

Rare Co-existence of Mutation in *KRAS* and *ALK* Gene Rearrangement in an Adenocarcinoma Patient – A Case Report

IWONA HOMA¹, MAREK SAWICKI², KAMILA WOJAS-KRAWCZYK¹, MARCIN NICOS^{1,3},
RADOSŁAW MLAK^{1,4}, TOMASZ POWRÓZEK¹, PAWEŁ KRAWCZYK¹,
PIOTR PRZYBYLSKI⁵, ELŻBIETA CZEKAJSKA-CHEHAB⁵ and JANUSZ MILANOWSKI^{1,6}

Departments of ¹Pneumonology, Oncology and Allergology,

²Thoracic Surgery, ⁴Human Physiology and ⁵Radiology,

Medical University of Lublin, Lublin, Poland;

³Postgraduate School of Molecular Medicine, Medical University of Warsaw, Warsaw, Poland;

⁴Human Physiology, Medical University of Lublin, Lublin, Poland

Abstract. Anaplastic lymphoma kinase (*ALK*) gene rearrangements are present in approximately 4% of patients with non-small cell lung cancer (NSCLC), mostly in non-smokers with adenocarcinoma. *V-KI-RAS2* Kirsten rat sarcoma viral oncogene homolog (*KRAS*) mutations are more common in smokers. These molecular lesions were usually described as are mutually exclusive. We herein describe a rare case of co-existence of *ALK* and *KRAS* abnormalities in adenocarcinoma tumor with massive local growth (disproportionality of clinical symptoms) and rapid central nervous system (CNS) metastases spread. T3N1M0 stage tumor (size: 10×12×13 cm) in upper lobe of the right lung was diagnosed in a 56-year-old Caucasian male smoker. Adenocarcinoma of solid predominant was surgically resected with chest wall reconstruction. One month after surgery, CNS metastases were diagnosed and subsequently treated with radiotherapy. We noted an 8-month overall survival from tumor resection. In the case of comorbidity of disorders in the *ALK* (uncertain prognostic significance) and *KRAS* gene (described as unfavorable prognostic factor), these abnormalities may ultimately decide the course of the disease in the form of brain metastases.

Anaplastic lymphoma kinase (*ALK*) re-arrangements occur in approximately 4% of patients with non-small cell lung cancer

(NSCLC), mostly in non-smokers with adenocarcinoma. Recently described *ALK* gene rearrangements, mostly in the form of echinoderm microtubule-associated protein-like 4 - anaplastic lymphoma kinase (*EML4-ALK*) fusion gene may lead to malignant transformation. The molecularly-targeted drug - crizotinib - a selective inhibitor of *ALK* and *MET* proto-oncogene (hepatocyte growth factor receptor - *HGFR*) was registered in patients with the presence of *ALK* fusion gene. Available data show that patients with *ALK* rearrangement, who were treated with crizotinib or LDK378 demonstrate a significant improvement in objective response rates (ORR) and progression-free survival (PFS) in comparison to standard second-line chemotherapy or best supportive care (BSC) in previously treated patients with NSCLC (1, 10, 9). Overall survival (OS) in crizotinib-naïve patients with advanced adenocarcinoma may also vary depending on the status of *ALK* rearrangements, which indicates its potential prognostic significance (11).

V-KI-RAS2 Kirsten rat sarcoma viral oncogene homolog (*KRAS*) mutations are present in approximately 20-30% of Caucasian patients with lung adenocarcinoma, usually in former or current smokers. Despite many clinical trials assessing various compounds, effective targeted-therapy for NSCLC patients with a mutation in the *KRAS* gene is still unknown. A novel promising drug - selumetinib (AZD6244, ARRY-142886) - is a potent and selective inhibitor of the mitogen-activated protein kinase kinase 1 (MAP2K1, MEK1) and MEK2, which block downstream of the *KRAS* pathway. Available data show that patients with advanced NSCLC, with *KRAS* mutations, who were treated with selumetinib and docetaxel showed a significant improvement in PFS in comparison to docetaxel-alone therapy. *KRAS* mutations allow to predict shorter disease-free survival (DFS) and OS both for patients treated with surgery and/or standard chemotherapy (7).

Correspondence to: Iwona Homa, Department of Pneumonology, Oncology and Allergology Medical University of Lublin, Jaczewskiego 8, 20-954 Lublin, Poland. Tel/Fax: +48 817244293, e-mail: iwona.homa@wp.pl

Key Words: *ALK* rearrangement, *KRAS* mutation, lung adenocarcinoma, CNS metastases.

