

# Signet Ring Cells and Efficacy of First-line Chemotherapy in Advanced Gastric or Oesogastric Junction Adenocarcinoma

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**Abstract.** Aim: To evaluate the efficacy of first-line palliative chemotherapy, regarding the presence of signet ring cells (SRC). Patients and Methods: Retrospective analysis of consecutive patients with locally advanced or metastatic gastric or oesogastric junction adenocarcinoma who received first-line chemotherapy. Response to chemotherapy, progression-free survival (PFS) and overall survival (OS) were compared between SRC and non-SRC (NSRC) groups. Results: Two hundred and three patients were treated, with 57 (28%) having SRC adenocarcinoma. Objective response rate was significantly lower in SRC patients (5.3% vs. 28.1%,  $p=0.0004$ ). PFS was not significantly different between SRC and NSRC patients (median=3.8 vs. 4.9 months,  $p=0.07$ ). OS was significantly shorter in SRC patients (median=5.6 vs. 9.4 months,  $p<0.008$ ). In multivariate analysis SRC was not an independent prognostic factor for OS (hazard ratio (HR)=1.28,  $p=0.15$ ). Conclusion: Patients with advanced SRC adenocarcinomas seemed to benefit less from chemotherapy, whereas the presence of SRC was not an independent survival prognostic factor.

Despite an important decrease of its incidence and mortality, gastric cancer remains the fourth most common cancer and the second leading cause of cancer-related deaths worldwide (1-3). Approximately two thirds of patients have locally advanced

or metastatic disease at diagnostics (4). About 90% of gastric tumours are adenocarcinomas that are divided into two types according to Lauren's classification (5): intestinal and diffuse types, with the latter including signet ring cell (SRC) adenocarcinoma. SRC is a histological term used to describe a form of mucin-secreting adenocarcinoma whose isolated cells contain abundant cytoplasmic mucin, pushing the nucleus to one side. The World Health Organization (WHO) classification defines SRC when these mucin-rich isolated cells represent more than 50% of the tumour cells (6).

The incidence of SRC adenocarcinoma is increasing for thirty years in Western countries, conversely to the intestinal type (7-10). The specific prognosis of SRC adenocarcinoma remains controversial. Several non-comparative retrospective studies indicated a better prognosis in case of SRC (11-13). Other retrospective studies indicated a poor prognosis of SRC in all (14) or only in advanced stages (15, 16). Some studies indicated that presence of SRC was not an independent prognostic factor (17, 18).

It is commonly considered that SRC adenocarcinoma is less sensitive to chemotherapy, by comparison to intestinal gastric adenocarcinoma. In a recent study performed in patients with localized gastric adenocarcinoma, preoperative chemotherapy was associated with a worse prognosis in patients with SRC (19). We here present the results of a retrospective study assessing the efficacy of chemotherapy in advanced stages. We compared results of chemotherapy in patients with locally advanced or metastatic gastric adenocarcinoma, regarding the presence or not of SRC.

## Patients and Methods

**Patients.** The eligibility criteria included histologically documented adenocarcinoma of the stomach or the oesogastric junction; metastatic or locally advanced non resectable disease; and a WHO

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performance status  $\leq 2$ . Patients have been included in three centres in Northern France. The Ethics committee of the University Hospital of Lille, France, has been informed of the realization of this non-interventional study.

The criteria of the WHO classification for histologic typing of gastric tumours were used: SRC adenocarcinoma was defined as “an adenocarcinoma in which a predominant component (more than 50% of the tumour cells) is represented by isolated or small groups of malignant cells containing intracytoplasmic mucin” (6). Tumours with minority SRC (less than 50% of the tumour) were not considered as SRC adenocarcinoma.

