

# Prescription Patterns of Sorafenib and Outcomes of Patients with Advanced Hepatocellular Carcinoma: A National Population Study

LI-CHUN LU<sup>1,4,7</sup>, PEI-JER CHEN<sup>2,5,6</sup>, YI-CHUN YE<sup>3</sup>, CHIH-HUNG HSU<sup>1,4</sup>,  
HO-MIN CHEN<sup>4</sup>, MEI-SHU LAI<sup>3</sup>, YU-YUN SHAO<sup>1,4,7</sup> and ANN-LII CHENG<sup>1,4,5,7</sup>

<sup>1</sup>Graduate Institutes of Oncology and <sup>2</sup>Clinical Medicine, College of Medicine, and  
<sup>3</sup>Institute of Epidemiology and Preventive Medicine, College of Public Health,  
National Taiwan University, Taipei, Taiwan, R.O.C.;  
<sup>4</sup>Departments of Oncology, <sup>5</sup>Internal Medicine and <sup>6</sup>Hepatitis Research Center,  
National Taiwan University Hospital, Taipei, Taiwan, R.O.C.;  
<sup>7</sup>National Taiwan University Cancer Center, Taipei, Taiwan, R.O.C.

**Abstract.** *Background:* Sorafenib is the current standard treatment for advanced hepatocellular carcinoma (HCC). We analyzed national prescription patterns and treatment outcomes of patients who received sorafenib for advanced HCC. *Patients and Methods:* We established a nation-wide cohort of patients who started receiving treatment with sorafenib for advanced HCC between August 2012 and July 2013 from the National Health Insurance Research Database of Taiwan and also retrieved demographic and prescription data. The databases of National Death Registry and Taiwan Cancer Registry were used for survival outcomes and cancer diagnosis information, respectively. *Results:* A total of 3,293 patients were enrolled. The median overall survival (OS) and time to treatment discontinuation (TTD) of all patients were 6.8 and 2.6 months, respectively. Upon the first prescription of sorafenib, 58.4% of patients received the standard dose (800 mg/day). Among them, 61.9% had subsequent dose reduction. A total of 41.6% of patients initially received lower than standard doses; 36.1% of them had subsequent dose escalation to 800 mg/day. Being male (odds ratio=1.41;  $p<0.001$ ) and treatment year of 2012 (odds ratio=1.28;  $p=0.002$ ) were associated with the standard initial dose. Patients who received standard initial dose of sorafenib,

compared to patients who received lower initial doses, exhibited longer OS (median of 7.8 vs. 6.6 months,  $p<0.001$ ) but similar TTD (median of 2.6 vs. 2.9 months,  $p=0.840$ ). *Conclusion:* A considerable number of patients with advanced HCC received less than the standard dose of sorafenib. The treatment outcomes in the general population were consistent with those reported in clinical trials.

Hepatocellular carcinoma (HCC) is the sixth most common malignancy and the third leading cause of cancer-related deaths globally (1). For patients with advanced HCC, sorafenib, a multikinase inhibitor mainly targeting rapid accelerated fibrosarcoma (RAF) and vascular endothelial growth factor receptors (2), was proven to provide survival benefits with modest efficacy (3, 4). In a phase III trial conducted in the Asia-Pacific region, the median overall survival (OS) and time to progression were only 6.5 and 2.8 months, respectively (4). However, many other novel agents have not proven as effective in large clinical trials (5-8). Although immune checkpoint inhibitors showed potential as treatment for advanced HCC and regorafenib was demonstrated to prolong survival after failure of sorafenib treatment (9-11), sorafenib remains the only standard first-line treatment for advanced HCC.

