

# Esophageal Cancer Exhibits Resistance to a Novel IGF-1R Inhibitor NVP-AEW541 with Maintained RAS-MAPK Activity

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**Abstract.** *Aim: To assess the effects of a novel type 1 insulin-like growth factor receptor (IGF-1R) inhibitor, NVP-AEW541, on cell proliferation and signal transduction of esophageal cancer. Materials and Methods: Cell proliferation assay and western blot were conducted to assess the antitumor effects of NVP-AEW541. Genetic modification of RAS by expression vector was applied for overexpression of mutant RAS. Results: More than 2  $\mu\text{mol/l}$  of NVP-AEW541 was required to effectively inhibit the proliferation of esophageal cancer. NVP-AEW541 potently blocked the activation of IGF-1R and protein kinase B (PKB, also known as AKT), but not of mitogen-activated protein kinase (MEK) and extracellular-signal-regulated kinases (ERK). Active RAS was not reduced by NVP-AEW541 in esophageal cancer cells TE-1, suggesting that insensitivity of esophageal cancer to NVP-AEW541 is due to the maintained RAS-MAPK activity, which did not arise from RAS mutation. Moreover, the transduction of mutant RAS reduced the sensitivity of TE-1 cells to NVP-AEW541. Conclusion: Stimulation of RAS-MAPK pathway is associated with resistance to NVP-AEW541 in esophageal cancer. Combining NVP-AEW541 with inhibitors/antibodies against RAS-MAPK signaling molecules might be more effective for use against esophageal cancer.*

The signaling system for insulin-like growth factors (IGFs) is comprised of two cognate ligands (IGF-I and IGF-II), cell surface receptors (IGF-1R, IGF-2R, IR and hybrid receptors)

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and at least six IGF binding proteins (IGFBPs) (1). When the ligands bind to IGF-1R, autophosphorylation of the receptor tyrosine kinase is induced, leading to the activation of multiple downstream signaling pathways such as the phosphatidylinositol 3-kinase (PI3K)/protein kinase B (PKB, also known as AKT) axis and RAS/RAF/ mitogen-activated protein kinase (MEK)/extracellular signal-regulated kinase (2) axis, each of which plays an important role in cell proliferation, migration and metabolism (3, 4). The signal transmitted by IGF-1R is required for cell growth, the development of tissues and the regulation of overall organism growth (1, 5). Its dysregulation, however, is associated with tumorigenesis and resistance to existing forms of cancer therapy in various diverse malignancies (6, 7). Interference with IGF-1R function effectively inhibits cancer cell proliferation, tumor growth and metastasis, and sensitizes cancer cells to various chemotherapeutic and radiation treatments (8-12).

Esophageal squamous cell carcinoma (ESCC) is one of the most prevalent types of cancer that threatens human life. It has been demonstrated that the IGF-1R axis is frequently overexpressed and strongly related to a poor prognosis in ESCC (13). Since impaired IGF-1R signaling inhibits cell proliferation and migration, as well as chemotherapy-induced apoptosis, inactivation of IGF-1R may be a promising therapy for ESCC.

The two most common strategies for blocking IGF-1R, namely the use of monoclonal antibodies and tyrosine kinase inhibitors (TKI), both have various advantages and different activity profiles that have been confirmed in several studies (6 and its references). Amongst the various small molecule inhibitors, NVP-AEW541, belonging to the pyrrolo[2, 3-d]pyrimidine class, is a new, orally bioavailable, small molecular inhibitor of IGF-1R tyrosine kinase (14). NVP-AEW541 has been confirmed to be highly selective towards

IGF-1R tyrosine kinase. At present, studies of the inhibitor are in preclinical stage. Several malignancies of the gastrointestinal tract, such as gastrointestinal neuroendocrine tumors (NET) (15), colorectal cancer (CRC) (16), gastrointestinal stromal tumors (2), pancreatic cancer (17) and hepatocellular carcinoma (HCC) (18), have been employed to study the effects of NVP-AEW541. However, the anticancer effect of this compound has not been thoroughly studied in ESCC.

In this study, we systematically evaluated the antiproliferative effects of NVP-AEW541 in several typical ESCC cells: TE-1, TE-4, TE-8, TE-10 and T.Tn. The potential signaling pathways that may be affected by NVP-AEW541 were assessed in detail. We also tried to explore potential mechanisms of insensitivity to this compound, such as RAS-related signaling, which will be helpful to improve the therapeutic effect of NVP-AEW541 on ESCC.

