

## Expression of Estrogen Receptors in OSCC in Relation to Histopathological Grade

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**Abstract.** *Background/Aim:* Estrogen receptor (ER)-mediated pathways are involved in the pathogenesis of several tumors. Preliminary studies have demonstrated a significant effect of ER agonists and antagonists on oral squamous cell carcinoma (OSCC) cell lines. Recent results suggest that ER subtype-specific expression patterns might depend on the grade of differentiation of OSCC. Therefore, the aim of the present study was to evaluate the expression of ER $\alpha$  and ER $\beta$  in OSCC and its correlation to histological tumor grade and gender. *Materials and Methods:* Tumor sections of 25 patients (13 males and 12 females) retrieved from OSCC databases with two different histological gradings (well-differentiated, poorly differentiated) were evaluated. The detection of ER $\alpha$  and ER $\beta$  expression in tumor cells and corresponding healthy mucosa adjacent to tumor was performed using immunohistochemistry. *Results:* Well-differentiated OSCC showed no significant difference between the expression of ER $\beta$  in tumor cells and corresponding mucosa. In poorly-differentiated OSCC the expression of ER $\beta$  was significantly higher in tumor cells than in corresponding mucosa. In patients without regular alcohol and/or nicotine abuse, there was no significant difference of ER $\beta$  expression in OSCC compared to corresponding healthy mucosa in contrast to patients having these risk factors. Expression of ER $\alpha$  was found in one tumor. *Conclusion:* ER $\beta$  is the predominant ER sub-type expressed significantly higher in poorly-differentiated OSCC tumors compared to healthy mucosa adjacent to the

tumor. Different expression patterns in relation to histological grade might suggest an influential role of ER $\beta$  in tumor (de-) differentiation of OSCC.

Oral and pharyngeal cancer is the sixth most common cancer in the world with more than 400,000 new cases reported annually. Squamous cell carcinoma represents the vast majority of all malignant lesions located in the oral cavity (1, 2). Despite improvements in therapeutic and diagnostic techniques in recent years, oral squamous cell carcinoma (OSCC) remains a lethal disease with a five-year survival rate of approximately 50%, urging the need for novel treatment modalities (3).

Estrogens influence various physiological processes by regulating growth and differentiation of cells. The effects are mediated through two different estrogen receptors (ER): estrogen receptor-alpha (ER $\alpha$ ) and -beta (ER $\beta$ ). ER-mediated signals are involved in the development and progression of several hormone-related cancers. Particularly for breast cancer, this causal relation is well-characterized (4). Selective estrogen receptor modulators (SERMs) like tamoxifen (TAM) have been successfully used to target ERs to inhibit cancer growth (5) and have become the gold standard of anti-estrogen treatment in breast cancer (6). Also for malignancies, which are considered not primarily hormone-dependent like colon cancer, glioma or lung cancer, ER-mediated influences in the pathogenesis are described (7-9). Therefore possible anti-tumor effects of estrogen-related therapies are currently under investigation (5, 10, 11).

Few studies have demonstrated a significant effect of ER agonists and antagonists on OSCC (12-18). In cultured OSCC cell lines, TAM seems to be able to induce growth inhibition (13, 15, 16, 18). In combination with cisplatin, TAM leads to an additive apoptotic effect compared to single use of the chemotherapeutic agent (14, 17). These facts indicate that ER might become a potential therapeutic target in OSCC.

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Table I. Clinical and histopathological characteristics of patients with OSCC.

No	Gender	Age	Tumor grade	Tumor localization	Risk factor*
1	m	69.2	G1	Tongue	+
2	m	55.9	G1	Tongue	-
3	m	63.4	G1	Buccal mucosa	-
4	m	62.6	G1	Tongue/floor of mouth	-
5	m	63.2	G1	Tongue/floor of mouth	+
6	m	61.2	G1	Alveolar process/crest	+
7	m	42.5	G3	Floor of mouth	+
8	m	32.9	G3	Tongue	-
9	m	61.7	G3	Alveolar process/crest	+
10	m	54.9	G3	Floor of mouth	+
11	m	59.7	G3	Floor of mouth	+
12	m	51.1	G3	Floor of mouth/tongue	+
13	m	49.5	G3	Floor of mouth/tongue	+
14	f	86.1	G1	Alveolar process/crest, floor of mouth	-
15	f	79.8	G1	Tongue	-
16	f	69	G1	Tongue	+
17	f	63.3	G1	Tongue	-
18	f	73	G1	Palate	-
19	f	36	G3	Tongue	-
20	f	91.7	G3	Alveolar process/crest, vestibule	-
21	f	82.6	G3	Buccal mucosa	**
22	f	65.3	G3	Floor of mouth	+
23	f	66	G3	Tongue	+
24	f	63.8	G3	Floor of mouth	+
25	f	65.8	G3	Floor of mouth/tongue	+

m, Male; f, female; \*anamnestic risk factors (tobacco and/or alcohol abuse). \*\*Risk factors could not be assessed precisely.

