Development and Validation of a Novel Plasma Protein Signature for Breast Cancer Diagnosis by Using Multiple Reaction Monitoring-based Mass Spectrometry

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Abstract. Aim: We aimed to develop a plasma protein signature for breast cancer diagnosis by using multiple reaction monitoring (MRM)-based mass spectrometry. Materials and Methods: Based on our previous studies, we selected 124 proteins for MRM. Plasma samples from 80 patients with breast cancer and 80 healthy women were used to develop a plasma proteomic signature by an MRM approach. The proteomic signature was then validated in plasma samples from 100 patients with breast cancer and 100 healthy women. Results: A total of 56 proteins were optimized for MRM. In the verification cohort, 11 proteins exhibited significantly differential expression in plasma from patients with breast cancer. Three proteins (neural cell adhesion molecule L1-like protein, apolipoprotein C-1 and carbonic anhydrase-1) with highest statistical significance which gave consistent results for patients of stage I and II breast cancer were selected and a 3-protein signature was developed using binary logistic regression [area under the curve (AUC)=0.851, sensitivity=80.6%]. The 3-protein signature showed similar

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performance in an independent validation cohort with an AUC of 0.797 and sensitivity of 77.2% for detection of stage I and II breast cancer. Conclusion: We developed a distinct plasma protein signature for breast cancer diagnosis based on an MRM-based approach, and the clinical value of the 3-protein signature was validated in an independent cohort.

During the past several decades, the treatment outcome of patients with breast cancer has dramatically improved. Recent statistics have suggested that breast cancer-related mortality is actually beginning to decline in Western women. The main reasons for survival improvement are the wide use of adjuvant systemic chemotherapy and the public adoption of breast cancer screening (1).

Early detection of breast cancer through screening programs utilizes physical examination and breast imaging (screening mammography) (2). Systematic review of data from randomized trials has shown that screening mammography reduces breast cancer mortality for women aged 39 to 69 years (3). However, screening mammography also carries certain limitations, such as the substantial discomfort during the test and its limited accuracy, which lead to over-diagnosis, unnecessary biopsies, and failure to detect occult carcinomas (4, 5).

To overcome these issues, recent efforts have focused on developing novel genomic or proteomic methods with the purpose of increasing early breast cancer detection using blood samples (6). In particular, proteomics is an interesting means of developing novel diagnostic tools since cancer cells may secrete cancer-specific proteins and certain membrane

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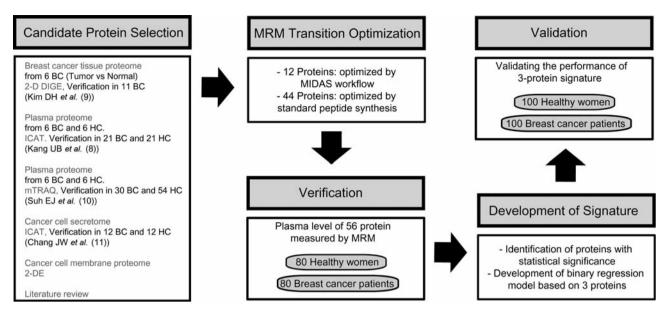


Figure 1. The study scheme of plasma proteomic signature discovery for breast cancer. BC: Breast cancer; HC: healthy control; 2-D DIGE: 2-dimensional differential gel electrophoresis; ICAT: isotope-coded affinity tagging; mTRAQ: mass differential tags for relative and absolute quantification; 2-DE: 2-dimensional electrophoresis; MRM: multiple reaction monitoring; MIDAS: multiple reaction monitoring-initiated detection and sequencing.

proteins can be shed from carcinomas during cell proliferation. It is well-appreciated that complex cancer biology can be better-captured by multi-marker signatures than by a single-marker approach (7). Recent advances in proteomic technologies, such as multiple reaction monitoring (MRM), have made the multi-marker approach feasible in a limited amount of human sample.

In the present study, we selected a list of candidate proteins based on our previous proteomic studies using breast cancer biospecimens as well as literature review. Using an MRM-based approach, we established a plasma proteomic signature comprising of three plasma proteins for breast cancer diagnosis. The diagnostic performance of the plasma proteomic signature was further validated in an independent cohort of patients with breast cancer and healthy women.

