td>
<td>98.68 (90.80-99.74)</td>
<td>-</td>
</tr>
</tbody>
</table>

BMI: body mass index; ASA: American Society of Anesthesiologists Physical Status classification; PSA: prostate specific antigen; NHT: neoadjuvant hormone therapy; GS: Gleason Score. Note: Mann-Whitney \( U \)-test for continuous variables [Median (IQR)] and Fisher’s extract test for categorized variables [number (%)], statistical significance \( p<0.05 \).

Discussion

Takeda and his colleagues had shown that neoadjuvant hormone therapy could significantly shorten the pneumoperitoneum time (NHT vs. radical prostatectomy alone: 164.5±39.0 min vs. 184.0±36.7 min, \( p=0.048 \)) and reduce the prostate volume by 33.9% in the NHT group (21). Their multivariate analysis demonstrated that NHT was an independent factor for longer pneumoperitoneal time in patients with larger prostate volume.

We found that the median periods of anastomosis were 20 min vs. 25 min \( p=0.0015 \) and the overall operation time was 115 min vs. 145 min \( p=0.0018 \). Both were significantly shorter in the NHT group. There was not only less blood loss (50 ml vs. 110 ml, \( p=0.0263 \)) but also smaller median specimen volume in the NHT group (35 ml vs. 40 ml, \( p=0.0302 \)).

The single surgeon in this study performed his first RaLP in 2008 and at the time of writing had performed over 1500 cases of RaLP (22). The surgeon’s accumulation of surgical experience and skills over time which might have contributed to shorter operative times and a better pentaecta outcomes (complication-free, continence, potency, negative surgical margins, BCR-free) (23). Moreover, prostate shrinkage after NHT might also have affected operative time.

Although the maturing experiences of the single surgeon and the downsizing volume of prostate specimen may play an important role in shortening the operative time and reducing blood loss, there is typically considerable severe tissue adhesion after NHT, which tends to increase the difficulty of surgery and might lead to a longer operative time and more blood loss. However, the importance of the above mentioned confounding factors were difficult to evaluate.

Although there are no clear guidelines or level I evidence to show that NHT is unequivocally beneficial in patients with CaP, many studies have suggested the possible advantages of NHT followed by radical prostatectomy with respect to free surgical margin.

One prospective study enrolled 69 patients that had received androgen deprivation therapy for 3 months followed by radical prostatectomy and 72 patients received radical prostatectomy (4). They disclosed lower rate of margin-negative tumor in the control group (64% in control group and
Operative time (minutes) 145 (110-195) 115 (100-125) 0.0018*
Anastomosis time (minutes) 25 (20-35) 20 (15-20) 0.0015*
Blood loss (ml) 110 (50-200) 50 (42.5-100) 0.0263*
Catheterization (days) 10 (8-12) 7 (7-8) 0.002*
Hospitalization (days) 3 (3-5) 3 (3-4) 0.6964
Specimen volume (gm) 40 (35-57.5) 35 (31.5-41.5) 0.0302*
Tumor percentage (%) 70 (30-90) 30 (7.5-80) 0.0398*
Tumor volume (gm) 30 (9.98-43.7) 9.25 (2.5-29.2) 0.0109*
GS at prostatectomy
GS=6 0 (0%) 2 (8.33%) 0.0231*
GS=7 8 (33.33%) 4 (16.67%) 1
GS=8 1 (4.17%) 3 (12.5%) 0.3412
GS=9 14 (58.33%) 14 (58.33%) 1
GS=10 1 (4.17%) 1 (4.17%) 1
Pathological T stage
pT2a 0 (0%) 1 (4.17%) 1
pT2b 0 (0%) 1 (4.17%) 1
pT2c 1 (4.17%) 4 (16.67%) 1
pT3a 3 (12.5%) 4 (16.67%) 1
pT3b 18 (75%) 14 (58.33%) 1
pT4a 2 (8.33%) 0 (0%) 1
Positive surgical margin 22 (91.67%) 9 (37.5%) 0.00017*
Extracapsular invasion 23 (95.83%) 20 (83.33%) 0.3475
Bladder neck invasion 9 (37.5%) 10 (41.67%) 1
Prostate vesical invasion 9 (37.5%) 5 (20.83%) 0.3411
Seminal vesical invasion 19 (79.17%) 14 (58.33%) 0.2124
Perineural invasion 24 (100%) 22 (91.67%) 0.4894
Angiolyphatic invasion 11 (45.83%) 4 (16.67%) 0.0599
Multicentricity of tumor 17 (70.83%) 18 (75%) 1
High grade PIN 14 (58.33%) 6 (25%) 0.0392*
LAP 4 (16.67%) 4 (16.67%) 1
BCR 21 (87.5%) 13 (54.17%) 0.0243*
Early BCR (within 3 months) 12 (57.14%) 1 (7.69%) 0.0048*
Average BCR period (months) 3 (2.4-7.5) 5 (4.8-2.5) 0.0145*
Follow-up period (months) 44.5 (34-73) 18.5 (11.5-26.5) <0.0001*
BCR in different PSA level
PSA 10-20 2/3 (66.67%) 0/5 (0%) 0.0486*
PSA 21-50 9/11 (81.82%) 8/10 (80%) 0.9175
PSA >50 10/10 (100%) 5/9 (55.56%) 0.0209*
Early BCR in different PSA level
PSA 10-20 1/2 (50%) 0/5 (0%) 0.1138
PSA 21-50 4/9 (44.44%) 0/8 (0%) 0.0365*
PSA >50 7/10 (70%) 1/5 (20%) 0.0771