After informed consent, all patients received first-line chemotherapy. Chemotherapy regimens included EOX (epirubicin, oxaliplatin and capecitabine), ECX (epirubicin, cisplatin and capecitabine), ECF (epirubicin, cisplatin and 5-fluorouracile (5-FU)), FOLFIRI (5-FU, leucovorin and irinotecan), FOLFOX (5-FU, leucovorin and oxaliplatin), 5-FU-cisplatin, docetaxel and 5-FU alone. Tumour evaluations were performed by computed tomography (CT) scan every three cycles (EOX, ECX, ECC, cisplatin-5-FU regimens) or every 4 cycles (FOLFIRI, FOLFOX regimens). Tumour responses were graded according to the RECIST criteria (20). Chemotherapy was carried on until disease progression, limitative toxicity or patient's refusal. After cessation of first-line chemotherapy, subsequent chemotherapy lines were allowed. All patients were seen at least every two months for clinical and CT scan evaluations thereafter. Follow-up of the study was conducted until death or until the cut-off date of October 30, 2011.

**Study end-points.** The primary end-point was overall survival (OS). Secondary end-points were progression-free survival (PFS), objective response rate (ORR) according to RECIST criteria and safety according to the National Cancer Institute-common toxicity criteria (NCI-CTC) (21).

We assessed the potent prognostic value of the presence of SRC and the following baseline clinicopathological features: age, gender, performance status, primary tumour location, prior gastrectomy, prior perioperative or adjuvant chemotherapy and/or adjuvant radiotherapy, tumour differentiation, tumour stage at diagnosis and at the beginning of palliative chemotherapy (locally advanced or metastatic), number of disease sites, presence of peritoneal carcinosis, type of chemotherapy (with or without platinum compound).

**Statistical analyses.** The results were expressed by means and standard deviations (SD) for continuous variables and by frequencies and percentages for categorical variables. The two groups defined by the presence or the absence of SRC were compared by using the unpaired Student's *t*-test for continuous variables and by the Chi-square test or Fisher's exact test for categorical variables. OS was calculated from the beginning of palliative chemotherapy until death when it occurred. When death did not occur, the observation was censored at the time of the cut-off date (October 30, 2011). For PFS, the event was the death from any cause or disease progression. The survival curves were estimated by using the Kaplan-Meier method. The individual prognostic value of each baseline clinic-pathologic feature was studied by using the Cox proportional hazards model (univariate analysis). These variables were then introduced in a multivariate Cox regression model with backward selection significant at the 0.1

level. In this regression model, tumour differentiation was not analyzed due to missing data and the SRC status was included in every model. Hazard ratios (HRs) and their 95% confidence intervals (CIs) were computed. Statistical analysis was performed by means of SAS 9.3 software (SAS Institute Inc., Cary, NC, USA). All tests were two-sided and statistical significance was defined as a *p*-value  $\leq 0.05$ .

## Results

**Patients' characteristics.** Between January 2003 and June 2011, 203 patients were included in this study. Among them, 27 (13%) had locally advanced disease and 176 (87%) metastatic disease. Eighty-eight patients (43.4%) had oesogastric tumour and 115 patients (56.6%) gastric tumour. Among the 203 patients, 57 patients (28%) had a histological diagnosis of SRC adenocarcinoma.

The clinicopathologic features of the 57 patients with SRC gastric cancer and of the 146 patients with non-signet ring cell (NSRC) adenocarcinoma are presented in Table I. The SRC patients were significantly younger (mean age=53 *vs.* 61 years; *p*<0.001). The proportion of females in the SRC group was higher (46% *vs.* 14%; *p*<0.001). SRC tumours were more frequently located in the lower third of the stomach than NSRC tumours (37% *vs.* 22%; *p*=0.03). Diffuse location was more frequent in the SRC group (14% *vs.* 1%; *p*<0.001). The peritoneal carcinosis was significantly more common in SRC adenocarcinomas (68.4% *vs.* 30.8%; *p*<0.001). SRC tumours were more frequently poorly differentiated (89% *vs.* 36%; *p*<0.001). Significantly, more patients in the SRC group previously underwent curative surgery (54% *vs.* 36%; *p*=0.02).

