

Neuroimmunomodulation in Medical Oncology: Application of Psychoneuroimmunology with Subcutaneous Low-dose IL-2 and the Pineal Hormone Melatonin in Patients with Untreatable Metastatic Solid Tumors

PAOLO LISSONI¹, FERNANDO BRIVIO², LUCA FUMAGALLI², GIUSY MESSINA¹,
LUIGI VIGORÉ³, DANIELA PAROLINI¹, MASSIMO COLCIAGO⁴ and FRANCO ROVELLI¹

*Divisions of ¹Radiation Oncology, ²Surgery and ³Department of Clinical Pathology and Microbiology,
S. Gerardo Hospital, Monza, Milan; ⁴I.N.R.C.A, Casatenovo, Lecco, Italy*

Abstract. *Background: Anticancer immunity is under psychoneuroendocrine regulation, mainly via the pineal gland and brain opioid system, which may stimulate and inhibit antitumor immunity respectively. Cancer-related immuno-suppression does not depend only on functional damage of immune cells, but also on alterations of systems responsible for the neuroimmunomodulation, the most frequent of which is a decline in blood levels of the pineal hormone melatonin (MLT). Patients and Methods: A study was performed to evaluate the influence of an exogenous administration of MLT alone or MLT plus subcutaneous (SC) low-dose interleukin-2 on tumor progression and survival time in patients with untreatable metastatic solid tumors. The study included 846 patients with metastatic solid tumor (non-small cell lung cancer or gastrointestinal tract tumors) randomized to receive the best supportive care only, supportive care plus MLT (20 mg/day, orally in the evening), or MLT plus SC low-dose IL-2 (3 MIU/day for 5 days/week, for 4 consecutive weeks). Results: The MLT alone was able to induce a significant increase of disease stabilization and survival time with respect to supportive care alone. The association of IL-2 with MLT provided a further improvement in the percentage of tumor regressions and of 3-year survival with respect to MLT alone. Conclusion: The administration of IL-2 and the pineal hormone MLT may induce control of neoplastic growth and a prolonged*

survival time in patients with metastatic solid tumors, for whom no other conventional anticancer therapy is available.

Psychoneuroimmunology, also termed neuroimmunomodulation, is the medical science which studies the psychochemical mediation of the influence of emotions and consciousness states on the immune system. The application of psychoneuroimmunology to medical oncology does not relate only to therapy of cancer, but also to the physiopathological interpretation of the neoplastic disease and the mechanisms of its progression (1, 2). The existence of cancer-related immunosuppression has been demonstrated by several experimental and clinical observations (3-5). However, at the onset of neoplastic disease, the immunosuppressive status would not depend on the primary damage to immune cells but would be due to alterations of the psychoneuroendocrine regulation of the anticancer immune response. In fact, recent advances in the psychoneuroimmunology have demonstrated that the immune response is physiologically under a psychoneuroendocrine regulatory control. As far as anticancer immunity is concerned, it is mainly stimulated by the pineal gland and inhibited by the opioid system (6, 7). In fact, pinealectomy has been proven to reduce the IL-2-dependent anticancer immune response (6), with the subsequent stimulation of cancer progression (8), whereas stress-induced stimulation of cancer growth appears to be mediated by endogenous opioids and to be antagonized by the opioid antagonist naloxone (7). In addition, the progression of neoplastic disease has been shown to be associated with a progressive deficiency of the neuroendocrine systems responsible for the psychochemical stimulatory influence on anticancer immunity, in particular of the nocturnal production of the pineal immunomodulating oncostatic hormone melatonin (MLT) (9, 10), which would

Correspondence to: Dr. Paolo Lissoni, Divisione di Radioterapia Oncologica, Ospedale S. Gerardo, 20052 Monza (Milano), Italy. Fax: +390392332284, e-mail: p.lissoni@hsgerardo.org

Key Words: Cancer immunotherapy, IL-2, melatonin, neuro-immunomodulation.