## Materials and Methods

**Cell lines and culture conditions.** To assess the expression status of IGF-1R in human esophageal cancer cells and the antiproliferative effect of NVP-AEW541, the human ESCC cell lines TE-1, TE-4, TE-8, TE-10 and T.Tn were used, each of which were obtained from Japanese Cancer Research Resources Bank (Tokyo, Japan). TE-1, TE-4, TE-8 and TE-10 were cultured in RPMI-1640, and T.Tn was cultured in dulbecco's modified eagle medium nutrient mixture F-12 (DMEM/F12). All cell lines were supplemented with 10% fetal bovine serum (FBS), 100 units/ml penicillin G sodium and 100 µg/ml streptomycin, and maintained in a monolayer culture at 37°C in humidified air with 5% CO<sub>2</sub>. Cellular morphology was observed through a microscope during culturing and experiments.

**Reagents.** NVP-AEW541, a tyrosine kinase inhibitor of IGF-1R, was synthesized and provided by Novartis Pharma AG (Basel, Switzerland) through a materials transfer agreement with Okayama University (Okayama, Japan). Stock solutions of the compound at 10 mmol/l were reconstituted with dimethyl sulphoxide (DMSO; Sigma-Aldrich, St. Louis, MO, USA) and stored at -30°C. Working solutions of NVP-AEW541 were freshly prepared with culture medium before use. The final concentration of DMSO in all cultures was 0.1%. Recombinant human type 1 insulin-like growth factor (IGF-1) was purchased from Sigma-Aldrich and reconstituted with sterile water to make 1.0 mg/ml stock solutions, which were diluted further using the culture medium to a working concentration.

**Cell proliferation assay and the half maximal inhibitory concentrations (IC<sub>50</sub>) calculation.** Culture cells were seeded in each well of 96-well plates at a density of 2.5×10<sup>3</sup> per well for 36 h before drug treatment. Subconfluent cells were divided in two groups: one group of cells was incubated with 100 µl of culture medium in different concentrations (0, 0.1, 0.5, 1, 5, 10 µmol/l) of NVP-AEW541 for 48 h; another group of cells was starved for 24 h and then 50 µl of culture medium were added in different concentrations (0, 0.1, 0.5, 1, 5, 10 µmol/l) of NVP-AEW541 for 15 min, followed by adding 50 µl of culture medium with IGF-1 for a final concentration of 20 ng/ml for 48 h. After the drug treatment, 20 µl of the cell proliferation reagent WST-1 (Roche, Germany) was

added to each well and incubated for 1-2 h in a humidified atmosphere (37°C, 5% CO<sub>2</sub>). Absorbance values were detected with a spectrophotometer set at 450/630 nm. Dose-effect plots were created to calculate the IC<sub>50</sub> of NVP-AEW541 for each cell line using Calcsyn software (Biosoft).

**Western blot analysis.** ESCC Cells were plated into 6-well plates at a density of 2.5×10<sup>5</sup> per well and incubated for 36 h. Subconfluent cells were incubated in a serum-free medium for 24 h before drug treatment. Different concentrations (0, 0.1, 1, 10 µmol/l) of NVP-AEW541 were added to the serum-starved cells for 15 min and IGF-1 was subsequently added for a final concentration of 100 ng/ml, for 30 min. The culture medium was then carefully removed, washed once in cold PBS, and an appropriate amount of mammalian protein extraction reagent (M-PER; Thermo Scientific, Rockford, IL, USA) was added to the plate. Cell lysate was collected after shaking gently for 5 min and centrifuged at 15,000 × g at 4°C for 20 min. The supernatant was transferred to a new tube for protein determination and western blot analysis. The concentration of protein lysates was measured with a bicinchoninic acid (BCA) protein assay kit (Thermo Scientific). Equal amounts (30 µg) of protein lysate were electrophoresed under reducing conditions in 5-10% (w/v) sodium dodecyl sulfate (SDS)-polyacrylamide gels. The proteins were then transferred to hybond polyvinylidene difluoride (PVDF) transfer membranes (GE Healthcare, Buckinghamshire, UK) and incubated with primary antibodies at 4°C overnight, followed by incubation with peroxidase-linked secondary antibodies at room temperature for 1 h. Supersignal West Pico chemiluminescent substrate (Thermo Scientific) and chemiluminescence film (GE Healthcare) were used for signal detection.