The standard starting dose of sorafenib is 800 mg/day. However, in pivotal phase III trials of sorafenib in advanced HCC, 80% of patients experienced adverse events such as diarrhea, hand-foot skin reaction, and fatigue. Approximately 30% and 44% of patients required dose reduction and treatment interruption, respectively (3, 4). Therefore, in real-world clinical practice, as reported by regional cohort studies, a substantial number of patients received lower initial doses of sorafenib (12, 13). In the

*Correspondence to:* Yu-Yun Shao, MD, Graduate Institute of Oncology, College of Medicine, National Taiwan University; Department of Oncology, National Taiwan University Hospital, 7 Chung-Shan South Road, Taipei 10002, Taiwan. Tel: +886 223123456 ext. 66008, Fax: +886 223711174, e-mail: yuyunshao@gmail.com

**Key Words:** Database research, dose, hepatocellular carcinoma, population study, prognosis, sorafenib.

Global Investigation of Therapeutic Decisions in HCC and of its Treatment with Sorafenib (GIDEON) study, a multinational observational registry study that evaluated the safety and efficacy of sorafenib in clinical practice, significant differences regarding initial doses of sorafenib were observed and nearly half of the patients had sorafenib dose modification during the treatment course (14).

Although the GIDEON study enrolled 3,202 patients in 39 countries, it had an inherent selection bias because it depended on patient enrollment from investigators (14). In Taiwan, the National Health Insurance (NHI) program, a single-payer mandatory insurance system, covers more than 99% of the Taiwanese population (15). NHI started issuing reimbursements for sorafenib to patients with advanced HCC on August 1, 2012. We, thus, performed this study to evaluate the prescription patterns and treatment outcomes of sorafenib in an unselected nationwide population.

**Patients and Methods**

*Data source.* We established a nationwide cohort study by identifying sorafenib prescription from the NHI research database (NHIRD) and diagnosis of HCC from the Taiwan Cancer Registry database. All major cancer care providers in Taiwan with more than 50 beds are obligated to submit data to the Taiwan Cancer Registry database, which covered 98.4% of new cancer cases by 2012 (16). We also employed the National Death Registry database to determine death events. In order to comply with personal electronic data privacy regulations, personal identities were encrypted, and all data were analyzed anonymously. This study was approved by the Institute Research Ethical Committee of the National Taiwan University Hospital (201511069RINC).

*Study population and sorafenib treatment.* We reviewed the database of the NHIRD to find patients who were prescribed sorafenib for the first time between August 2012, and July 2013. We linked the data of these patients by their identification numbers to those in the Taiwan Cancer Registry database for patients who were newly diagnosed with HCC from 1979 to 2013. Costs for sorafenib have been fully reimbursed without co-payment by NHI since August 1 2012 for patients who had HCC not amenable to locoregional therapy and macroscopic vascular invasion or extrahepatic spread (patients were also required to have Child–Pugh A liver reserve). Physicians need to apply for the use of sorafenib and provide clinical data meeting the aforementioned requirements. The application has to be renewed every 2 months with dynamic imaging evidence showing no disease progression under sorafenib treatment.

*Study variables and end-points.* Patient demographic data were retrieved from the Taiwan Cancer Registry database. Sorafenib prescription data and information regarding other HCC treatments were obtained from the NHIRD. OS was calculated from the first prescription date of sorafenib to death. Time to treatment discontinuation (TTD) was measured from the first prescription date of sorafenib to the last prescription date of sorafenib or death. Data were censored if events did not occur before the last follow-up date, December 31, 2013.

Table I. Baseline patient characteristics.

Variable	N (%)
All	3293 (100)
Gender	
Male	2585 (78.5)
Female	708 (21.5)
Age	
Median (range)	60 (18-85)
18-64 Years	2058 (62.5)
≥65 Years	1235 (37.5)
Treatment year	
2012	1714 (52.0)
2013	1579 (48.0)
Hospital level	
Medical center	2026 (61.5)
Others	1267 (38.5)
Hospital area	
Taipei	962 (29.2)
Northern	300 (9.1)
Central	738 (22.4)
Southern	729 (22.1)
Kaoping	504 (15.3)
Eastern	60 (1.8)
Physician specialty	
Gastroenterology and hepatology	1520 (46.2)
Hemato-oncology	976 (29.6)
Surgery	530 (16.1)
Others	267 (8.1)
Time from initial HCC diagnosis to receive sorafenib	
<3 Months	442 (13.4)
≥3-6 Months	424 (12.9)
≥6-12 Months	527 (16.0)
≥1-2 Years	594 (18.0)
≥2-4 Years	610 (18.5)
≥4 Years	696 (21.1)
Prior locoregional therapy	
Any	2323 (70.5)
Hepatectomy	939 (28.5)
Local ablation	778 (23.6)
Transarterial chemoembolization	1958 (59.5)