Materials and Methods

Characteristics of studied women and blood sampling. For verification and validation, plasma samples of patients with breast cancer were obtained before the definitive treatment of their breast cancer at Seoul National University Hospital. Patients with *in situ* carcinoma and patients with recurrent breast cancer were excluded from this study.

To obtain blood samples from healthy women, those who visited the Seoul National University Hospital Healthcare System Gangnam Center for routine health check-up were invited. During the comprehensive explanation for their health check-up, the participants were also informed of this research and the additional blood sampling of 3-5 cc for research purposes. Between September 2010 and October 2010, a total of 200 healthy women who did not show any abnormality in their breast cancer screening consented to this study and donated their blood samples. This study comprises of two analytical evaluations, the verification and the validation study. Two independent MRM experiments were carried out for verification and validation using plasma from 80 and 100 patients with breast cancer and healthy volunteer pairs for each cohort, respectively (Figure 1).

This study was approved by the Institutional Review Board of Seoul National University Hospital (IRB No. 0512-502-163), and was conducted according to the declaration of Helsinki.

Preparation of blood samples. Plasma samples were drawn from peripheral veins and were temporarily stored in tubes containing ethylene diaminetetra-acetic acid (EDTA) to prevent coagulation. Samples were then transferred to the laboratory and underwent centrifugation at $1,300 \times g$ for 10 min at 4°C. The supernatant plasma was filtered through a cellulose acetate filter (0.2 μ m pore size) and platelet-free plasma was stored at -80°C for further use.

For mass spectrometry (MS), plasma protein concentration was determined by the Bradford assay. The plasma protein samples (200 µg) were denatured by incubation in 50 mM Tris buffer (pH 8.0) containing 3 M urea at 37°C for 30 min. Samples were reduced with 10 mM dithiothreitol for 1 h at 56°C, treated with 60 mM iodoacetamide for 1 h at room temperature in the dark, and then diluted 10-fold with 50 mM ammonium bicarbonate. Digestion was performed with sequencing-grade trypsin (Promega, Madison, WI, USA) at 37°C overnight at a protein:trypsin molar ratio of 50:1.

Tryptic digests were desalted using a C18 SPE cartridge (Waters, Milford, MA, USA) and dried *in vacuo*. The dried samples were dissolved in 0.1% formic acid. One hundred femtomoles of a beta-galactosidase (β -Gal) peptide (residues 954-962, GDFQFNISR) was added to the desalted peptide mixture as a relative internal standard peptide for the MRM runs.

Synthetic peptide for MRM transition optimization. Eighty-three peptides from 44 proteins that were not identified through the MIDAS workflow were synthesized for MRM verification. These 83 peptides were selected based on conditions for containing the tryptic end, and not including any modification sites within the useful range of 8-20 amino acids. To specify peptides in designated protein targets, peptides were searched with BLASTP for exact matches against the human SWISS-PROT peptides using NCBI BLAST (www.ncbi.nlm.nih.gov/blast). The peptides were synthesized by JPT SpikeTides™ services (http://www.jpt.com).

MRM. MRM runs were performed for the predetermined transitions using a triple quadrupole linear ion trap in the MRM mode. An aliquot (~10 μg) was then injected into a reversed-phase HALO C18 column (Advanced Materials Technology, Inc., Wilmington, DE, USA) (10 cm ×500 μm) on an Eksigent micro-UPLC system (AB Sciex, Foster City, CA, USA) at a flow rate of 30 μl/min. The column was equilibrated with 95% buffer A (0.1% formic acid in water) and 5% buffer B (0.1% formic acid in acetonitrile) prior to use. The peptides from plasma with internal standard peptide (β-Gal) were eluted with a linear gradient of 10-40% buffer B over 40 min.