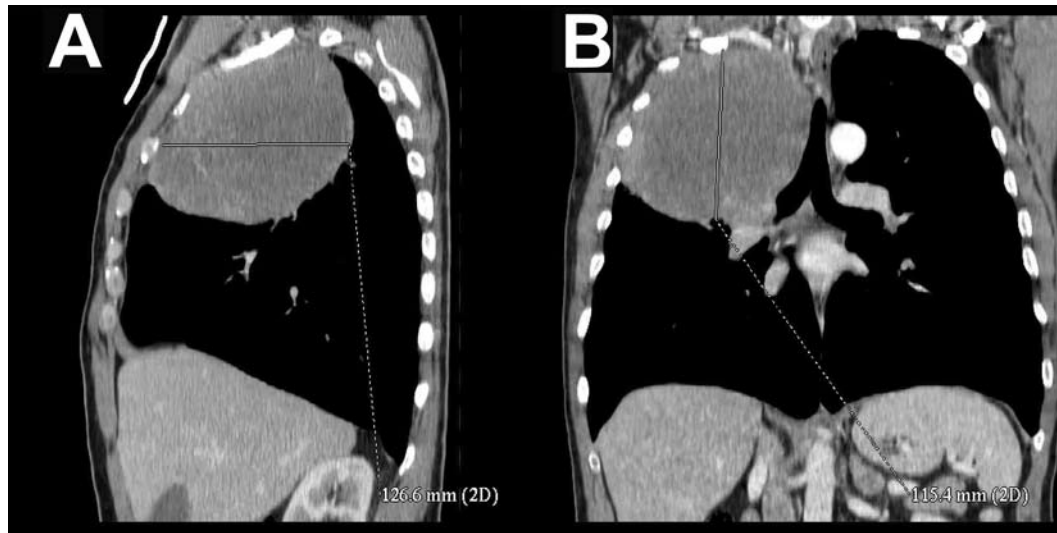


Figure 1. Tumor of the upper lobe of the right lung visible on CT-scan in lateral (A) and antero-posterior projection (B).

In the present case report, we describe a rare case of lung adenocarcinoma with massive locally advanced tumor, which initially grew without distant metastases and clinical symptoms in a 56-year-old Caucasian male smoker. After a detailed molecular analysis a rare coexistence of *ALK* gene rearrangement, *ALK* amplification and *KRAS* gene mutation was detected in this patient.

Case Report

In February 2013, a 56-year-old Caucasian male reported to his general practitioner because of recurrent infection of upper respiratory tract, chest and spine pain. Patient has no familial history of cancers. He was treated with standard non-steroid anti-inflammatory drugs. In march 2013, patient was admitted to the Department of Thoracic Surgery with the initial diagnosis of a right lung tumor. At the time, he denied any respiratory symptoms or paraneoplastic syndromes and remained in good performance status (PS=1 according Eastern Cooperative Oncology Group - ECOG scale). He was heavy-smoker of more than 30 cigarettes per day between his 25th to 56th years. Two years before tumor diagnosis, computed tomography (CT) scans showed small nodule in upper lobe of right lung.

Recent CT scans showed large (size: 10×12×13 cm) tumor in upper lobe of the right lung without noticeable infiltration or metastases (Figure 1A and B) (Figure 2). Results of pulmonary function tests had normal values. Until march 2013 the patient had undergone right-sided pneumonectomy with chest wall reconstruction. Using immunohistochemical staining, moderately-differentiated adenocarcinoma with

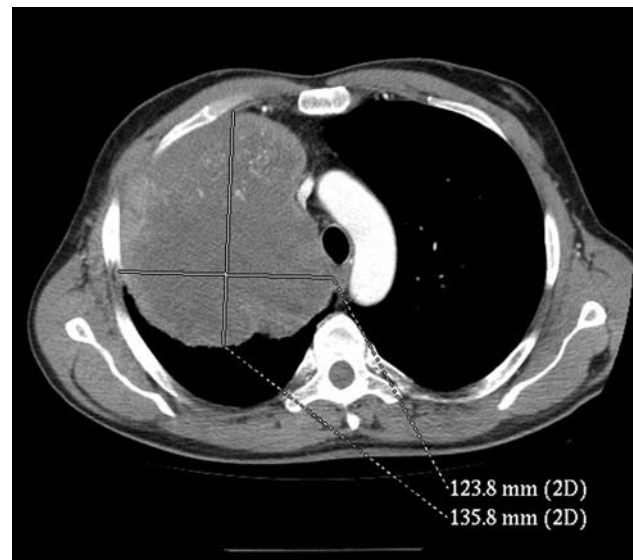


Figure 2. Tumor of the upper lobe of the right lung visible on CT-scan.