The antibodies used for western blot were the following: IGF-1R β (sc-713) and actin (sc-69879) were obtained from Santa Cruz Biotechnology (Santa Cruz, CA, USA); phospho-IGF-1 receptor β (tyr1135/1136)/insulin receptor β (Tyr1150/1151) (#3024), AKT (#2938), phospho-AKT (Ser 473) (#4058), ERK1/2 (#9102), phospho-ERK1/2 (Thr202/Tyr204) (#9101) and phospho-MEK 1/2 (Ser217/221) (#9154) were purchased from Cell Signaling Technology (Beverly, MA, USA); mouse anti-Ras antibody (#89855D) was purchased from Thermo Scientific. Peroxidase-conjugated secondary antibodies (goat anti-rabbit IgG and goat anti-mouse IgG) were obtained from Jackson ImmunoResearch (Pennsylvania, PA, USA).

**Detection of active RAS.** TE-1 cells were seeded into 100-mm plate and incubated for 36 h. Subconfluent cells were incubated in a serum-free medium for 24 h before drug treatment. Different concentrations (0, 0.1, 1, 10 µmol/l) of NVP-AEW541 were added to serum-starved cells at a confluence of 80-90% for 15 min and subsequently 100 ng/ml of IGF-1 was added for 30 min. Active RAS in TE-1 cells was monitored using an Active RAS pull-down and detection kit (Thermo Scientific) following the manufacturer's instructions. Briefly, cells were washed with ice-cold Tris-buffered saline (TBS), lysed with lysis/binding/wash buffer and scraped. After incubation on ice and centrifugation, the supernatant was transferred up to a lysis/binding/wash buffer spin cup containing glutathione resin, which binds to glutathione S-transferase (GST)-RAF1-RAS binding domain (RBD). The reaction mixture was incubated at 4°C for 1 h and centrifuged. The resin was washed with a lysis/binding/wash buffer and reduced with a reducing sample buffer. The eluted samples were immunoblotted with an anti-RAS antibody for detection.

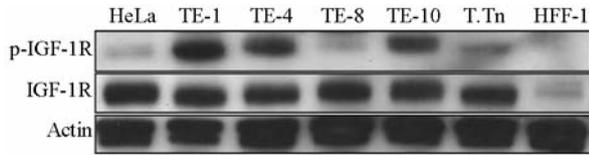


Figure 1. Expression of type 1 insulin-like growth factor receptor (IGF-1R) in esophageal squamous cell carcinoma. TE-1, TE-4, TE-8, TE-10 and T.Tn cells were cultured in regular conditions and cell lysates were subjected to western blot using antibodies against IGF-1R and p-IGF-1R. Cell lysates from *Henrietta lacks cells* (HeLa) and human foreskin fibroblast cells (HFF-1) were used as a positive and negative control, respectively. Actin was used as a loading control.

**RAS gene sequencing.** Genomic DNAs of ESCC cell lines were released using a GenElute mammalian genomic DNA miniprep Kit (Sigma-Aldrich), following the manufacturer's instructions. The RAS gene was amplified with RAS-specific primers (forward primer: 5'-GCTGAAAATGACTGAATATAAACTTGT-3'; reverse primer: 5'-TTGTTGGATCATATTCGTCCAC-3') by polymerase chain reaction (PCR). To identify the *k-RAS* codon 12/13 mutation, the PCR product was directly sequenced on an ABI 3100-Avant DNA sequencer (Applied Biosystems, Foster City, CA). The human wild-type RAS sequence in GenBank (NG\_007524.1) was a BLAST sequence.

**Transfection of mutant RAS.** TE-1 cells in an antibiotic-free medium were seeded in a 60-mm dish for 24 h before transfection. Plasmid PCI-mEGFP-HRAS<sup>G12V</sup> (Addgene, Cambridge, MA, USA) was mixed gently with Lipofectamine 2000 (Invitrogen, Carlsbad, CA, USA) and incubated for 20 min at room temperature. The medium was replaced by a fresh antibiotic-containing medium after adding the plasmid-Lipofectamine 2000 complexes for 6 h. The following day, mEGFP-RAS<sup>G12V</sup> transfected TE-1 cells were seeded into a 96-well plate for 24 h before the drug treatment. Different concentrations of NVP-AEW541 (0, 0.5, 1, 5 μmol/ml) were added to RAS-transfected TE-1 cells for 48 h and the inhibition rate was tested by WST assay. TE-1 cells that were transfected with Lipofectamine 2000 only were used as a control group.

**Statistical analyses.** The comparison of categorical experimental data was conducted by Student's *t*-test. Data is represented as the mean±SD. All *p*-values are two-sided. A value of *p*<0.05 was considered to be statistically significant in all experiments.