Electrospray MS data were collected using the Turbo V^{TM} Source on a 5500 Q TRAP hybrid triple quadupole/linear ion trap instrument (AB Sciex), and the peaks were integrated using quantitation procedures in the Analyst software 1.4.2 (IntelliQuan algorithm). MRM transitions were acquired at unit resolution in both Q1 and Q3 quadrupoles to maximize specificity. The source temperature was set to 400°C, and the source voltage was set to 4500 V. The declustering potential was set to 100 V and entrance potential was set to 10 V. The curtain gas was set to 15, and collision gas was set to medium. The collision energy (CE) for each transition was based on theoretical values that were calculated from the equation CE=0.044 × (m/z) + 8.5 for (M+²H+) ions. The scan time was maintained at 50 ms for each transition, and the pause time between transition scans was set to 5 ms.

Data acquisition and statistical analysis. Data from the intensity chromatograms of the transitions were extracted with the MultiQuant program (AB Sciex). Peak areas for transitions were extracted and normalized *versus* internal standard transitions (Q1/Q3 transitions at 542.3/636.3 m/z for the β -Gal peptide; see above for sequence). Each normalized peak area for the individual transitions was compared with the corresponding transition peaks of other runs to estimate the relative differences between individual plasma samples.

For statistical analysis, Student's *t*-test was used to compare the concentration of proteins between patients with cancer and healthy women, and binary logistic regression analysis was used to create the 3-protein signature for breast cancer diagnosis. All statistical analyses were performed using IBM SPSS Statistic software version 19 (IBM, Armonk, NY, USA).

Results

Selection of plasma protein candidates for MRM-based breast cancer diagnostics. Based on our previous studies on breast cancer-specific biomarkers (8-11), we were able to identify a total of 124 proteins that were significantly differentially expressed between cancer and normal tissue (Supplementary Table I available at http://lbcb.snu.ac.kr/ g4/bbs/board.php?bo table=pds&wr id=2). Briefly, our previous studies were based on five experimental settings; i) isotope-coded affinity tag (ICAT) labeling and tandem MS using plasma from patients with breast cancer and healthy women (8); ii) 2-dimensional differential gel electrophoresis (2-D DIGE) experiment comparing breast cancer tissue and non-cancerous tissues (9); iii) mass differential tags for relative and absolute quantification (mTRAO)-based stable isotope-labeling MS using plasma from patients with breast cancer and healthy women (10), iv) 2-D electrophoresis of breast cancer cell line secretome (11), and ICAT analysis of cancer cell line membrane proteins. We also performed a literature review of plasma proteins reported to be specific to patients with breast cancer and identified 29 proteins (12-14). Among the 124 candidate proteins, the candidates for MRM were narrowed down to 56 proteins based on the results of the MIDAS workflow (12 proteins) and the successful identification after peptide synthesis (44 proteins) (Figure 1) (Table I).

Identification of three significant plasma proteins and development of a diagnostic model. The plasma concentration of the selected 56 proteins was measured by MRM for 80 healthy women and 80 patients with breast cancer (verification cohort). Among the 56 plasma proteins, 11 proteins were statistically significantly differentially expressed in blood from patients with breast cancer when compared to that of healthy women (Figure 2A). The plasma levels of these proteins were also compared between healthy women and patients with stage I or II breast cancer since these tumors are often asymptomatic, and hence are best candidates for breast cancer screening. Among the 11 proteins with significant differential expression, four lost their statistical significance in stage I and II breast cancer (gelsolin, plasma protease C1 inhibitor, apolipoprotein D, and glutathione peroxidase 3) (Figure 2B).

The three proteins (neural cell adhesion molecule L1-like protein, apolipoprotein C-1, carbonic anhydrase-1) with highest statistical significance for both normal *vs.* all stage and normal *vs.* stage I/II were selected for further binary regression analysis. Based on the B weight from the binary regression analysis, the following model was created for the three proteins to predict breast cancer: model=0.604× [carbonic anhydrase-1] + 7.575×[neural cell adhesion molecule L1-like protein] – 0.523×[apolipoprotein C-1]. In the verification

Table I. The list of 56 proteins used for multiple reaction monitoring-based mass spectrometry.