There were no significant differences between SRC and NSRC adenocarcinoma regarding the tumour stage at diagnosis and at the beginning of the palliative chemotherapy, regarding (i) the performance status and the number of metastatic sites at the beginning of the palliative chemotherapy, (ii) previous perioperative or adjuvant chemotherapy and/or radiotherapy, (iii) the proportion of patients who had received chemotherapy with platinum and (iv) the proportion of patients who were dead at the cut-off date. In SRC adenocarcinoma, the first-line chemotherapy regimens were FOLFIRI (26%), ECC (14%), EOX (14%), 5-FU-cisplatin (11%), ECF (9%), FOLFOX (7%), docetaxel (3%) and others (16%). In NSRC group, the regimens were FOLFIRI (30%), 5-FU-cisplatin (21%), ECC (10%), ECF (9%), EOX (8%), FOLFOX (7%), LV5-FU2 (4%), capecitabine-cisplatin (3%) and others (8%). The median numbers of administered chemotherapy cycles were 7 and 7 in SRC and NSRC adenocarcinoma, respectively.

Forty-three percent of all patients received second-line chemotherapy: 35% in the SRC group and 46% in the NSRC group.

Table I. Baseline patients' characteristics regarding the presence or not of signet ring cells (SRC or NSRC groups).

	SRC n=57 (28%)	NSRC n=146 (72%)	p-Value
Male gender	31 (54.4)	126 (86.3)	<0.001
Mean age (years) (standard deviation)	52.8 (14.8)	61.3 (12.3)	<0.001
Disease status at the diagnosis			0.08
Located/resectable	14 (24.6)	22 (15.1)	
Locally advanced	10 (17.5)	46 (31.5)	
Metastatic	33 (57.9)	78 (53.4)	
Tumour location			<0.001
Upper	16 (28.1)	86 (58.9)	
Middle	12 (21.1)	27 (18.5)	
Lower third of stomach	21 (36.8)	32 (21.9)	
Tumour differentiation			<0.001
Weakly	25 (89.3)	43 (36.4)	
Averagely	3 (10.7)	56 (47.5)	
Well	0	19 (16.1)	
Unknown		57 (28)	
Disease status at advanced stage			0.1
Locally advanced	4 (7.0)	23 (15.8)	
Metastatic	53 (93.0)	123 (84.3)	
Prior surgery of the primary	31 (54.4)	52 (35.6)	0.02
Perioperative chemotherapy	11 (19.3)	31 (21.2)	NS
Performance status (advanced stage)			NS
Performance status (advanced stage)			NS
0	15 (26.3)	42 (28.8)	
1	28 (49.1)	81 (55.5)	
2	14 (24.6)	23 (15.8)	
Number of metastatic sites			NS
0-1	29 (50.8)	78 (53.4)	
≥2	28 (49.1)	68 (46.6)	
Peritoneal carcinosis	39 (68.4)	45 (30.8)	<0.001
Platinum-based first-line chemotherapy	35 (61.4)	94 (64.4)	NS

NS, Not significant.

**Overall survival.** The median and mean follow-up durations were 8.2 and 13.0 months (range=1-129). OS was significantly shorter in the SRC group: 5.6 vs. 9.4 months ( $p=0.008$ ; Figure 1). In the univariate analysis, a significant difference for OS was found for performance status (PS 2 vs. 0: HR=3.04,  $p<0.001$ ; PS 3 vs. 0: HR=5.35,  $p=0.002$ ), disease stage at the beginning of chemotherapy (HR=1.91,  $p=0.01$ ), age (HR=0.99,  $p=0.044$ ) and SRC adenocarcinoma (HR=1.55,  $p=0.01$  (Table II). In the multivariate analysis, performance status (PS 3 vs. 0: HR=6.27,  $p<0.001$ ; PS 2 vs. 0: HR=2.54,  $p=0.0002$ ; PS 1 vs. 0: HR=1.43,  $p=0.044$ ) and metastatic stage at the beginning of the palliative chemotherapy (HR=2.15,  $p=0.002$ ) were predictive independent factors of poor prognosis (Table III). SRC was not an independent prognostic factor (HR=1.28,  $p=0.15$ ).

**Progression-free survival.** The median PFS of patients with SRC adenocarcinoma was shorter than that of patients with NSRC; however, the difference was not significant (3.8 vs.