There currently exists limited information on the expression pattern of ER $\alpha$  and ER $\beta$  in OSCC and still remains controversial. Immunohistochemical analysis of ERs in primary tissue deriving from patients with tongue cancer revealed that ER $\beta$  is the predominant sub-type expressed in the majority of all evaluated samples. Only few cases were positive for ER $\alpha$  (13, 19). In contrast, one study including patients with OSCC shows the predominant expression of ER $\alpha$  (12). Immunohistochemical detection of ER in OSCC cell lines revealed only ER $\beta$  expression, but not ER $\alpha$  (20). Then again studies using immunoblotting/PCR show both the expression of ER $\alpha$  and ER $\beta$  in many of the evaluated OSCC cell lines (12-15, 19, 20).

As described in typical hormone-dependent tumors recent results suggest ER subtype-specific expression patterns might depend on grade of differentiation of OSCC (16). To elucidate this assumption, we evaluated the expression of ER $\alpha$  and ER $\beta$  in relation to the different histological grade and gender of the patients.

## Materials and Methods

**Ethics statement.** This study was approved by the Ethics Committee of the Faculty of Medicine Charité, Berlin.

**Patients.** Tumor sections of 25 patients (13 males and 12 females, mean age 62.8 years, range=32.9-91.7) retrieved from OSCCs with two different histologic gradings (G1, well-differentiated: n=11; G3, poorly differentiated: n=14) were included in the study and evaluated for the expression of ER $\alpha$  and ER $\beta$  (Table I). Moderate differentiated tumors (G2) were excluded to have clearly distinguishable populations of well- and poorly-differentiated tumors. The histological grade of all tumor samples was extracted from pathological reports and confirmed by experienced pathologists. Patients were classified according to anamnestic risk factors - positive status was defined as regular tobacco and/or alcohol abuse.

**Immunohistochemistry.** Detection of ER $\alpha$  and ER $\beta$  expression in tumor cells and corresponding healthy mucosa adjacent to tumor was performed using immunohistochemistry (IHC). Sections of paraffin-embedded tissues were mounted on glass slides and heated at 60°C. De-paraffinization and rehydration was performed in xylene and a series of ethanol concentrations (ranging from 100%-70%). For antigen retrieval, sections were heated in citrate buffer (pH 6.0) in a pressure cooker followed by cooling at room temperature. Endogenous peroxidase was inhibited with peroxidase blocking solution (Dako, Glostrup, Denmark). To minimize non-specific protein binding sections were incubated with normal goat serum (Invitrogen, Carlsbad, CA, USA). Primary antibodies against either human ER $\alpha$  (Clone SP1; Neomarkers, Fremont, CA, USA) or human ER $\beta$  (E3558-40; United States Biological, Swampscott, MA,