## Results

**IGF-1R was overexpressed in ESCC cells.** To examine the role of IGF-1R in the growth and proliferation of ESCC cells, we first detected the expression of IGF-1R. Cell lysates extracted from the cultured ESCC cells (TE-1, TE-4, TE-8, TE-10 and T.Tn), from HeLa cells known to express IGF-1R at a high level (19), as a positive control, and from human foreskin fibroblast cells (HFF-1) as a negative control, were incubated with IGF-1R and p-IGF-1R antibodies. Western blot analysis showed that IGF-1R was highly expressed in ESCC compared to the HFF-1 cells (Figure 1). IGF-1R was

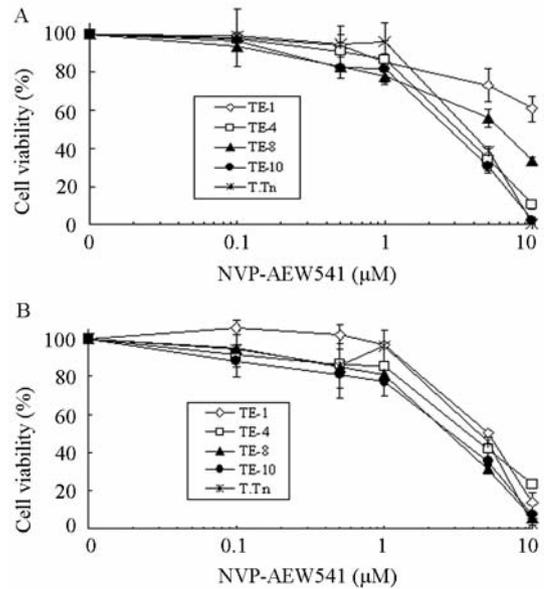


Figure 2. The antiproliferative effect of NVP-AEW541 on esophageal squamous cell carcinoma (ESCC). A: Regular culture conditions; starting 36 h after seeding into a 96-well plate, ESCC cells were cultured in serum (10% FBS)-containing medium with different concentrations of NVP-AEW541 for 48 h and cell proliferation was measured using WST-1 reagent. B: type 1 insulin-like growth factor (IGF-1) stimulation conditions; starting 24 h after starvation, ESCC cells were cultured in a serum-free medium with different concentrations of NVP-AEW541 for 15 min and subsequently 20 ng/ml of IGF-1 was added, and after 48 h of incubation, cell proliferation was measured by a WST assay. Cell viability refers to the percentage of living cells. Error bars represent the mean±SD.

markedly activated in TE-1, TE-4 and TE-10 cells, and minimally activated in TE-8 and T.Tn cells, revealing that phosphorylated IGF-1R levels are not necessarily associated with overall IGF-1R levels. Nonetheless, it can be concluded that IGF-1R is preferentially up-regulated in cancer cells compared to normal epithelial cells.

**Inhibition of IGF-1R activity by NVP-AEW541 suppressed the proliferation of ESCC cells.** We next sought to determine whether blocking IGF-1R activity using its specific inhibitor exerts an antitumor effect on ESCC. ESCC cells cultured in serum-containing medium were treated with NVP-AEW541, and cell viability was measured by a WST assay. The proliferation of ESCC cells was inhibited by NVP-AEW541 in a dose-dependent manner (Figure 2A). To assess the effect of NVP-AEW541 on IGF-1-mediated cell proliferation, ESCC cells were starved for 24 h before drug treatment and stimulated with various amounts of IGF-1 after treatment with NVP-AEW541 for 15 min. As shown in Figure 2B, NVP-AEW541 dose-dependently inhibited cell proliferation under IGF-1-stimulated conditions as well. Among the five