Number P02654 P02671					Sequence	CE	Source
			Q1	Q3			
D02671	APOC1	Apolipoprotein C-I	526.8	776.4	EFGNTLEDK	31.68	MIDAS
1020/1	FGA	Fibrinogen Alpha Fragment	553.8	879.5	VQHIQLLQK	32.87	MIDAS
P05090	APOD	Apolipoprotein D	615.8	890.5	NILTSNNIDVK	35.60	MIDAS
P05155	SERPING1	Plasma protease C1 inhibitor	609.7	771.4	GVTSVSQIFHSPDLAIR	34.98	MIDAS
P06396	GSN	Gelsolin	441.7	710.4	TGAQELLR	27.94	MIDAS
P08519	LPA	Apolipoprotein(a)	521.8	721.4	GTYSTTVTGR	31.46	MIDAS
P0C0L5	C4B-1	Complement component C4B	782.4	836.5	TTNIQGINLLFSSR	42.93	MIDAS
P19652	ORM2	Alpha-1-acid glycoprotein 2	617.9	869.5	EHVAHLLFLR	35.69	MIDAS
P43251	BTD	Biotinidase	654.4	751.4	LSSGLVTAALYGR	37.29	MIDAS
P55786	NPEPPS	Puromycin-sensitive aminopeptidase	565.3	870.5	AGIISTVEVLK	33.38	MIDAS
Q03591	CFHR1	Complement factor H-related protein 1	665.8	552.3	INHGILYDEEK	37.80	MIDAS
P05109	S100A8	Protein S100-A8	636.9	774.4	ALNSIIDVYHK	36.52	IDA
P08571	CD14	Monocyte differentiation antigen CD14	456.8	641.3	ATVNPSAPR	28.60	IDA
O00533	CHL1	Neural cell adhesion molecule L1-like protein	642.8	836.4	GDLYFANVEEK	36.78	IDA
P06276	BCHE	Cholinesterase	614.3	820.4	IFFPGVSEFGK	35.53	IDA
Q9UGM5	FETUB	Fetuin-B	456.8	700.4	LVVLPFPK	28.60	IDA
P06702	S100A9	Protein S100-A9	904.0	1236.6	NIETIINTFHQYSVK	48.28	IDA
P02751	FN1	Fibronectin	555.8	821.4	STTPDITGYR	32.95	IDA
P22352	GPX3	Glutathione peroxidase 3	374.7	546.3	TTVSNVK	24.99	IDA
P00915	CA1	Carbonic anhydrase 1	485.8	758.4	VLDALQAIK	29.88	IDA
O15439	ABCC4	Multidrug resistance-associated protein 4	538.3	733.4	AEAAALTETAK	32.19	IDA
O95045	UPP2	Uridine phosphorylase 2	723.9	943.6	FEQVILDNIVTR	40.35	IDA
P00746	CFD	Complement factor D	895.6	331.2	VQVLLGAHSLSQPEPSK	47.91	IDA
P02741	CRP	C-Reactive protein	564.8	696.4	ESDTSYVSLK	33.35	IDA
P06126	CD1A	T-Cell surface glycoprotein CD1a	523.8	786.5	FILGLLDAGK	31.55	IDA
P07996	THBS1	Thrombospondin-1	651.9	960.5	AGTLDLSLTVQGK	37.18	IDA
P09086	POU2F2	POU domain, class 2, transcription factor 2	751.4	939.5	LYGNDFSQTTISR	41.56	IDA
P09172	DBH	Dopamine beta-hydroxylase	559.8	791.5	TPEGLTLLFK	33.13	IDA
P18031	PTPN1	Tyrosine-protein phosphatase non-receptor type 1	430.7	648.4	DPSSVDIK	27.45	IDA
P31944	CASP14	Caspase-14	782.4	922.5	DPTAEQFQEELEK	42.92	IDA
P42338	PIK3CB	Phosphatidylinositol-4,5-bisphosphate	523.3	875.4	GLHEFDSLK	31.52	IDA
		3-kinase catalytic subunit beta isoform					
P50991	CCT4	T-Complex protein 1 subunit delta	709.4	932.5	GDVTITNDGATILK	39.71	IDA
P51884	LUM	Lumican; Keratan sulfate proteoglycan lumican	469.2	523.3	EDAVSAAFK	29.15	IDA
P61769	B2MG	Beta-2-microglobulin	575.1	460.7	VEHSDLSFSK	33.80	IDA
Q12986	NFX1	Transcriptional repressor NF-X1	755.4	501.3	FNTDAAEFIPQEK	41.74	IDA
Q13608	PEX6	Peroxisome assembly factor 2	611.3	816.4	YLEGSIAPEDK	35.40	IDA
Q38SD2	LRRK1	Leucine-rich repeat serine/ threonine-protein kinase 1	758.7	530.3	LTELPALFLHSFK	41.88	IDA
Q68E01	INTS3	Integrator complex subunit 3	717.9	830.4	VLAHLAPLFDNPK	40.09	IDA
Q6LA40	UMODL1	Uromodulin-like 1	625.3	835.5	TNAQVFEVTIK	36.02	IDA
Q6P052	MAN1A1	MAN1A1 protein	840.2	754.9	GLPPVDFVPPIGVESR	45.47	IDA
Q6RI45	BRWD3	Bromodomain and WD repeat-containing protein 3	786.9	931.5	LINEGDVPHLPVNR	43.13	IDA
Q6TDP4	KLHL17	Kelch-like protein 17	659.9	815.4	YVLQHFVDVAK	37.53	IDA
Q6ZRS5	,	cDNA FLJ46139 fis	492.3	653.4	ADGSLHLDR	30.16	IDA
Q7Z7G2	CPLX4	Complexin-4	504.8	809.4	AQATFTEIK	30.71	IDA
Q8TBF2	Clorf93	Uncharacterized protein C1orf93	591.3	874.5	HAVTGEAVELR	34.52	IDA
Q92954	PRG4	Proteoglycan 4	559.3	689.4	TTPETTTAAPK	33.11	IDA
Q96AC1	FERMT2	Fermitin family homolog 2	647.3	967.5	YYSFFDLNPK	36.98	IDA
Q96DD0	LRRC39	Leucine-rich repeat-containing protein 39	610.9	624.3	LOELILSYNK	35.38	IDA
Q96EZ7	PPM1J	Protein phosphatase 1J	694.5	725.3	VLSAYEPNDHSR	39.06	IDA
Q96MN2	NLRP4	NACHT, LRR and PYD domains-	724.9	892.5	DQVTISEIYQPR	40.39	IDA
		containing protein 4					
Q9H2G9	BLZF1	Golgin-45	802.4	972.5	GEFLGQSEGVIEPNK	43.81	IDA
Q9P2F8	SIPA1L2	Signal-induced proliferation- associated 1-like protein 2	651.3	816.4	LDEQGLSFQHK	37.16	IDA
Q9UFB7	ZBTB47	Zinc finger and BTB domain- containing protein 47	521.7	658.3	GTPEPEEAGR	31.46	IDA
Q9UPU9	SAMD4A	Protein Smaug homolog 1	512.6	894.4	LGLLGTSGFVSSNQR	31.06	IDA
Q9Y623	MYH4	Myosin-4	738.4	907.5	DPLNETVVGLYQK	40.99	IDA