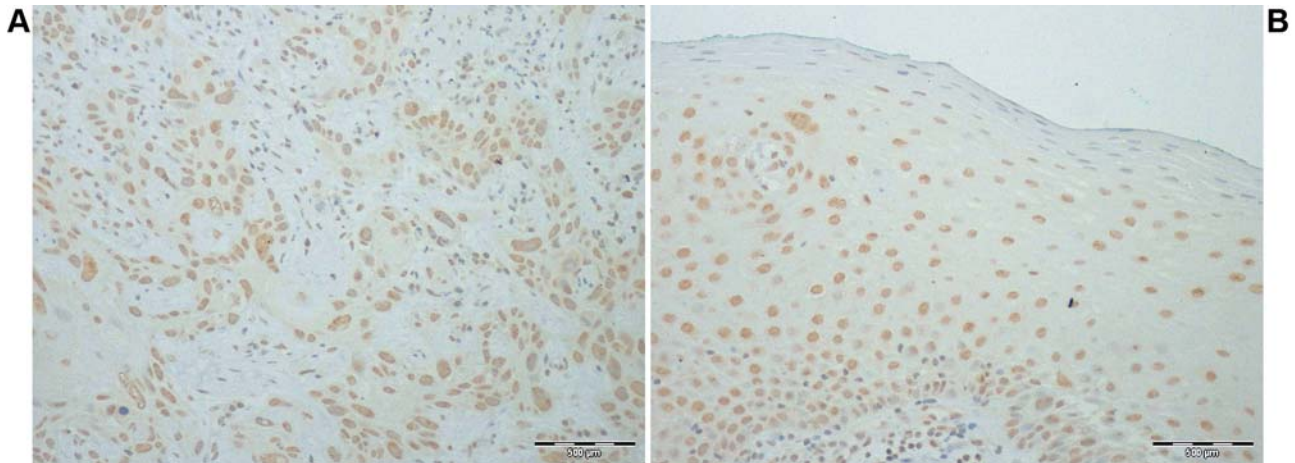


Figure 1. Immunohistochemical staining of ER $\beta$  in OSCC. Brown color represents positive expression of ER $\beta$ . Moderate expression of ER $\beta$  (IRS 6) in poorly differentiated OSCC (Figure 1A) and weak expression (IRS 3) in corresponding healthy mucosa (Figure 1B) of patient number 23 (Table I).

USA) were diluted (ER $\alpha$  1:50, ER $\beta$  1:600) in antibody diluent solution (Invitrogen) and applied for 1 hour on the target tissue. Incubation of diluted secondary antibody (P0448; Dako) was performed for 30 min. The signal was developed with a substrate-chromogen system (K3468; Dako). Sections were counterstained with Mayer's hematoxylin, dehydrated and finally covered with glass. For ER $\alpha$ , sections of breast carcinoma were used for positive and negative control. For ER $\beta$ , MCF7 was used for positive control. Isotype control (Invitrogen) was used to differentiate between nonspecific and specific antibody signal. The expression level was quantified by light microscopy (Leica Microsystems, Wetzlar, Germany) using the immunoreactive score (IRS) for estrogen receptor detection (21). Both the confirmation of the tumor grading and the evaluation of the expression levels were performed by experienced pathologists.

**Statistical analysis.** The collected data were analyzed by using SPSS Statistics (IBM Corporation, Armonk, NY, USA). To compare the results of ER expression between tumor cells and healthy mucosa the Wilcoxon signed-rank Test for paired samples was applied. The Mann-Whitney *U*-Test for un-paired samples was used to compare the data between the patients. The results were considered statistically significant at  $p < 0.05$ .

## Results

In order to evaluate the expression of ER $\alpha$  and ER $\beta$  in OSCC, specific antibodies were used in tissue sections of OSCC. The expression level of ER for each tumor and corresponding healthy mucosa was quantified using the immunoreactive score (IRS). Expression of ER $\alpha$  was found only in one poorly differentiated tumor, however not in the corresponding healthy mucosa adjacent to the tumor. In contrast, expression of ER $\beta$  was observed in all evaluated samples (Figure 1).

The mean overall immunoreactive score in OSCC was  $3.64 \pm 1.680$  (standard deviation) and  $2.52 \pm 1.503$  in corresponding healthy mucosa adjacent to tumor. The expression of ER $\beta$  was significantly higher in tumor tissue compared to mucosa ( $p < 0.001$ ).

In well-differentiated OSCC, the mean IRS in tumor cells was  $3.36 \pm 1.690$  and  $2.36 \pm 1.362$  in mucosa. There was no significant difference between the expression of ER $\beta$  in tumor cells and corresponding mucosa, although a tendency of higher expression was seen ( $p = 0.059$ ). The mean IRS in poorly differentiated OSCC was  $3.86 \pm 1.703$  and  $2.64 \pm 1.646$  in mucosa. In contrast to well-differentiated OSCC, the expression of ER $\beta$  in poorly differentiated tumor cells was significantly higher than in corresponding mucosa ( $p = 0.002$ ). There is neither a significant difference between the expression of ER $\beta$  in well- and poorly-differentiated tumors ( $p = 0.557$ ), nor between its corresponding healthy mucosa ( $p = 0.529$ ).

In males, the mean IRS in tumor cells was  $3.62 \pm 1.325$  and  $2.15 \pm 0.555$  in mucosa and the expression of ER $\beta$  was significantly increased in tumor ( $p = 0.004$ ) compared to healthy mucosa. In females, the mean IRS in tumor cells was  $3.67 \pm 2.060$  and  $2.92 \pm 2.065$  in mucosa and again the expression of ER $\beta$  was significantly higher in tumor cells compared to mucosa ( $p = 0.028$ ). There was no different expression of ER $\beta$  in tumors ( $p = 0.743$ ) between the male and female population and no significant difference comparing the corresponding healthy mucosa between males and females ( $p = 0.528$ ).