*Statistical methods.* Statistical analyses were performed using SAS statistical software (version 9.4; SAS Institute, Cary, NC, USA). The associations between categorical variables and initial dose of sorafenib were analyzed using the chi-square test. A multivariate analysis of the potential factors influencing the initial sorafenib dose was performed using the logistic regression model with a random effect for hospitals to account for patient clustering within a hospital. OS and TTD of patients based on distinct variables were estimated using the Kaplan–Meier method and compared using the log-rank test. The Cox proportional hazards model was used in the multivariate analysis to examine the effect of different variables on OS and TTD. Two-sided *p*-values of 0.05 or less was considered statistically significant.

Table II. Initial doses of sorafenib and subsequent dose adjustment.

Variable	N (%)	
	Initial standard dose	Other initial dose
All	1838 (100.0)	1309 (100.0)
Initial dose (mg/day)		
200	0	170 (13.0)
400	0	1003 (76.6)
600	0	136 (10.4)
800	1838 (100.0)	0
Dose modification <sup>a</sup>		
Yes	1138 (61.9)	604 (46.1)
No	700 (38.1)	705 (53.9)
Subsequent standard dose <sup>b</sup>		
Yes	NA	472 (36.1)
Mean dose (mg/day)		
Mean (SD)	675.3 (341.8)	473.5 (228.7)

NA: Not applicable; SD: standard deviation. <sup>a</sup>Dose increase or reduction. <sup>b</sup>Standard dose (800 mg/day) prescribed any time after initial administration.

## Results

**Patient demographics.** A total of 3293 patients were included in the study; 78.5% of them were male, and 37.5% aged  $\geq 65$  years (Table I). More than half of the patients (61.5%) were treated at medical centers. Sorafenib was mostly prescribed by physicians specialized in gastroenterology and hepatology (46.2%), hemato-oncolgy (29.6%), and surgery (16.1%). In terms of the time between initial diagnosis of HCC to first receipt of sorafenib, 13.4% of patients had sorafenib treatment within 3 months of HCC diagnosis. The majority (70.5%) of patients had received prior locoregional therapies, including surgery (28.5%), local ablation (23.6%), and transarterial chemoembolization (TACE; 59.5%) before receiving sorafenib treatment (Table I). Among patients who received TACE before sorafenib treatment, the median number of prior TACE was 2 (range=1-27).

**Prescription patterns of sorafenib.** Overall, 146 out of 3,293 patients had missing data on detailed sorafenib prescription, consequently only 3147 patients were analyzed. A total of 1838 (58.4%) patients received the standard initial dose of sorafenib (800 mg/day), and 1138 (61.9%) of them required subsequent dose reduction (Table II). The most common (80.7%) lowest prescribed dose after dose reduction was 400 mg/day; the lowest dose was 600 mg/day and 200 mg/day in 9.6% and 9.8% of patients, respectively. A total of 1,309 (41.6%) patients received lower initial doses, with 400 mg/day being the most common reduced initial dose (76.6%). Among patients treated with lower initial doses, 36.1% experienced dose escalation to 800 mg/day during the

Table III. Factors associated with initial standard dose of sorafenib.