solid predominant and weak mucus production (cytokeratin, thyroid transcription factor-1 and grade status: CK7+, CK20– and TTF1+, G2 respectively) was diagnosed. Pathomorphological stage of T3N1M0 was defined because of presence of metastases in two regional lymph nodes and also by chest wall infiltration. In April 2013, central nervous system (CNS) metastases were diagnosed. Therefore, he was qualified to CNS radiotherapy with initially remission of

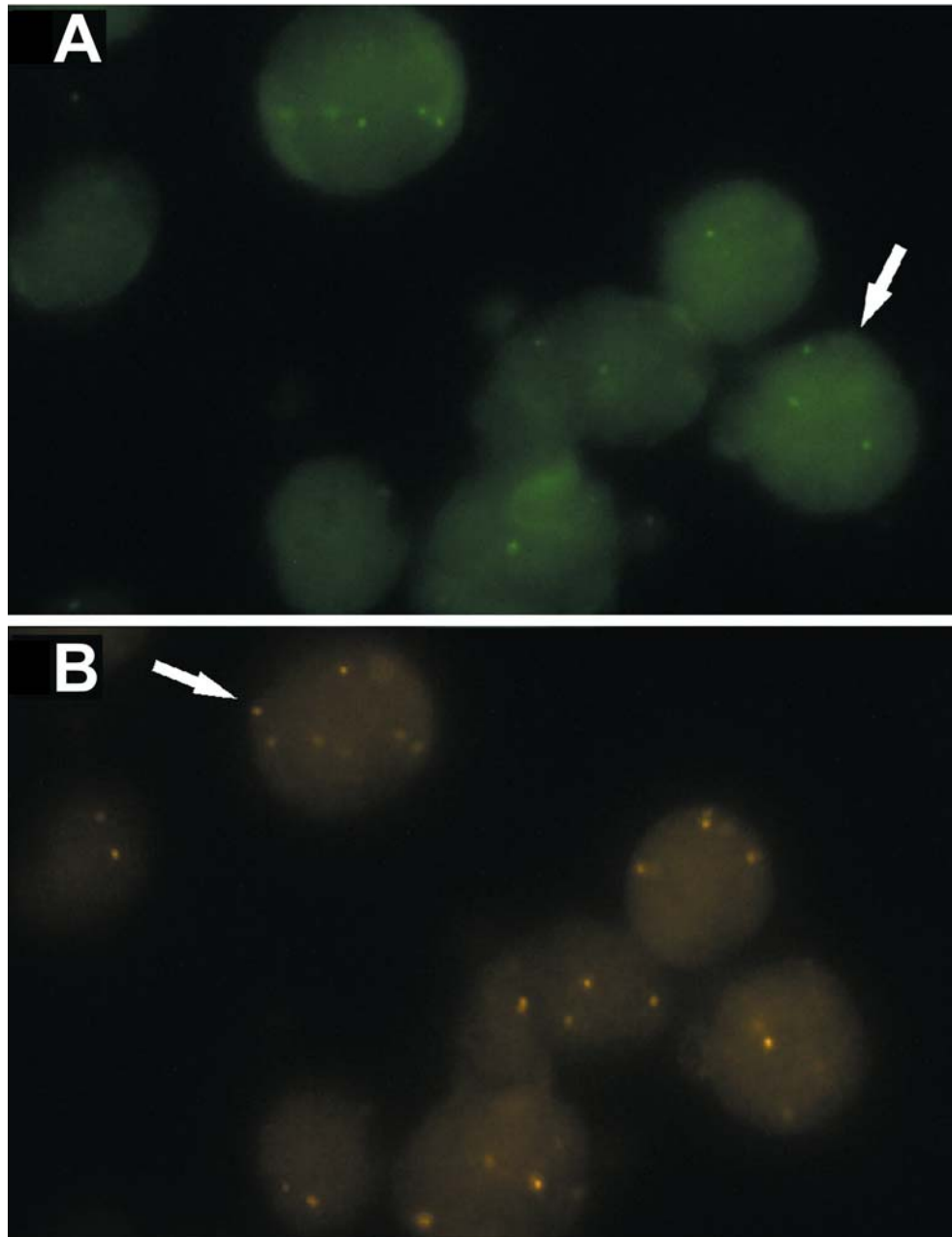


Figure 3. Representative figure of amplification and rearrangement of *ALK* gene region. Multiplied *ALK* gene copy number (A, B) and single signal from red probe (B), as shown by FISH analysis.

metastases in CNS. In October 2013, patient died because of progression of multiple CNS metastases.