GS: Gleason Score; PIN: prostatic intraepithelial neoplasia; LAP: lymphoadenopathy; BCR: biochemical recurrence. Note: Mann-Whitney U-test for continuous variables[Median (IQR)] and Fisher's exact test for categorized variables[number (%)], statistical significance p<0.05*.

87% in neoadjuvant group, p<0.01) and higher organ-confined (pT2) tumor in neoadjuvant group (74% vs. 49%, p<0.01). However, like most of the other researches, this study did not show a lower biochemical recurrence in the neoadjuvant group within a median follow up period of 35 months.

In this study, we attempted to prove the potential therapeutic advantages of NHT and identify which populations of patients with CaP might benefit from NHT using this single surgeon database. We found lower incidences of biochemical recurrences in the NHT group (54.17% vs. 87.5%, p=0.0243).

When we stratified the data based on the timing of BCR, we found that early BCR (failed to achieve PSA <0.2 ng/ml in post-OP three months) was higher in the control group (control vs. NHT: 57.14% vs. 7.69%, p=0.0048). Strictly
speaking, the overall incidences of BCR were significantly lower in the NHT group and most of them occurred at least 3 months after RaLP (12/13, 92.31%).

When we grouped the patients by the level of pre-treatment PSA (see Table II), we found that patients with pre-treatment PSA 10-20 ng/ml and PSA >50 ng/ml had lower overall BCR rate if they received NHT prior to RaLP (PSA 10-20 ng/ml group: control vs. NHT=66.67% vs. 0%, p=0.0486. PSA>50 ng/ml group: control vs. NHT: 100% vs. 55.56%, p=0.0209). However, we did not find a similar significant benefit in patients with pre-treatment PSA 20-50 ng/ml (control vs. NHT=81.82% vs. 80%, p=0.9175).

With regard to the proportion of early BCR in all patients having BCR grouped according to PSA levels, four patients had early BCR (4/9, 44.44%) in the PSA 20-50 ng/ml group and seven patients had early BCR (7/10, 70%) in the PSA >50 ng/ml group if they did not receive NHT. In patients receiving NHT, no patient had early BCR (0/8, 0%) in both PSA 10-20 and 20-50 ng/ml groups while only one patient in the PSA >50 ng/ml group (1/5, 20%) had early BCR. These results suggest that decreased risk of BCR and potential delayed BCR can be achieved in patients receiving NHT with pre-treatment PSA 10-20 ng/ml and PSA >50 ng/ml. In patients with pre-treatment PSA 20-50 ng/ml, NHT did not reduce the incidence of BCR but might delay the timing of BCR. These findings suggest that NHT might help slowing the disease progression in locally advanced CaP.

The potential for delayed biochemical recurrence in the NHT group was considered to be related to the residual inhibition from prior hormone therapy. MG Oefelein et al. (24) disclosed that a single injection of 3-month formulation of LHRH agonist would provide castrate level of testosterone for six months. Hall MC et al. (25) reported that residual suppression on luteinizing hormone and castrate effects of testosterone could persist up to one year after discontinuation. In a study by Koie T et al. (26), the testosterone levels in patients who received NHT returned to the pre-treatment level six months after discontinuing medications.

The residual suppression of testosterone by NHT might help to slow the biochemical recurrence. However, there are higher possibilities of surgical treatment failure (either PSA wasn’t less than 0.2 ng/ml within 2 months after RaLP or early BCR within 3 months after RaLP) in patients with preoperative PSA 20-50 ng/ml and PSA >50 ng/ml. These patients can benefit from NHT as it appears to lower PSA level before operation and also to delay BCR. Unfortunately, testosterone level was not routinely followed up after RaLP and thus it was not possible to analyze the durations of residual effects from androgen deprivation therapy.

Currently, there is no consensus about the proper durations of NHT due to lack of evidences. One randomized control trial directly comparing the durations of NHT prescription suggested that the optimal duration was longer than 3 months (27). Cochrane review concluded that the use of longer duration of NHT, that is either 6 or 8 months prior to prostatectomy, was associated with a significant reduction in positive surgical margins (OR=0.56, 95%CI=0.39-0.80, p=0.002) (28).

We found that patients who received 4-12 months of NHT had significantly decreased rate of BCR compared to patients receiving 2-3 months of NHT (Figure 1b). These results were compatible to prior studies. Meyer F et al. disclosed lower risk of BCR in patients receiving NHT for more than 3 months (HR=0.52, 95% CI=0.29-0.93) (29).