Variable	Adjusted OR	95% CI	p-Value
Age: $\geq 65$ vs. $< 65$ years	0.86	0.73-1.01	0.073
Gender: Male vs. female	1.41	1.16-1.70	$< 0.001$
Treatment year: 2012 vs. 2013	1.28	1.10-1.51	0.002
Time from initial HCC diagnosis to sorafenib treatment			0.077
$< 3$ Months	Reference		
$\geq 3-6$ Months	1.00	0.75-1.34	
$\geq 6-12$ Months	1.23	0.93-1.62	
$\geq 1-2$ Years	1.42	1.08-1.88	
$\geq 2-4$ Years	1.30	0.99-1.71	
$\geq 4$ Years	1.26	0.96-1.65	
Hospital level: Medical center vs. other	1.13	0.64-2.01	0.667
Hospital area			0.191
Taipei	Reference		
Northern	0.58	0.19-1.83	
Central	1.52	0.72-3.17	
Southern	1.02	0.48-2.19	
Kaoping	2.46	1.06-5.75	
Eastern	1.46	0.37-5.78	
Physician specialty			0.070
Hemato-oncology	Reference		
Surgery	1.13	0.87-1.48	
Gastroenterology	1.06	0.86-1.32	
Other internal medicine	0.50	0.28-0.91	
Other	1.36	0.89-2.10	

OR: Odds ratio; CI: confidence interval.

treatment. The mean dose of all patients was 594.1 mg/day. The mean doses of patients with standard initial dose and lower initial doses were 675.3 mg/day and 473.5 mg/day, respectively (Table II).

In multivariate analysis, being male [*vs.* being female, odds ratio (OR)=1.41;  $p < 0.001$ ] and starting sorafenib treatment in 2012 (*vs.* 2013, OR=1.28;  $p = 0.002$ ) were factors independently associated with being prescribed the standard initial dose of sorafenib (Table III). Old age ( $\geq 65$  years) was associated with a trend for lower initial doses (OR=0.86;  $p = 0.073$ ). The initial dose of sorafenib was not associated with the time from HCC diagnosis to receipt of sorafenib, hospital type, hospital area, nor physician specialty (Table III).

**Survival analysis.** As of December 31, 2013, 2,189 patients (66.5%) had died and 2863 patients (86.9%) had discontinued sorafenib treatment. The mean follow-up time was 6.9 months. The median OS and median TTD of the entire cohort were 6.8 [95% confidence interval (CI)=6.5-7.2] and 2.6 (95% CI=2.5-2.8) months, respectively (Figure 1). The 1-month survival rate and treatment discontinuation rate were 95.1% and 14.6%, respectively. The 2-month

survival rate and treatment discontinuation rate were 87.4% and 35.5%, respectively. Patients who received the standard initial dose of sorafenib, compared to patients who received lower initial doses, exhibited significantly longer OS (median 7.8 vs. 6.6 months,  $p < 0.001$ ; Figure 2A), but similar TTD (median 2.6 vs. 2.9 months,  $p = 0.840$ ; Figure 2B). A longer time from initial HCC diagnosis to sorafenib treatment was associated with significantly longer OS ( $p < 0.001$ ; Figure 2C) and longer TTD ( $p < 0.001$ ; Figure 2D).

In multivariate analysis adjusted for age, sex, time from initial HCC diagnosis to sorafenib treatment, treatment year, hospital level, and hospital area, being prescribed the standard initial dose of sorafenib remained an independent predictor for longer OS [hazard ratio (HR)=0.83, 95% CI=0.76-0.91,  $p < 0.001$ ], but not for TTD ( $p = 0.494$ ) (Table IV). Younger age and longer time from initial HCC diagnosis to sorafenib treatment were independent predictors for longer OS and TTD (Table IV).

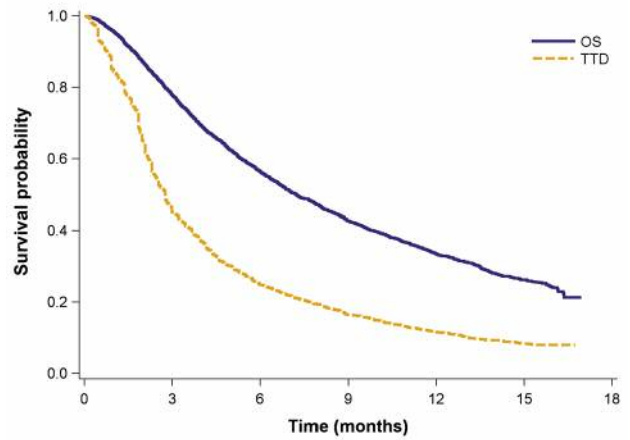


Figure 1. Kaplan–Meier plots of overall survival (OS) and time to treatment discontinuation (TTD) for all patients.  $p$ -Values were determined using the log-rank test.