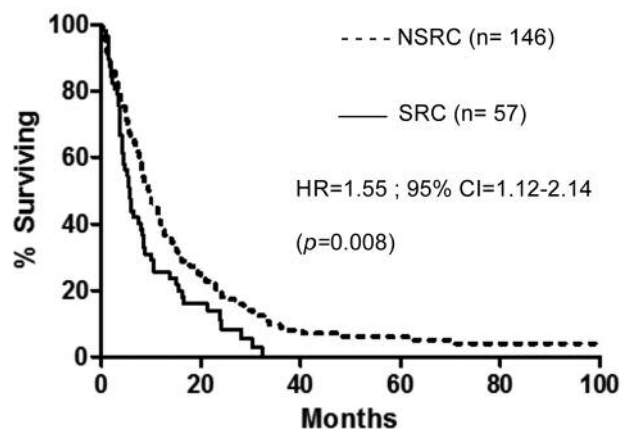


Figure 1. Overall survival in patients with signet ring cell (SRC) or non-signet ring cell (NSRC) adenocarcinomas. HR, Hazard ratio; CI, confidence interval.

Table II. Univariate analysis of potent prognostic factors for progression-free survival (PFS) and overall survival (OS).

Factors	PFS			OS		
	Hazard ratio	95% CI	p-Value	Hazard ratio	95% CI	p-Value
Gender						
Female/Male	1.16	0.83-1.62	NS	1.38	0.98-1.94	0.07
Age (years)	0.99	0.98-1.00	0.04	0.99	0.98-1.00	0.044
Tumour location						
Upper	1			1		
Middle	1.26	0.86-1.85	NS	1.50	0.95-2.37	0.08
Lower third of stomach	0.97	0.69-1.36	NS	1.25	0.83-1.88	NS
Diffuse	1.23	0.62-2.44	NS	1.98	0.62-6.32	NS
Signet ring cells						
Yes/No	1.33	0.98-1.82	0.07	1.55	1.12-2.14	0.01
Tumour differentiation						
Averagely/Weakly	1.02	0.71-1.47	NS	0.83	0.58-1.21	NS
Well/Weakly	1.08	0.64-1.82	NS	0.79	0.45-1.36	NS
Disease extent						
Metastatic/Locally advanced	1.57	1.01-2.44	0.04	1.91	1.22-3.01	0.01
Prior surgery for primary						
No/Yes	1.14	0.86-1.53	NS	1.10	0.78-1.56	NS
Perioperative chemotherapy						
Yes/No	1.09	0.77-1.55	NS	1.10	0.76-1.57	NS
Performance status (vs. 0)						
1	1.16	0.83-1.62	NS	1.31	0.87-1.96	NS
2	1.86	1.17-2.89	0.01	3.04	1.74-5.31	<0.001
Number of metastatic sites						
≥2/0-1	1.03	0.77-1.37	NS	1.19	0.89-1.59	NS
Peritoneal carcinosis						
Yes/No	1.21	0.91-1.62	0.19	1.47	1.09-1.97	0.01
Platinum-based chemotherapy						
Yes/No	0.87	0.65-1.17	NS	0.89	0.66-1.20	NS

NS, Not significant; CI, confidence interval.

Table III. Multivariate analysis of potent prognostic factors for progression-free survival (PFS) and overall survival (OS).

Factors	PFS			OS		
	Hazard Ratio	95% CI	p-Value	Hazard Ratio	95% CI	p-Value
Signet ring cells						
Yes/No	1.14	0.82-1.58	0.44	1.28	0.91-1.80	0.15
Disease status*						
Metastatic/Locally advanced	1.63	1.02-2.62	0.043	2.15	1.31-3.51	0.002
Performance status (vs. 0)						
1	1.26	0.90-1.77	0.18	1.43	1.01-2.04	0.044
2	1.92	1.18-3.11	0.009	2.54	1.55-4.19	<0.001

\*At the beginning of palliative chemotherapy. CI, Confidence interval.