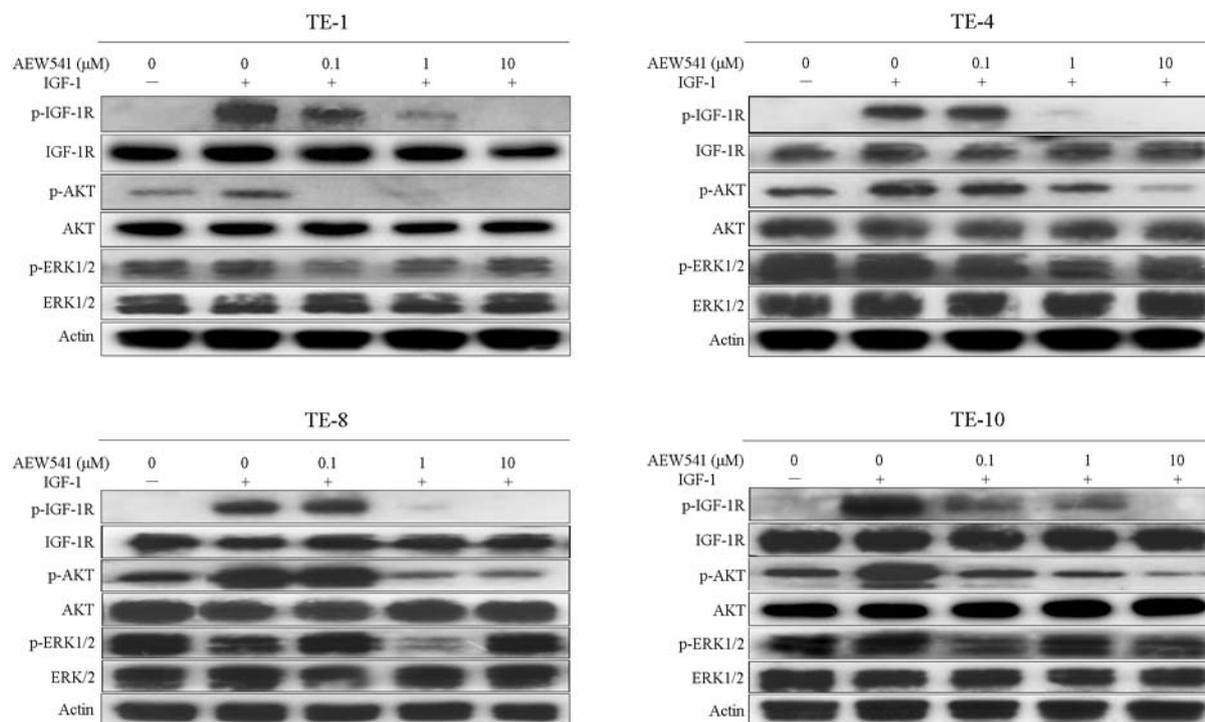


Figure 3. The effect of NVP-AEW541 on type 1 insulin-like growth factor receptor (IGF-1R)-mediated signaling pathway activation in esophageal squamous cell carcinoma. After starvation for 24 h, subconfluent cells were incubated with the indicated doses of NVP-AEW541 for 15 min and further stimulated with 100 ng/ml of IGF-1 for 30 min before cell harvest. Cell lysates were evaluated for the expression and activation of IGF-1R, protein kinase B (PKB, also known as AKT) and extracellular-signal-regulated kinases (ERK) by western blot analysis. Actin was used as loading control.

ESCC cell lines, the sensitivity of TE-1 and TE-8 cells to NVP-AEW541 was higher in the IGF-1-stimulated condition than in the serum-containing condition, suggesting that the proliferation of TE-1 and TE-8 cells is more IGF-1 dependent compared to the other three cell lines. In order to determine the sensitivity to NVP-AEW541 of each cell line, the half maximal inhibitory concentrations (IC<sub>50</sub>) were calculated and revealed IC<sub>50</sub>s to be more than 2 μmol/l, in both serum-containing and IGF-1-stimulated conditions, for all of the cell lines; the maximal value of 9.429 μmol/l for TE-1 cells suggests that TE-1 cells are the most insensitive to NVP-AEW541 (Table I).

NVP-AEW541 efficiently blocked PI3K/AKT signaling but not RAS/RAF/ERK signaling. The inhibitory effect of NVP-AEW541 on the PI3K/AKT signaling pathway and the RAS/RAF/ERK signaling pathway, both of which are crucial signal transduction pathways mediated by IGF-1R, were examined. Stimulation with IGF-1 after serum starvation significantly increased the phosphorylation of IGF-1R, which was dose-dependently inhibited by NVP-AEW541 in TE-1, TE-4, TE-8 and TE-10 cells (Figure 3). Although the serum was starved, AKT and ERK were constitutively activated in each cell line, revealing that both the PI3K/AKT and

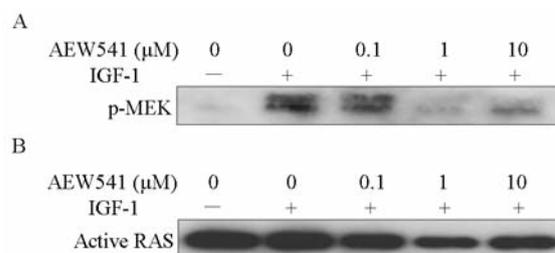


Figure 4. The effect of NVP-AEW541 on mitogen-activated protein kinase kinase (MEK) and RAS kinase activation. After starvation for 24 h, subconfluent cells were incubated with the indicated doses of NVP-AEW541 for 15 min and further stimulated with 100 ng/ml of type 1 insulin-like growth factor (IGF-1) for 30 min before cell harvest. Cell lysates were evaluated for the phosphorylation of MEK in TE-1 cells via western blot analysis (A) and RAS activity in TE-1 was detected by a RAS pull-down and detection kit (B).