Sub-group analysis in relation to gender and differentiation grade of the tumor (Table II) revealed that ER $\beta$  was not expressed significantly higher ( $p = 0.125$ ) in well-differentiated OSCC ( $3.67 \pm 1.506$ ) compared to mucosa

Table II. Clinical and histopathological characteristics of patient groups.

Group	N	Gender	Grade	Mean age	Range of age	Risk factors*
M/G1	6	m	G1	62.6	55.9-69.2	3/6 (50%)
M/G3	7	m	G3	50.3	32.9-61.7	6/7 (86%)
F/G1	5	f	G1	74.2	63.3-86.1	1/5 (20%)
F/G3	7	f	G3	67.3	36.0-91.7	4/6 (67%)**

m, Male; f, female; \*anamnestic risk factors (tobacco and/or alcohol abuse). \*\*Risk factors of one patient could not be assessed precisely.

( $2.00 \pm 0.632$ ) in the male population. In contrast, a tendency of higher expression was seen in poorly differentiated male OSCCs ( $p=0.064$ ). The mean IRS in tumor cells was  $3.57 \pm 1.272$  and  $2.29 \pm 0.488$  in mucosa. Comparing the well- and poorly differentiated tumors in males, there was no significant difference between the expression of ER $\beta$  ( $p=0.834$ ). Also the corresponding healthy mucosa showed no significant difference ( $p=0.641$ ) between these two populations.

In females, well-differentiated OSCC ( $3.00 \pm 2.000$ ) did not show any significant difference ( $p=1.000$ ) in comparison to healthy mucosa ( $2.80 \pm 1.924$ ). However, poorly differentiated female OSCCs ( $4.14 \pm 2.116$ ) revealed a tendency towards significance of higher expression of ER $\beta$  in tumor cells ( $p=0.061$ ) compared to mucosa ( $3.00 \pm 2.309$ ). There was no significant difference between the expression of ER $\beta$  from tumors of different histologic grade ( $p=0.309$ ), nor from its corresponding healthy mucosa adjacent to the tumors ( $p=0.869$ ).

In patients with positive anamnestic risk factors like alcohol and smoking, the mean IRS in tumor cells was  $3.93 \pm 1.859$  and  $2.64 \pm 1.646$  in corresponding healthy mucosa. The expression of ER $\beta$  was significantly higher in tumor cells compared to mucosa ( $p=0.004$ ). In contrast, there was no significant difference ( $p=0.065$ ) found between the expression of ER $\beta$  in OSCC ( $3.30 \pm 1.494$ ) and corresponding mucosa ( $2.40 \pm 1.430$ ) in patients without anamnestic risk factors, although a tendency for difference was seen.

## Discussion

Several studies have demonstrated a significant effect of ER agonists and antagonists on OSCC cell lines (12-18). In contrast to typical hormone-related cancers like breast carcinoma, biology and mechanisms of the ER and its ligands in OSCC cells are poorly understood. Recent results suggest ER subtype-specific expression patterns might depend on the grade of differentiation of OSCC (16), as seen in other (typical) hormone-related tumors (4). To elucidate this assumption, the expression of ER $\alpha$  and ER $\beta$  in relation to histopathological grade, typical risk factors as well as the

gender of patients in OSCC and the corresponding healthy mucosa, was evaluated.

The present study showed that ER $\beta$  is the predominant subtype in all OSCC primary tissues of different anatomical sites as well as in healthy mucosa adjacent to tumors, consistent with results from previous studies using immunohistochemistry in SCC of the tongue and healthy oral mucosa (13, 19, 22). However the results obtained in the study are in conflict to the observations made by Egloff *et al.* in 2009 (12): immunohistochemical analysis of tissue microarrays from patients with head and neck squamous cell carcinoma (including 23 squamous cell carcinomas of the oral cavity of a total of 56 tumors) revealed that 95% of the evaluated tumors were positive for (nuclear) ER $\alpha$  and 44% for (nuclear) ER $\beta$ . Detailed information about these OSCCs including the grading and the exact locations of the tumors within the oral cavity are not mentioned in this study. Therefore, the comparability to the present study appears difficult.

As shown by Nelson *et al.* in an *in vitro* study (16), different progressive stages of SCC of the floor of mouth revealed different ER subtype-specific expression patterns. Apart from the fact that these cell lines derived from a patient with recurrent OSCC, additional factors like undergone radiation and/or chemotherapy treatment might lead to different ER expression.