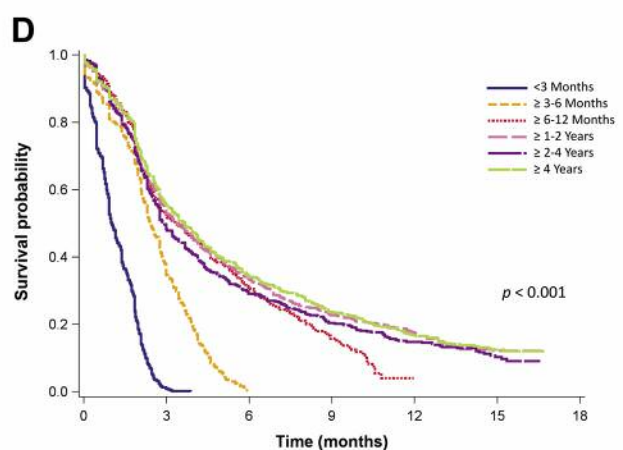
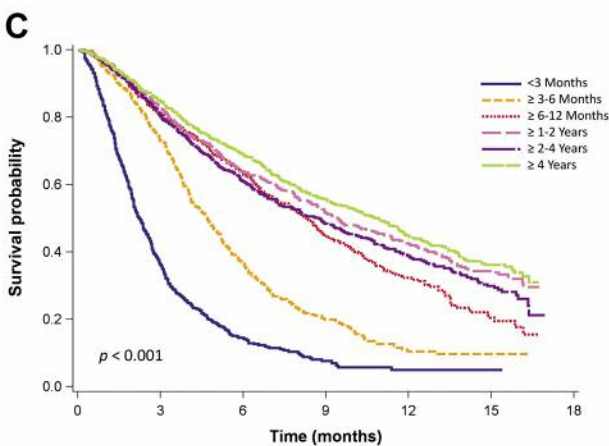
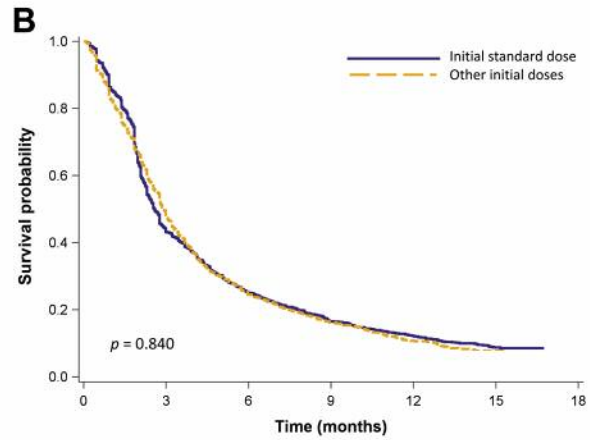
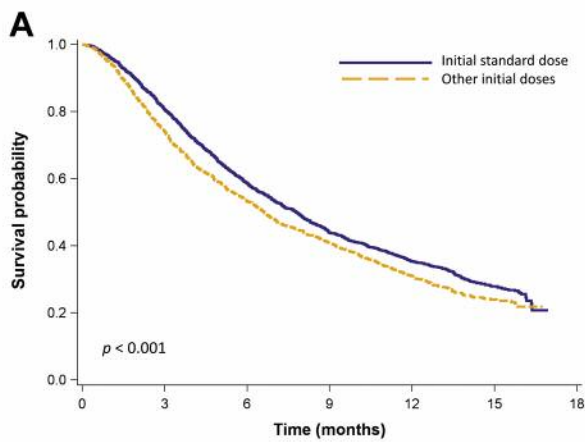


Figure 2. Kaplan–Meier plots of overall survival (OS) (A, C) and time to treatment discontinuation (TTD) (B, D) for subgroups of patients with different initial doses of sorafenib (A, B) and different durations of time from HCC diagnosis to receipt of sorafenib (C, D).