RAS/RAF/ERK pathways play an important role in cell growth and proliferation of these ESCC cells. The phosphorylation of AKT was enhanced by IGF-1 stimulation and markedly inhibited by NVP-AEW541. However, treatment with different concentrations of NVP-AEW541 led

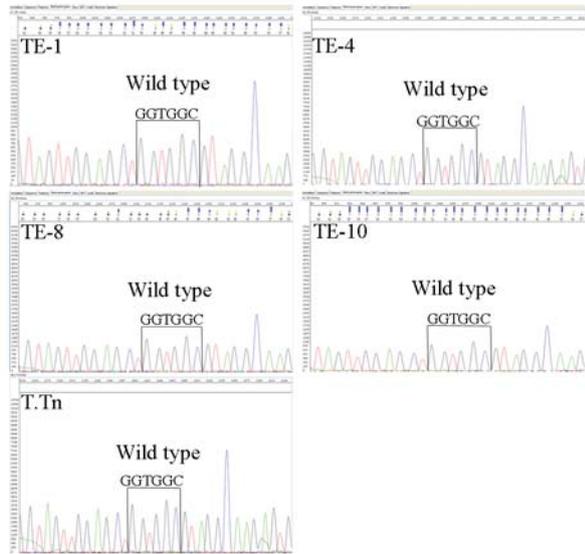


Figure 5. RAS sequencing in esophageal squamous cell carcinoma (ESCC). DNAs extracted from cultured ESCC cells as a template were amplified with RAS-specific primers for sequencing. RAS mutations at codons 12 and 13 were analyzed by comparison with the RAS sequence in GenBank (NG\_007524.1).

Table I. The half maximal inhibitory concentrations (IC<sub>50</sub>s) of NVP-AEW541 towards esophageal cancer cells.

Cell line	IC <sub>50</sub>	
	In serum-containing medium (10% serum)	IGF-1-stimulated cell growth (20 ng/ml IGF-1)
TE-1	9.429 μM ± 0.667	3.526 μM ± 0.967
TE-4	3.245 μM ± 0.530	4.165 μM
TE-8	4.113 μM ± 1.387	2.457 μM ± 0.227
TE-10	2.391 μM	ND

to various inhibitory effects on p-ERK, which remained at 10 μmol/l (Figure 3). Therefore, a higher concentration of NVP-AEW541 was required in ESCC probably due to the small inhibitory effect of NVP-AEW541 on the RAS/RAF/ERK signaling pathway.

To find the possible signaling factor that regulates the strong activation of ERK and the activity of MEK and RAS, the upstream signaling transducers of ERK, were detected in TE-1 cells with NVP-AEW541 treatment. The activity of MEK was inhibited by 1 μmol/l of NVP-AEW541, whereas its activity was somewhat recovered at 10 μmol/l of NVP-AEW541 (Figure 4A). RAS was active under the serum-starved condition and was not potently blocked by NVP-

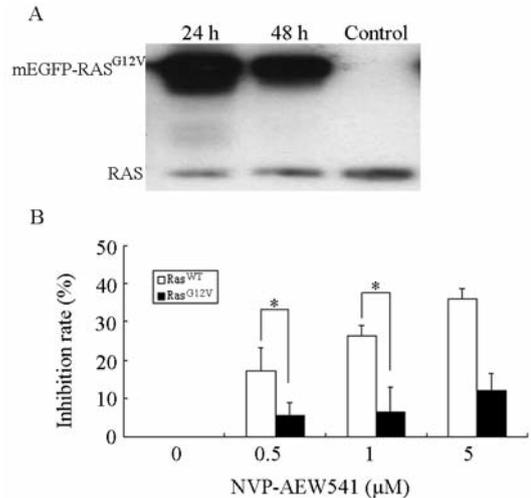


Figure 6. RAS mutation at codon 12 reduced cell sensitivity to NVP-AEW541. A: TE-1 cells were transfected with plasmid mEGFP-HaRas<sup>G12V</sup> for 24 h and 48 h before cell harvest. Cell lysates were subjected to western blot using antibodies against RAS. B: TE-1 cells were transfected with plasmid mEGFP-HaRas<sup>G12V</sup> for 24 h and subsequently seeded into a 96-well plate. After incubation for 24 h, cells were treated with the indicated doses of NVP-AEW541 for 48 h. Cell proliferation was measured by a WST assay. Inhibition rate=(*absorbance of the DMSO control* - *absorbance of NVP-AEW541 treatment group*)/*absorbance of the DMSO control*. Error bars represent the mean±SD.