play a role in determining the endogenous deficiency of interleukin-2 (11). In fact, MLT appears to stimulate IL-2 production by acting on specific MLT receptors expressed by TH1-lymphocytes (12). Therefore, progressive declines in IL-2 and MLT concentrations would represent the main immune and neuroendocrine deficiencies, respectively, characterizing the dissemination of the neoplastic disease. These findings would suggest the possibility of treating the human neoplasms by simply correcting the major cancer-related neuroendocrine and immune deficiencies, in particular those of IL-2 and MLT, in an attempt to counteract cancer cell proliferation by re-establishing the psychoneuroimmune biochemistry of the status of health, which is characterized by a natural resistance against cancer development and dissemination. Therefore, it is possible to propose a new rationale for the immunotherapy of human neoplasms consisting of the reconstitution of an effective anticancer immune response by acting not only on the immune cell, but also on the psychoneuroendocrine processes involved in the physiological regulation of the immune responses, including anticancer immunity, which appears to be essentially an IL-2-dependent phenomenon (13, 14). In contrast, the immunotherapeutic regimens available to date are generally developed on the basis of only empirical criteria, without taking into consideration the neuroimmune status of cancer patients themselves. Preliminary clinical data has already shown that the concomitant administration of the pineal hormone MLT may enhance IL-2-induced lymphocytosis and IL-12 production by dendritic cells in response to IL-2 (15, 16). Because of the occurrence of a pineal hypofunction during the clinical history of neoplastic disease (9, 10), the lower *in vivo* antitumor efficacy of IL-2 with respect to its *in vitro* activity could depend at least in part on pineal deficiency. Such deficiency which may be corrected by the exogenous administration of MLT itself (17), even though MLT is not the only molecule responsible for the antitumor activity of the pineal gland (18). On this basis, a study was performed in patients with untreatable metastatic solid tumors, who received best supportive care alone, supportive care plus MLT or supportive care plus MLT plus subcutaneous (SC) low-dose IL-2, in an attempt to evaluate the impact of the correction of the major immune and neuroendocrine deficiencies occurring with cancer progression on tumor growth and survival time.

Patients and Methods

The study included 846 patients with untreatable metastatic solid tumors, whose clinical characteristics are reported in Table I. Eligibility criteria were as follows: histologically proven metastatic non-small cell lung cancer or gastrointestinal tract tumors, measurable lesions, lack of available conventional treatments because of poor clinical status or progression in response to previous standard therapies, no double tumor, no brain metastasis, and no chronic treatment with immunosuppressive agents, such as

Table I. *Clinical characteristics of 846 patients with untreatable advanced solid tumors, who received supportive care alone, supportive care plus melatonin (MLT) or supportive care plus MLT + IL-2.*

Characteristic	IL-2+MLT	MLT	Supportive care
Number of patients	275	285	286
Gender male/female	141/134	156/129	155/131
Median/Age (years)	64 (42-77)	67 (48-75)	66 (45-78)
PS (Karnofsky)	80 (60-100)	80 (70-90)	80 (70-100)
Dominant metastasis sites			
Bone	41	44	45
Lung	93	91	95
Liver	62	69	66
Liver + lung	38	37	35
Pleura	11	13	14
Peritoneum	30	31	31
Previous chemotherapies	237 (86%)	241 (85%)	239 (84%)

corticosteroids or opioids. According to tumor histotype and sites of metastases, patients were randomized to be treated with the best supportive care alone, supportive care plus MLT or supportive care plus MLT plus (SC) low-dose IL-2. In accordance with findings showing its higher biological activity during the dark period of the day (19), MLT was given orally at 20 mg/day in the evening, every day without interruption. IL-2 was injected SC at a dose of 3 MIU/day in the evening for 5 days/week, for 4 consecutive weeks, corresponding to one complete immunotherapeutic cycle. A second cycle was repeated after a 21-day rest period. Patients then underwent a maintenance therapy consisting of 5 days every month, until disease progression or toxicity. The experimental protocol was explained to each patient and written consent was obtained. The clinical response was evaluated according to WHO criteria (20). The 3-year survival curves were plotted according to Kaplan-Imeier method. Data were statistically analyzed using the Chi-square test and log-rank test, as appropriate.

Results

The clinical response is shown in Table II. No spontaneous tumor regression occurred in patients treated by the only supportive care. The treatment with MLT alone induced no complete responses (CR), whereas a partial response (PR) was achieved in 10/285 (4%) patients. In contrast, in the group concomitantly treated with IL-2 plus MLT, an objective tumor regression occurred in 51/275 (19%) patients, consisting of CR in 4 (2%) and PR in the other 47 (17%) patients. Stable disease (SD) was achieved in 16/286 (6%) patients treated with supportive care alone, in 79/285 (28%) patients treated with supportive care plus MLT and in 116 (42%) patients concomitantly treated with supportive care plus MLT plus low-dose IL-2. The percentage of disease control (DC: CR+PR+SD) obtained in patients treated with MLT plus IL-2 was significantly higher with respect to that achieved in both the other groups (*p*<0.001). In the same way, the percentage of DC observed in patients treated with MLT was

Table II. Clinical response (WHO criteria) in patients with advanced solid tumor treated with supportive care alone, supportive care plus melatonin (MLT) or supportive care plus neuroimmunotherapy with IL-2 plus MLT.