The testosterone levels in all patients in the NHT group achieved castration level after one month of NHT, which might suggest that patients should receive NHT before RaLP for longer than 3 months to achieve maximum protection effects.
In univariate analysis for evaluating the predictive factors of biochemical recurrence (Table III), we found that NHT, tumor percentages in specimen, seminal vesicles involvements, angiolympathic invasion, positive surgical margin and operative times influence BCR. However, we couldn’t identify any statistically significant predictors in multivariate logistic regressions. Further larger studies are needed for identifying the accurate predictors to help clinicians making decisions about NHT or not in locally advanced CaP.

There were several limitations in this study. First, this was a retrospective study with a small number of patients, which might have resulted in potential bias despite the use of the propensity score methodology.

Second, the follow-up period was at least two-fold longer in the control group relatively to the NHT group. Because there was insufficient evidence supporting the notion that NHT provides long-term benefits in patients with CaP in the current guidelines, we initiated NHT in locally advanced patients with CaP after 2009 (the first NHT case received RaLP in Aug. 2009 after 4 months of NHT). A significant longer follow-up period in the RaLP-alone arm might account for the increased number of events. Although most BCR occurred during the first year after RaLP and all enrolled patients in the NHT group had at least one year of follow-up, this unequal follow-up period might have led to under-estimations of late BCR in the NHT group.

Third, we did not routinely follow-up testosterone levels after RaLP. This could have been useful for evaluating the residual effects of pre-operative androgen deprivation.

Finally, successful treatment of CaP was evaluated by the degree of oncologic control achieved in this study, but this did not take into account other important factors, such as parameters which predict quality of life including continence and erectile function. However, erectile function was difficult to evaluate as hormone therapy might have compromised sexual function and produced a confounding negative effect.

**Conclusion**

In this preliminary study, NHT prior to RaLP in patients with intermediate- or high-risk CaP seemed to provide potential benefits including shorter operative time, reduced blood loss, and shorter period of Foley catheterization. In patients with pre-treatment PSA 10-20 ng/ml and PSA>50 ng/ml, NHT might decrease the incidence of early BCR and overall BCR during postoperative one and half years. NHT might also have protective effects on early BCR in patients with pre-treatment PSA 20-50 ng/ml. Further study is warranted to determine the clinical implications and optimal periods of NHT followed by RaLP.

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Table III. Univariate and multivariate Cox proportional hazard regression analysis for prediction of biochemical recurrence.

<table>
<thead>
<tr>
<th></th>
<th>Univariate</th>
<th>Multivariate</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>HR</td>
<td>95% CI</td>
</tr>
<tr>
<td>Age</td>
<td>0.9958</td>
<td>0.9566 to 1.0366</td>
</tr>
<tr>
<td>BMI</td>
<td>1.0493</td>
<td>0.9317 to 1.1817</td>
</tr>
<tr>
<td>Initial PSA</td>
<td>0.3962</td>
<td>0.1970 to 0.7967</td>
</tr>
<tr>
<td>Pre-OP GS</td>
<td>1.2385</td>
<td>0.8900 to 1.7236</td>
</tr>
<tr>
<td>Tumor %</td>
<td>1.0229</td>
<td>1.0116 to 1.0344</td>
</tr>
<tr>
<td>Capsule</td>
<td>3.1982</td>
<td>0.7643 to 13.3827</td>
</tr>
<tr>
<td>SV</td>
<td>2.8151</td>
<td>1.2031 to 6.5869</td>
</tr>
<tr>
<td>BN</td>
<td>1.825</td>
<td>0.9155 to 3.6831</td>
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<tr>
<td>PIN</td>
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<td>PNI</td>
<td>2.1867</td>
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<td>ALI</td>
<td>2.9122</td>
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<td>PSM</td>
<td>4.4981</td>
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<td>LAP</td>
<td>1.9442</td>
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<td>NVB preserving</td>
<td>0.3196</td>
<td>0.0435 to 2.3482</td>
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<tr>
<td>Operative time</td>
<td>1.0132</td>
<td>1.0046 to 1.0218</td>
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<tr>
<td>Post-operative PSA</td>
<td>1.0481</td>
<td>1.0118 to 1.0857</td>
</tr>
<tr>
<td>Post-operative GS</td>
<td>1.0105</td>
<td>0.7386 to 1.3825</td>
</tr>
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</table>

1BMI: body mass index; PSA: prostate specific antigen; NHT: neoadjuvant hormone therapy; GS: Gleason Score; SV: seminal vesicles; BN: bladder neck; PIN: prostatic intraepithelial neoplasia; PNI: perineural invasion; ALI: angiolymphatic invasion; PSA: positive surgical margin; LAP: lymphoedema; NVB: neurovascular bundles. Statistical significance p<0.05*.
Conflicts of Interest

None of the contributing authors have any conflict of interest, including specific financial interests or relationships and affiliations relevant to the subject matter or materials discussed in the manuscript.

References