AEW541 (Figure 4B). These results further demonstrated that RAS/RAF/ERK signaling may retard the inhibitory effect of NVP-AEW541 on the proliferation of esophageal cancer cells.

RAS in ESCC was wild-type and the exogenous transduction of G12V-mutated RAS in ESCC cells reduced cell sensitivity to NVP-AEW541. Point mutations in RAS may result in the constitutive activation of RAS. To explore whether RAS is mutated in ESCC cells and contributes to cell proliferation, we extracted the genomic DNA of ESCC for sequencing. The sequencing analysis of RAS showed that the nucleotides at codons 12 and 13 were GGT and GGC, respectively, consistent with the wild-type RAS sequence in the GenBank (NG\_007524.1) (Figure 5). RAS mutations did not occur in any ESCC cells, suggesting that there may be other potential mechanisms which cause the constitutive activation of RAS.

Exogenous transduction of the G12V-mutant RAS using plasmid PCI-mEGFP-HaRas<sup>G12V</sup> was applied to determine the effect of RAS mutation on cell sensitivity to NVP-AEW541. The fusion protein mEGFP-RAS<sup>G12V</sup> highly expressed in TE-1/RAS<sup>G12V</sup> but not in TE-1/RAS<sup>WT</sup> cells (Figure 6A). TE-1/RAS<sup>G12V</sup> and TE-1/RAS<sup>WT</sup> were treated with NVP-AEW541 and the inhibition rate measured by a WST assay. As shown in Figure 6B, the inhibition rate of

NVP-AEW541 was significantly reduced in TE-1/*RAS*<sup>G12V</sup>, suggesting that the *RAS* mutation enhances cell resistance to NVP-AEW541.

In summary, NVP-AEW541 has an antiproliferative effect on cell proliferation in ESCC cells and the maintained *RAS*/*RAF*/*ERK* activity may be associated with the requirement for higher concentrations of NVP-AEW541 for efficacy.

## Discussion

The disruption of IGF-1R function has been proven to be a promising therapeutic strategy in different human cancers due to the role of IGF-1R in cancer cell proliferation, survival and metastasis (6, 7). In this study, we demonstrated that IGF-1R is abnormally expressed in ESCC cells and the inhibition of IGF-1R action by the IGF-1R-specific small-molecule inhibitor NVP-AEW541 retarded cell proliferation by suppressing IGF-1-induced *AKT* activation. However, high doses of NVP-AEW541 were required to effectively inhibit the proliferation of ESCC cells. The reason for this may be that besides *PI3K*/*AKT* signaling, *RAS*/*RAF*/*ERK* signaling also contributes to cell proliferation and survival, but the stimulation with ligand IGF-1 and the treatment with NVP-AEW541 had a weak effect on the phosphorylation of *ERK* (Figure 3). These data are consistent with previous studies in which treatment with NVP-AEW541 failed to inhibit the activation of *ERK* in colon and biliary tract cancer (16, 20, 21). Genetic blockage of IGF-1R also inhibits activity of *AKT* but not of *ERK* (22, 23). The *PI3K*/*AKT* pathway might play a more important role than the *RAS*/*RAF*/*ERK* pathway in the downstream effectors of the IGF-1/IGF-1R axis.

ESCC cells exhibited constitutive activation of *ERK*. To explore the possible mechanism, we further assessed the activities of the upstream molecule of *ERK* upon treatment with NVP-AEW541. Our data demonstrated that the insensitivity of cancer cells to IGF-1R inhibitors may be mainly driven by the maintained activity of *RAS*/*RAF*/*ERK* signaling (Figure 4). One patient with advanced Ewing's sarcoma who was resistant to a combination treatment of IGF-1R inhibitors with mammalian target of rapamycin (*mTOR*) inhibitor also exhibited *RAS*/*RAF*/*ERK* activation (24). The overexpression and constitutive activation of platelet-derived growth factor receptor  $\alpha$  (*PDGFR* $\alpha$ ) might be associated with the resistance to IGF-1R-targeted therapy because *PDGFR* $\alpha$  signaling increases proliferation signals through its downstream *PI3K*/*AKT* and *RAS*/*RAF*/*ERK* signaling pathway, which overlaps with IGF-1R downstream signaling (25). Indeed, *PDGFR* $\alpha$  is highly expressed in esophageal cancer (26). However, the potential mechanism needs to be further identified.