Table IV. Univariate and multivariate analysis of overall survival and time to treatment discontinuation according to different variables.

Variable	Overall survival						Time to treatment discontinuation					
	Univariate			Multivariate <sup>a</sup>			Univariate			Multivariate <sup>a</sup>		
	HR	95% CI	p-Value	HR	95% CI	p-Value	HR	95% CI	p-Value	HR	95% CI	p-Value
Age: ≥65 vs. <65 years	0.98	0.90-1.06	0.582	1.16	1.05-1.27	0.002	0.98	0.91-1.06	0.577	1.19	1.10-1.29	<0.001
Sex: male vs. female	0.96	0.87-1.06	0.432	0.96	0.86-1.06	0.426	0.96	0.88-1.05	0.381	0.92	0.84-1.01	0.081
Time from initial HCC diagnosis			<0.001			<0.001			<0.001			<0.001
<3 Months	Ref.			Ref.			Ref.			Ref.		
≥3-6 Months	0.48	0.42-0.56		0.47	0.40-0.55		0.37	0.32-0.42		0.33	0.28-0.38	
≥6-12 Months	0.26	0.23-0.30		0.26	0.22-0.31		0.19	0.17-0.22		0.18	0.15-0.20	
≥1-2 Years	0.21	0.18-0.25		0.21	0.18-0.25		0.17	0.15-0.20		0.15	0.13-0.18	
≥2-4 Years	0.24	0.21-0.27		0.24	0.20-0.28		0.19	0.16-0.21		0.17	0.14-0.19	
≥4 Years	0.19	0.17-0.22		0.19	0.16-0.22		0.16	0.14-0.19		0.14	0.12-0.17	
Initial dosage: standard vs. others	0.86	0.79-0.94	0.001	0.83	0.76-0.91	<0.001	0.99	0.92-1.07	0.842	0.97	0.90-1.05	0.494
Treatment year: 2013 vs. 2012	1.18	1.08-1.29	<0.001	1.01	0.91-1.11	0.923	1.06	0.98-1.14	0.136	0.91	0.84-0.99	0.020
Hospital level: medical center vs. other	0.95	0.87-1.03	0.220	1.04	0.94-1.15	0.456	0.96	0.89-1.03	0.274	1.01	0.92-1.10	0.886
Hospital area			0.059			0.499			<0.001			<0.001
Taipei	Ref.			Ref.			Ref.			Ref.		
Northern	0.89	0.76-1.04		0.95	0.80-1.13		0.75	0.65-0.86		0.74	0.64-0.86	
Central	0.83	0.74-0.93		0.94	0.83-1.07		0.78	0.70-0.86		0.80	0.72-0.90	
Southern	0.92	0.82-1.03		0.97	0.85-1.10		0.85	0.76-0.94		0.86	0.77-0.96	
Kaoping	0.97	0.85-1.10		1.03	0.90-1.18		1.12	1.00-1.25		1.18	1.05-1.33	
Eastern	0.98	0.71-1.34		1.26	0.90-1.75		0.61	0.46-0.82		0.62	0.46-0.84	

HCC: Hepatocellular carcinoma; HR : hazard ratio; CI: confidence interval; Ref: reference. <sup>a</sup>Considering the similarity between patients treated by the same physician specialty, the Cox proportional hazards model was stratified by physician specialty to examine the effect of different variables on overall survival and time to treatment discontinuation.

**Locoregional therapy during and after sorafenib treatment.** During the sorafenib treatment period, 614 (18.6%) patients received locoregional therapies, including TACE (16.8%) and local ablation (2.0%). After discontinuing sorafenib, 308 (13.2%) patients received further locoregional therapies, including TACE (11.6) and local ablation (1.5%).