CE: Collision energy; MIDAS: multiple reaction monitoring-initiated detection and sequencing; IDA: information-dependent acquisition.

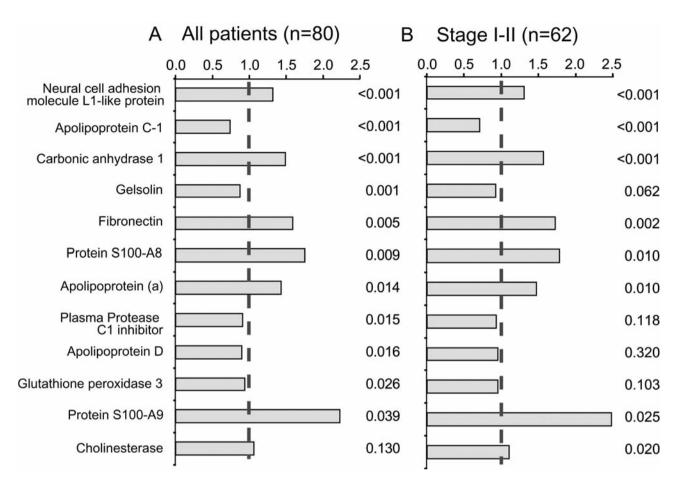


Figure 2. Relative plasma concentration of significant proteins in the verification cohort. Statistically significant differential expression of 11 proteins in all patients with breast cancer (A) and in patients with stage I and II breast cancer (B) are shown in comparison to those in healthy women.

cohort, the sensitivity, specificity and area under the curve (AUC) of the diagnostic model was 78.75%, 78.75% and 0.831, respectively (Figure 3A). The performance of the diagnostic model was further improved for patients with stage I and II disease, with an AUC of 0.851 (Figure 3B).