4.9 months,  $p=0.07$ ). In the univariate analysis, performance status (PS 3 vs. 0: HR=3.38,  $p=0.001$ ; PS 2 vs. 0: HR=1.84,  $p=1.84$ ) and disease stage at the beginning of chemotherapy (HR=1.57,  $p=0.044$ ) were also found to be prognostic factors for PFS (Table II). Multivariate analysis indicated that

performance status (PS 3 vs. 0: HR=3.39,  $p=0.001$ ; PS 2 vs. 0: HR=1.92,  $p=0.009$ ; PS 1 vs. 0: HR=1.26,  $p=0.18$ ) and disease stage at the beginning of chemotherapy (HR=1.63,  $p=0.043$ ) were independent prognostic factors for PFS (Table III).

**Objective response rate.** ORR to the first-line chemotherapy was significantly higher in NSRC adenocarcinoma (28.1% vs. 5.3%,  $p<0.001$ ). In SRC and NSRC adenocarcinoma, complete response was observed in 0 and 6%, partial response in 5% and 22%, stable disease in 42% and 32%, disease control in 60% and 47% and progressive disease in 53% and 40%, respectively.

**Safety.** Anaemia, thrombocytopenia and neutropenia of grades 3 and 4 were observed in 6%, 4% and 11%, respectively. Nausea and vomiting, diarrhoea, anorexia and peripheral neuropathy of grades 3 and 4 were found in 5%, 4%, 1% and 2%, respectively. There was no significant difference in frequency of adverse events between SRC and NSRC adenocarcinomas.

## Discussion

This study showed that SRC adenocarcinoma was associated with a lower response rate to chemotherapy and a lower OS, but this prognostic value was not independent in multivariate analyses. The survival benefit of palliative chemotherapy has been demonstrated since the 1990s (22, 23) and confirmed by the meta-analysis of Wagner *et al.* (24) in 2010. Similarly, the interest of perioperative chemotherapy in localized gastric and oesogastric adenocarcinomas has been demonstrated with a significant gain in terms of OS (25). Yet, these studies have not distinguished SRC from NSRC adenocarcinomas. Recently, a retrospective study has questioned the benefit of perioperative chemotherapy for patients with SRC adenocarcinomas (19). In locally advanced or metastatic disease, only few studies focused specifically on SRC adenocarcinomas. Our findings are consistent with those recently reported by Taghavi *et al.* reviewing 10,246 cases of patients with gastric cancer in the National Cancer Institute Surveillance, Epidemiology and End Results database, including 2,666 SRC adenocarcinomas in the United States (26). In their multivariate analysis, presence of SRC was not an independent mortality predictor (HR=1.05;  $p=0.15$ ). Median survival for SRC adenocarcinoma vs. NSRC adenocarcinoma was not significantly different for stage 2 (40.0 vs. 30.0 months;  $p=0.194$ ) and stage 3 (20.0 vs. 19.0 months;  $p=0.671$ ) diseases. Yet, for stage 4 tumours, NSRC adenocarcinoma had a mildly shorter median OS than SRC adenocarcinoma (6.0 vs. 7.0 months;  $p=0.01$ ). The performance status of patients, number of metastatic sites and number of stage 4 patients whose condition was consistent with the achievement of chemotherapy were not described. An imbalance in these characteristics may explain this difference, which, however, remains small.

As in Asian and American studies (11, 26-30), SRC cancer had a different presentation. SRC adenocarcinoma was more

frequent in females and in younger patients. Location in the stomach was more controversial. In our study, SRC adenocarcinoma was more frequent in the lower third of the stomach. This preferential distribution has come across in a recent study (26). SRC adenocarcinoma was more likely to be found in the middle third of the stomach, in other studies from Asia (11, 29, 30). Similarly, the prognostic value of SRC adenocarcinoma seems different in Asian studies. In several Asian studies, patients with early SRC gastric adenocarcinoma had a better survival than patients with NSRC adenocarcinoma (11, 12, 15-17, 29) and those with advanced SRC adenocarcinoma had a similar (11, 12, 30, 31) or poorer (15, 16) prognosis than NSRC adenocarcinoma. In contrast, Theuer *et al.*, who studied a historical cohort of consecutive cases of stomach cancer in United States, did not find significant differences in OS between SRC and NSRC adenocarcinomas (18).