The molecular testing for searching of driver mutations in different oncogenes was performed in formalin-fixed, paraffin-embedded (FFPE) tumor tissue obtained during pneumonectomy. To detect for mutations in the epidermal growth factor receptor (*EGFR*), *KRAS* and V-raf murine

sarcoma viral oncogene homolog B1 (*BRAF*) genes, we used real-time PCR technique (*EGFR* Mutation Analysis Kit for Real-Time PCR, EntroGen, Los Angeles, California, USA and Ras/B-Raf Mutation Analysis Panel Kit for Real-Time PCR, Los Angeles, California, EntroGen, USA). The following mutations in the *EGFR* gene were not detected: G719X in exon 18, S781I, T790M and insertions in exon 20,

deletions in exon 19 as well as L858R, L861Q in exon 21. We detected *KRAS* gene mutation in codon 12 (c.34G>T, G12C). We excluded the presence of another mutations in codon 12, 13 and 61 in the *KRAS* gene and V600E substitution in the *BRAF* gene. Moreover, using high-resolution melt (HRM) in the real-time PCR technique, substitution S768R in discoidin domain receptor family, member 2 (*DDR2*) gene and substitution E542K in phosphatidylinositol-4,5-bisphosphate 3-kinase, catalytic subunit alpha (*PiK3CA*) gene were excluded. For *ALK* gene re-arrangement estimation, we used a fluorescence *in situ* hybridization (FISH) technique with specific probes (Vysis *ALK* Break Apart FISH Probe Kit, Abbott Molecular, Chicago, Illinois, USA). Specimens evaluation was performed in duplicate by two independent observers. Average number of signals from the probe, which was tested, complementary to the *ALK* gene locus on cell was 6 (*ALK* gene amplification). Moreover, in the tested locus were found *ALK* gene rearrangement in 20% of the nuclei (Figure 3A and B).

Discussion

We herein demonstrated a heavy-smoking, adenocarcinoma patient with rare co-existence of *ALK* gene re-arrangement, *ALK* amplification and *KRAS* gene mutation in tumor cells. Importantly, the tumor grew to large size locally without clinical symptoms and metastases to mediastinal lymph nodes. This process could last for even 2 years or more. However, the patient progressed (CNS metastases) after 2 months from radical resection.

Re-arrangement of the *ALK* and *EGFR* gene mutations are detected the most frequently in never-smokers or light smokers with lung adenocarcinoma (5). On the other hand *KRAS* mutations are detected in patients with the same histological type of cancer but usually in former or current smokers (7). This abnormalities, which may have a key role in developing NSCLC usually were considered as mutually-excluding (12). In the available literature there are limited articles (mainly case reports) on the co-existence of these mutations (6).

Data show, that the survival of patients with *ALK* re-arrangement, who are going to have a surgery, may be worse than *ALK*-negative cases (2). This could be associated with a high incidence of CNS metastases and malignant pleural effusion in patients with *ALK* gene abnormalities (8). However, the publication of Lee *et al.* did not confirm this information. In the quoted study, the incidence of CNS metastases in patients with *ALK* rearrangement were lower than in patients with wild type of *ALK* or in patients with *EGFR* gene mutations (4). The role of *ALK* re-arrangement in tumor spreading ability and metastases development remain unknown. Moreover, most of the studies report that a mutation in the *KRAS* gene is a negative prognostic factor for DFS and OS in NSCLC patients after surgery (3).

Conclusion

Co-existence of *ALK* rearrangement, *ALK* amplification and *KRAS* mutations is extremely rare but may occur in adenocarcinoma patients. We considered both *ALK* and *KRAS* abnormalities as unfavorable prognostic factors which might affect the course of lung adenocarcinoma and its susceptibility to CNS metastases development in a more aggressive, additive manner. In order to qualify for appropriate treatment, the widest possible determination of mutations in oncogenes associated with the development of NSCLC seems to be reasonable.