*RAS* oncoprotein is a GTPase that is active when bound to guanosine triphosphate (GTP) and inactive when bound to

guanosine diphosphate (GDP). It is an essential component of signaling pathways and regulates various cancer-driving processes, such as proliferation, survival, energy metabolism, and angiogenesis (27). The genetic mutation of *RAS* isoforms (*H-RAS*, *K-RAS*, and *N-RAS*) at residues G12, G13 and Q61 are frequently detected in human tumors. Oncogenic substitutions derived from mutations inhibit GTPase activity and thus hold the protein in an active GTP-bound state to stimulate the activation of the *RAS*-dependent downstream signaling pathway (28). Therefore, genetic analysis by sequencing was conducted to elucidate the constitutive active *RAS* status to explain the lower response to the IGF-1R inhibitor NVP-AEW541 in ESCC cells (Figure 4B). However, no mutation at codon 12 or 13 was found in ESCC (Figure 5). In addition to GTP loading by mutation, the activation of *RAS* is also regulated by guanine nucleotide exchange factors (GEFs) and GTPase-activating proteins (GAPs). The study of *RASGRP1*, a GEF for *RAS*, showed that *RASGRP1* overexpression elevated the activation of endogenous wild-type *RAS* for tumor progression, providing an insight into *RAS* activation and drug resistance (29). Furthermore, recent studies have indicated that patients with colorectal cancer carrying a *RAS*-activating mutation were less responsive to epidermal growth factor receptor (*EGFR*)-targeted therapy such as cetuximab or panitumumab, two monoclonal antibodies against *EGFR* (30, 31). To investigate the effect of *RAS* mutation on IGF-1R-targeted therapy, TE-1-bearing active *RAS* mutation (Gly12Val) was treated with NVP-AEW541. Similar to previous studies, the *RAS* mutation also impaired the therapeutic effect of the IGF-1R inhibitor (Figure 6). Our result is further confirmed by a study in which the introduction of *K-RAS* or *B-RAF* mutants resulted in resistance of imatinib-sensitive gastrointestinal stromal tumors to imatinib (32). Although *RAS* mutations are not common in esophageal cancer (33, 34), we do not exclude the possibility that *RAS* mutation might be a good negative predictor in IGF-1R-targeted therapy.

Considering more effective treatment responses and dose-limiting side-effects, combinational treatment may be a more promising strategy than single-drug treatment. For IGF-1R-targeted therapy for ESCC, based on the present data, one approach may be combinational treatment with inhibitors against the effectors of the *RAS*/*RAF*/*ERK* signaling pathway. For example, *MEK* inhibitor U0126 enhanced the susceptibility to lapatinib, a dual human epidermal growth factor receptor-2 (*HER2*) and epidermal growth factor receptor (*EGFR*) tyrosine kinase inhibitor in *RAS*-induced lapatinib-resistant breast cancer (*SKBR3* and *BT474*) cells (35). Another possibly attractive approach is dual targeting of IGF-1R and other growth factor tyrosine kinase receptors [e.g. *EGFR*, *HER-2*]. IGF-1R cross-talks with these growth factor receptors and co-regulates tumor progression (36, 37).

Importantly, overexpression of EGFR has been associated with intrinsic and/or acquired resistance to the IGF-1R inhibitor BMS-536924 and a combination of BMS-536924 with the EGFR inhibitor gefitinib showed enhanced antitumor activity (38). More effective inhibition of the phosphorylation of AKT and ERK may be an important reason for the combined antitumor effect. In addition, gefitinib had an effective antitumor effect in ESCC cells (39). Thus, it is feasible that the combination of NVP-AEW541 and EGFR inhibitors will produce a good response in esophageal cancer.

In summary, ESCC cells with a high expression of IGF-1R required a high dose of the IGF-1R inhibitor NVP-AEW541 to achieve effects. PI3K/AKT signaling was significantly inhibited by NVP-AEW541, whereas RAS/RAF/MEK signaling was less responsive to it. RAS mutation was associated with cell resistance to NVP-AEW541. Further investigation in whether the potent antitumor effect of NVP-AEW541 observed in this study would be even more effective as part of a combinational therapy is necessary to verify the hypotheses generated by the present study.

### Disclosure of Potential Conflicts of Interest

We declare that we have no conflict of interest.

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