Performance of the 3-protein diagnostic model in the validation cohort. The performance of the 3-protein diagnostic model was validated in an independent cohort of 100 patients with breast cancer and 100 healthy women. The patterns of individual plasma concentration of the three proteins were similar in both verification and validation cohorts (Figure 4). The overall accuracy of the 3-protein model was slightly lower in the validation cohort when compared to that of the verification cohort (Figure 3C and D). However, the performance of the 3-protein model was still accurate in the validation cohort, with sensitivity, specificity and AUC of 68.7%, 69.4% and 0.746, respectively. The performance of the model was higher for stage I and stage II

disease, as it was in the verification cohort (sensitivity=77.2%, specificity=63.3%, and AUC=0.797).

Discussion

In this study, we developed a plasma protein model that may discriminate healthy women from those with breast cancer, based on our previous proteomic studies and from literature review. Our 3-protein model was capable of detecting breast cancer when tested in an independent cohort of 100 healthy women and 100 women with breast cancer, and its performance was higher in patients with stage I and stage II breast cancer, who may benefit from breast cancer screening by detecting tumors in the asymptomatic period (sojourn time) (15, 16).

We have adopted the strategy of using the recently established MS-based MRM method which allows efficient quantification of plasma protein concentration without the use of antibodies (16, 17). By using this MRM approach, we

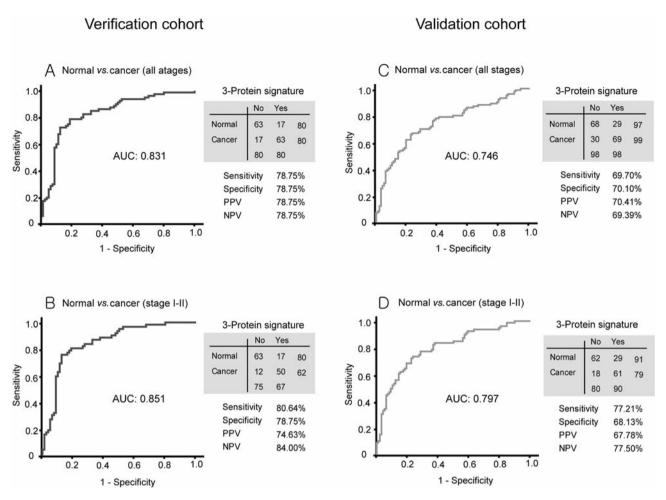


Figure 3. Diagnostic accuracy of the 3-protein signature in the verification (A, B) and validation (C, D) cohorts. The performance of the diagnostic model was further improved for stage I and II disease [area under the curve (AUC) of 0.851 vs. 0.831) (B). The overall accuracy of the 3-protein model was slightly lower in the validation cohort when compared to that of the verification cohort (C, D). PPV: Positive predictive value; NPV: negative predictive value.

were able to quantify the plasma concentration of various candidate proteins in a relatively large number of cases in a standardized fashion. Lessons from the recent mRNA expression signature development in cancer prognostication is that use of a multimarker panel can be far more efficient than that of the traditional single-marker approach (18). MS-based MRM seems to be a most suitable way of testing clinical relevance of multi-protein panels discovered in proteomic cancer research (19).

Many researchers have focused on developing blood markers of breast cancer detection based on the proteomic approach. Recent trends of proteomic discovery efforts can be divided into two main strategies based on the experimental materials for discovery: blood from human patients and healthy controls, and biospecimens from mouse models of breast cancer. The approach of using mouse models is promising in plasma protein discovery since it can create a relatively homogenous model for proteome identification by minimizing heterogeneity associated with human samples. Pitteri et al. reported a series of proteins up-regulated in a tumorbearing mouse and a significant proportion of them were secretory proteins expressed in breast cancer cell lines (20). Whiteaker et al., using a combination of antibody-based approaches and MS MRM based on mouse models of breast cancer, have also suggested fibulin-2 and osteopontin as potential plasma markers for breast cancer diagnosis (21). While this cell line mouse model approach can provide a biologically sound rationale, it is still unknown whether such specific designed models of mouse tumorigenesis can recapitulate the complex biology of tumor-host interaction in human plasma.