References

- Casaluce F, Sgambato A, Maione P, Rossi A, Ferrara C, Napolitano A, Palazzolo G, Ciardiello F and Gridelli C: *ALK* inhibitors: a new targeted therapy in the treatment of advanced NSCLC. *Target Oncol* 8(1): 55-67, 2013.
- Chun SG, Choe KS, Iyengar P, Yordy JS and Timmerman RD: Isolated central nervous system progression on Crizotinib: an Achilles heel of non-small cell lung cancer with *EML4-ALK* translocation? *Cancer Biol Ther* 13(14): 1376-1383, 2012.
- Karachaliou N, Mayo C, Costa C, Magri I, Gimenez-Capitan A, Molina-Vila MA and Rosell R: *KRAS* mutations in lung cancer. *Clin Lung Cancer* 14(3): 205-214, 2013.
- Lee JK, Park HS, Kim DW, Kulig K, Kim TM, Lee SH, Jeon YK, Chung DH, Heo DS, Kim WH and Bang YJ: Comparative analyses of overall survival in patients with anaplastic lymphoma kinase-positive and matched wild-type advanced non-small cell lung cancer. *Cancer* 118(14): 3579-3586, 2012.
- Murakami S, Yokose T, Saito H, Sakuma Y, Matsukuma S, Hasegawa C, Kondo T, Oshita F, Ito H, Tsuboi M, Nakayama H, Kameda Y, Noda K and Yamada K: Recurrent *EML4-ALK*-associated lung adenocarcinoma with a slow clinical course. *Lung Cancer* 69(3): 361-364, 2010.
- Paik JH, Choi CM, Kim H, Jang SJ, Choe G, Kim DK, Kim HJ, Yoon H, Lee CT, Jheon S, Choe JY and Chung JH: Clinicopathologic implication of *ALK* rearrangement in surgically resected lung cancer: a proposal of diagnostic algorithm for *ALK*-rearranged adenocarcinoma. *Lung Cancer* 76(3): 403-409, 2012.
- Paolo M, Assunta S, Antonio R, Claudia SP, Anna BM, Clorinda S, Francesca C, Fortunato C and Cesare G: Selumetinib in advanced non small cell lung cancer (NSCLC) harbouring *KRAS* mutation: endless clinical challenge to *KRAS*-mutant NSCLC. *Rev Recent Clin Trials* 8(2): 93-100, 2013.
- Rossing HH, Grauslund M, Urbanska EM, Melchior LC, Rask CK, Costa JC, Skov BG, Sørensen JB and Santoni-Rugiu E: Concomitant occurrence of *EGFR* (epidermal growth factor receptor) and *KRAS* (V-Ki-ras2 Kirsten rat sarcoma viral oncogene homolog) mutations in an *ALK* (anaplastic lymphoma kinase)-positive lung adenocarcinoma patient with acquired resistance to crizotinib: a case report. *BMC Research Notes* 6: 489, 2013.
- Shaw A, Mehra R, Kim DW, Felip E, Chow L, Camidge DR, Shao-Weng Tan D, Vansteenkiste J F, Sharma S, De Pas T, Wolf J, Katayama R, Yvonne Lau YY, Goldwasser M, Boral A and Engelman JA: Clinical activity of the *ALK* inhibitor LDK378 in advanced, *ALK*-positive NSCLC. *J Clin Oncol* 31: abstr 8010, 2013.

- 10 Shaw AT, Kim DW, Nakagawa K, Seto T, Crinó L, Ahn MJ, De Pas T, Besse B, Solomon BJ, Blackhall F, Wu YL, Thomas M, O'Byrne KJ, Moro-Sibilot D, Camidge DR, Mok T, Hirsh V, Riely GJ, Iyer S, Tassell V, Polli A, Wilner KD and Jänne PA: Crizotinib versus chemotherapy in advanced ALK-positive lung cancer. *N Engl J Med* 368: 2385-2394, 2013.
- 11 Shaw AT, Yeap BY, Solomon BJ, Riely GJ, Gainor J, Engelman JA, Shapiro GI, Costa DB, Ou SH, Butaney M, Salgia R, Maki RG, Varella-Garcia M, Doebele RC, Bang YJ, Kulig K, Selaru P, Tang Y, Wilner KD, Kwak EL, Clark JW, Iafrate AJ and Camidge DR: Effect of crizotinib on overall survival in patients with advanced non-small-cell lung cancer harbouring *ALK* gene rearrangement: a retrospective analysis. *Lancet Oncol* 12(11): 1004-1012, 2011.
- 12 Takahashi T, Sonobe M, Kobayashi M, Yoshizawa A, Menju T, Nakayama E, Mino N, Iwakiri S, Sato K, Miyahara R, Okubo K, Manabe T and Date H: Clinicopathologic features of non-small-cell lung cancer with EML4-ALK fusion gene. *Ann Surg Oncol* 17(3): 889-897, 2010.

Received March 4, 2014

Revised May 6, 2014

Accepted May 8, 2014