**Discussion**

In the current study, the prescription patterns of sorafenib and treatment outcomes of patients with advanced HCC were demonstrated in an unselected general population by using national databases in Taiwan. To our knowledge, this is the largest cohort study in a single country to evaluate sorafenib treatment in real-world clinical practice. We found that a considerable number of patients with advanced HCC received lower than standard doses of sorafenib, either initially or during the treatment period. Treatment outcomes in terms of OS and TTD of this nationwide cohort were similar to those reported in a phase III clinical trial conducted in the Asia-Pacific region (4).

Table V. Percentage of prior locoregional therapies according to time from initial hepatocellular carcinoma diagnosis.

Time from initial HCC diagnosis	N	Prior locoregional therapies N (%)
Total	3,293	2323 (70.5)
<3 Months	442	64 (14.5)
≥3-6 Months	424	155 (36.6)
≥6-12 Months	527	358 (67.9)
≥1-2 Years	594	490 (82.5)
≥2-4 Years	610	577 (94.6)
≥4 Years	696	679 (97.6)

Common side-effects of sorafenib, including diarrhea, hand-foot skin reaction, and fatigue, may lead to dose reduction or treatment interruption. Our results demonstrate that in real-world practice, some Taiwanese physicians preferred prescribing sorafenib with a lower initial dose and

then escalating the dose according to the tolerance of patients. It is conceivable that male and younger patients may tolerate the adverse effects of sorafenib better and therefore were more likely to receive the standard initial dose. Our study also showed that patients in 2013 were more likely to be prescribed lower initial doses compared with those in 2012, implying that some physicians shifted to employing a 'ramp-up' strategy after their previous experiences.

Initial doses of sorafenib varied substantially between countries. In the GIDEON study, nearly all Chinese patients received the standard 800 mg/day dose of sorafenib initially (17). However, in Korea and Japan, only 67.0% and 45.5% of patients, respectively, started with the standard dose (14, 18); more than 80% of patients in Europe and Latin America received the standard initial dose of sorafenib, but only about half of American patients received the standard dose initially (14). Although patients in the GIDEON study were diverse in their disease stage and liver reserve, these data suggested that the cause of different initial doses among countries might be attributable to practice patterns or reimbursement policies (19).

Prior studies reported that starting with a lower sorafenib dose might lead to similar outcomes and higher patient compliance in patients with advanced HCC (12, 13, 20). We found that patients who received the standard initial dose of sorafenib exhibited longer OS than those who received lower initial doses. However, the TTD was similar for patients treated with standard and those treated with lower than standard doses. These results failed to imply that the full initial dose actually lead to better sorafenib efficacy. Instead, some unobtainable factors that were associated with both the initial sorafenib dose and prognosis, such as performance status and comorbidities of patients, may be the actual cause of OS differences in our study (21-24).

Patients with longer time from initial HCC diagnosis to sorafenib treatment exhibited longer OS and TTD. These patients were probably diagnosed with HCC at early or intermediate stages, and they were more likely to receive prior locoregional therapies (Table V). Although the underlying mechanism of distinct prognosis between patients with recurrent HCC and patients with initially advanced HCC warrants further investigation, we suggest stratifying this factor while designing future clinical trials in advanced HCC.

There were several limitations in this retrospective study. Although HCC etiology may be associated with the treatment efficacy of sorafenib (25), we did not have such information. More relevant, whether the patients received antiviral therapies is not known. Data regarding adverse events and tumor responses were also unavailable from the databases. Accordingly, the reasons for discontinuation of sorafenib could not be assessed. However, 35.5% of patients discontinued sorafenib before completing 2 months of treatment, and

presumably many of them were intolerant to toxicities of sorafenib. Additionally, the actual time to disease progression was unknown, and we were therefore only able to use TTD as a surrogate. However, since using an unselected patient cohort, the study still offers unbiased observation of patients who received sorafenib for advanced HCC.

In summary, we demonstrated that a considerable number of patients with advanced HCC received lower than standard doses of sorafenib in real-world clinical practice. The treatment outcomes of patients who received sorafenib for advanced HCC in the general population were consistent with those reported in clinical trials.

## Conflicts of Interest

The Authors have no conflicts of interest to declare.

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