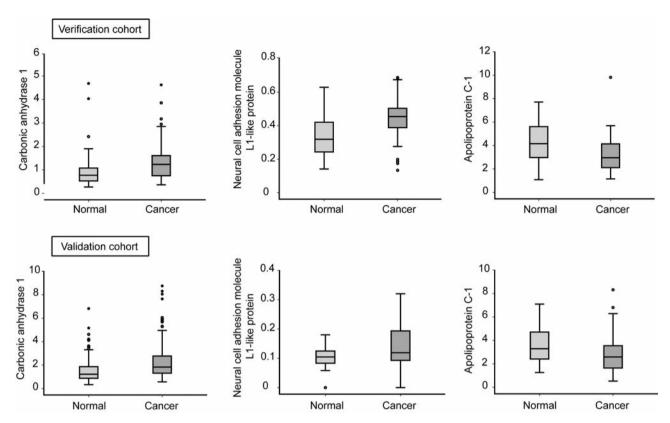


Figure 4. Relative plasma concentration of the final three proteins in the verification and validation cohorts. The patterns of individual plasma concentration of the three proteins were similar between the verification and validation cohorts. Line in the box: median; box: 1st to 3rd quartile; whiskers: maximum and minimum value excluding outliers; dots: outlier.

For the direct exploration of human blood samples, the surface-enhanced laser desorption/ionization-time-of-flight (SELDI-TOF) technique has often been used (6, 22-24). Other proteomic techniques such as matrix-assisted laser desorption/ionization-time-of-flight (MALDI-TOF) have also been applied for breast cancer diagnosis and have suggested various lists of differentially expressed proteins in blood of patients with breast cancer (7, 25). However, these studies of protein biomarker discovery for breast cancer diagnosis often suffer from small sample size and a lack of independent validation. In our study, we screened various proteins from our previously reported proteomic studies in 80 patients with breast cancer and 80 healthy controls. Neural cell adhesion molecule L1-like apolipoprotein C-1 and carbonic anhydrase-1, with the highest statistical significance, were chosen for further validation in an independent cohort of patients with breast cancer and healthy controls. In addition to the similar performance in breast cancer diagnosis, the consistent expression patterns of these three proteins in both the verification and validation cohort suggests the potential role of these proteins for blood diagnosis of breast cancer.

The three proteins identified in our study as potential plasma markers of breast cancer diagnosis are all involved in the carcinogenesis of various human carcinoma types (26-28). Of note, apolipoprotein C-1 has been identified as a differentially expressed protein in various models of cancer proteomics. Fan et al. reported the decreased serum concentration of apolipoprotein C-1 in patients with breast cancer through their SELDI-TOF analysis of serum from 124 patients with breast cancer and 158 controls (13). Decreased expression of apolipoprotein C-1 in blood of patients with cancer was also observed for gastric cancer and non-small cell lung cancer (29, 30). However, other studies have suggested the increased expression of apolipoprotein C-1 in the serum of patients with prostate and pancreatic cancer, suggesting the need for further validation and potential organ-specific differences (31, 32).

A limitation of the present study is that our plasma samples were pre-collected retrospective specimens. The follow-up period of the healthy controls is also less than 2 years. A recent study has suggested that proteomic profiles may change even 3 years before the onset of clinically symptomatic breast cancer (24).

To summarize, we have developed a potential breast cancer diagnostic proteomic profile by screening our previous candidate cancer-specific proteins. The 3-protein signature (a regression model based on the relative expression of neural cell adhesion molecule L1-like protein, apolipoprotein C-1, and carbonic anhydrase-1) was validated in an independent cohort with acceptable accuracy. The clinical value of the newly developed 3-protein signature should be tested in a prospective study in comparison to standard mammographic screening.

Conflicts of Interest

The Authors declare that they have no conflict of interest in regard to this study.

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