Our findings were similar to those previously reported by Rougier *et al.* (32) who found no differences in survival in SRC adenocarcinoma despite a lower response rate to chemotherapy. The low response rate of SRC adenocarcinoma to chemotherapy may be explained by the more frequent presence of peritoneal involvement. In our study, peritoneal carcinosis was observed in 68.4% in SRC group and 30.8% in NSRC group. The peritoneal response rate is less than 14% (33, 34). The peritoneal surface chemotherapy concentration is much lower than blood chemotherapy concentration after intravenous administration. The intraperitoneal (*i.p.*) administration of chemotherapy could be interesting. Ideal drugs for *i.p.* chemotherapy have high therapeutic index: high concentration in peritoneal cavity, high penetration in peritoneal nodules and low systemic concentrations. This difference in distribution is expressed by the area under the curve (AUC) ratios of *i.p.* versus blood exposure. Mitoxantrone, doxorubicin, 5-FU, gemcitabine, docetaxel and paclitaxel had an AUC *i.p./intravenous (i.v.)* of 115-255, 230, 250, 500, 552 and 1,000 respectively (35). Paclitaxel and docetaxel are the best drugs for *i.p.* treatment. Thus, *i.p.* chemotherapy can be evaluated in association with *i.v.* chemotherapy in selected patients with isolated peritoneal carcinosis of SRC carcinomas.

Current chemotherapy schedules do not seem effective enough on gastric cancer with SRC. In advanced disease, chemotherapy is the only treatment used. To improve the results in patients with SRC adenocarcinoma, it is necessary to perform prospective trials dedicated to this population. Targeted therapies currently in development seem an interesting line of research. A prospective randomised double-blind, phase III trial has evaluated bevacizumab plus chemotherapy in locally advanced and metastatic adenocarcinoma of the stomach (The Avastin in Gastric Cancer (AVAGAST) trial) (36). Bevacizumab was associated

with a significantly increased PFS (6.7 vs. 5.3 months, HR=0.80;  $p=0.003$ ) without improvement of OS, which was the primary end-point of the trial (12.1 vs. 10.1 months, HR=0.87;  $p=0.1$ ). An unplanned subset analysis of the AVAGAST study (37) suggested a benefit in the subset of non-Asians with diffuse or distal disease (HR=0.67; 95%CI=0.52-0.88). These data need to be confirmed in prospective studies specifically targeting this population.

The mammalian target of rapamycin (mTOR) is another target that has been tested in gastric cancer. Indeed, phospho-mTOR is expressed in 60% of intestinal and 64% of diffuse-type gastric adenocarcinomas (38). Everolimus, an oral mTOR inhibitor, was evaluated in an international phase III in previously treated advanced gastric cancer (39). Median OS was not improved by everolimus compared to best supportive care (5.4 months vs. 4.3 months, HR=0.90;  $p=0.124$ ). The subgroup analysis showed no benefit of everolimus for the diffuse-type gastric adenocarcinomas. Molecular studies of the diffuse-type gastric cancers are necessary to highlight new molecular targets.

Recently, ramucirumab, a monoclonal antibody against vascular endothelial growth factor receptor-2 (VEGFR-2) antagonist, has proven its benefit in second-line *versus* placebo in oesogastric adenocarcinomas with improved overall survival (5.2 months vs. 3.8 months, HR=0.78;  $p=0.047$ ) (41). In subgroup analysis, the diffuse-type of adenocarcinomas seemed to benefit significantly from this new anti-angiogenic therapy (HR=0.56). Ramucirumab seems also promising in second-line treatment in association with paclitaxel (42). This agent is currently assessed in first-line in association to chemotherapy.

A potential weakness of the present study was its retrospective nature, although it was performed on large series of patients treated in Europe. Another limitation is the absence of systematic reassessment of histological slides. Yet, Piessen *et al.* performed a re-assessment by a blinded pathologist on 33 slides of gastric adenocarcinomas in the University Hospital of Lille, France, which was the main centre of the present study (40). There was a high concordance rate of 93.9%.

To conclude, our study indicated, in terms of response rate and survival, that patients with SRC gastric or oesogastric junction adenocarcinoma seemed to benefit less from chemotherapy and that the presence of SRC was not by itself an independent prognostic factor for survival.

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