To our knowledge, no difference in expression pattern in OSCC compared to healthy mucosa in relation of histopathologic grade has been described to date. As shown in this study, well-differentiated OSCC revealed no significant difference between the expression of ER $\beta$  in tumor cells and corresponding mucosa. However, in poorly differentiated OSCC the expression of ER $\beta$  in tumor cells was significantly higher than in corresponding mucosa. This demonstrates an association between ER $\beta$  expression level and the grade of differentiation in OSCC. Thus, ER $\beta$  might have an influential role in tumor dedifferentiation.

Similar results for ER expression were observed in one study evaluating the ER expression in esophageal carcinoma - ER $\beta$  is over-expressed only in poorly-differentiated squamous cell carcinoma compared to normal esophageal mucosa. ER $\alpha$  expression was not found in



esophageal tumor cells (23), suggesting that estrogen might stimulate the growth of esophageal carcinoma through ER $\beta$ . Other studies show both the (immunohistochemical) expression of ER $\alpha$  and ER $\beta$  in esophageal squamous cell carcinoma cells, however ER $\beta$  was found to be associated with unfavorable prognosis (24, 25).

Also in lung cancer, ER $\beta$  represents the predominant ER sub-type. Several studies show contradictory results concerning the prognostic value of ER $\beta$  expression which might be (among other factors) due to different isoforms of the receptor (26). Siegfried *et al.* (26) postulate that local production of estrogens by macrophages/inflammatory cells through chronic infection in response to carcinogens, might be an important source of the hormone, independent of reproductive tissues.

In contrast to these malignancies, ER $\beta$  expression appears to be lost during the carcinogenic process in colon cancer. An ER-dependent prevention for certain patient populations might be possible at an early stage (26, 27).

As mentioned, ER $\alpha$  and ER $\beta$  are widely distributed in different tissues and the expression can be at similar levels or the ratio of ER $\alpha$ /ER $\beta$  can be shifted towards one sub-type. There are several isoforms for each ER (28). The change of ER expression pattern plays an important role in the development and progression of cancer (4). In breast cancer for example, ER $\alpha$  has been implicated in cancer progression whereas the expression of ER $\beta$  is lost during progression of the tumor, apparently by promoter methylation (5, 29).

The exact role of ER $\beta$  in cancer is only poorly understood. Different isoforms of the receptor seem to have different biological roles (4). For instance, down-regulation/loss of wildtype ER $\beta$  (ER $\beta$ 1), mainly localized in the nucleus, is associated with poor differentiation of several tumors. However expression of ER $\beta$ 2 and ER $\beta$ 5, splice variants of ER $\beta$ 1 and localized in the cytoplasm and the nucleus of cells, are associated with poor outcome in certain tumors (4). With regard to the OSCC it might be possible, that certain isoforms of ER $\beta$  be up-regulated during the process of de-differentiation. This might lead to the conclusion that (especially) patients with poorly differentiated tumors might profit from an anti-estrogen therapeutic approach. The important role of ER $\beta$  as a therapeutic target is highlighted by the observation made by Ishida *et al.* in 2007 (13): the inhibitory effect on the proliferation of SCC cell lines following knockdown of ER $\beta$  by small-interfering RNA seems to be more effective than the knockdown of ER $\alpha$ .

Typical risk factors of OSCC are tobacco and alcohol abuse (1, 2). Interestingly, the results of the present study show no significant difference of ER $\beta$  expression in OSCC compared to corresponding healthy mucosa in patients without these typical risk factors in contrast to patients with alcohol and/or tobacco abuse. It remains to be elucidated if this observation is a causal connection.

The results of the present study clearly show that there is a difference in the expression pattern of ER $\beta$  with regard to histopathological grade. Further studies are necessary to evaluate the role of ER $\alpha$  expression as this could not be elucidated within this study due to the limited number of patients. The gender and differentiation grade related subgroup analysis revealed no significant difference for the female and male population, although tendencies towards significance were seen. Therefore, evaluation of more sections of OSCC for ER $\beta$  might lead to further information.

In summary, the present study revealed that ER $\beta$  is the predominant ER-subtype expressed significantly higher in OSCC of different anatomical sites compared to healthy mucosa adjacent to the tumor. This investigation also showed different expression patterns for ER $\beta$  in OSCC in relation to histopathological grade suggesting an influential role in tumor de-differentiation. To receive a better understanding over the biology of OSCC further studies should focus on identifying ER $\beta$  isoforms in primary tumors.

## Conflicts of Interest

The Authors declare there exist no conflicts of interest.

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