Histotype	IL-2 + MLT						MLT						Supportive care					
	CR	PR	CR+ PR	SD	DC	PD	CR	PR	CR+ PR	SD	DC	PD	CR	PR	CR+ PR	SD	DC	PD
Non-small cell lung cancer	0	17	17 (18%)	44	61 (66%)		0	3	3 (3%)	41	44 (46%)		7	0	0	7	7 (7%)	
Colorectal cancer	0	7	7 (14%)	19	26 (51%)		0	2	2 (4%)	14	16 (30%)		0	0	0	5	5 (9%)	
Gastric cancer	1	7	8 (24%)	13	21 (62%)		0	1	1 (3%)	6	7 (19%)		0	0	0	1	1 (3%)	
Pancreatic cancer	1	4	5 (11%)	17	22 (50%)		0	2	2 (4%)	11	13 (29%)		0	0	0	0	0	
Biliary tract cancer	0	1	1 (7%)	5	6 (36%)		0	0	0	2	2 (13%)		0	0	0	0	0	
Hepato carcinoma	2	11	13 (33%)	18	31 (79%)		0	2	2 (5%)	5	7 (18%)		0	0	0	3	3 (8%)	
Overall patients	4	47	51 (19%)*	116	167 (61%)**	108 (39%)	0	10	10 (4%)	79	89 (31%)+	196 (69%)	0	0	0	16	16 (6%)	270 (94%)

§CR: complete response; PR: partial response; SD: stable disease; DC: disease-control (CR + PR + SD); PD: Progressive disease. * $p < 0.01$ vs. MLT, $p < 0.001$ vs. Supportive care; ** $p < 0.001$ vs. MLT and Supportive care; + $p < 0.005$ vs. Supportive care.

also significantly higher than that seen in patients who received only supportive care ($p < 0.005$). The remaining 270/286 (94%) treated with supportive care alone, 196/285 (69%) treated with supportive care plus MLT and 108/275 (39%) treated with supportive care plus MLT plus IL-2 had a progressive disease (PD). The 3-year survival curves for the different groups of patients are illustrated in Figure 1. The survival time achieved in patients treated with MLT was significantly longer than that found in patients undergoing supportive care only ($p < 0.05$), while that obtained in patients concomitantly treated with MLT and IL-2 was significantly longer with respect to both patients treated with MLT ($p < 0.05$) and those with only supportive care ($p < 0.001$). In more detail, no patient treated with the supportive care alone was alive at 2 years, whereas 2-year survival was achieved in 6/285 (2%) patients of the MLT group and in 29/275 (11%) patients treated with IL-2 plus MLT. Moreover, no patient treated with MLT alone was alive at 3 years, whereas 3-year survival was obtained in 13/275 (5%) patients concomitantly treated with MLT and IL-2. No MLT-related toxicity was observed, nor was any important clinical complication seen in patients concomitantly treated with IL-2, since the only side-effect observed was fever less than 38°C during the first days of injection.

Discussion

This study confirms in a great number of patients with untreatable metastatic cancer that biological therapeutic approaches may counteract the clinical evolution of the neoplastic disease and prolong the survival time. This evidence demonstrates that cancer-related neuroimmune alterations do not constitute a simple epiphenomenon, but could be involved in the physiopathological mechanisms responsible for cancer progression, which would be at least in part a consequence of the disruption of the psychoneuroimmune functional status occurring in the neoplastic disease. The simple administration of the pineal hormone MLT, in an attempt to correct the main cancer-related endocrine deficiency, appears to be able to prolong the survival time, which may be further improved by the correction of the major cancer-related immune deficiency, namely the progressive decline in the endogenous production of IL-2. It is thus probable that more interesting therapeutic results may be achieved by the correction of other important neuroendocrine alterations, such as diminished activity of the endocannabinoid system (21), and immune anomalies, such as IL-12 secretion following the diminished activity

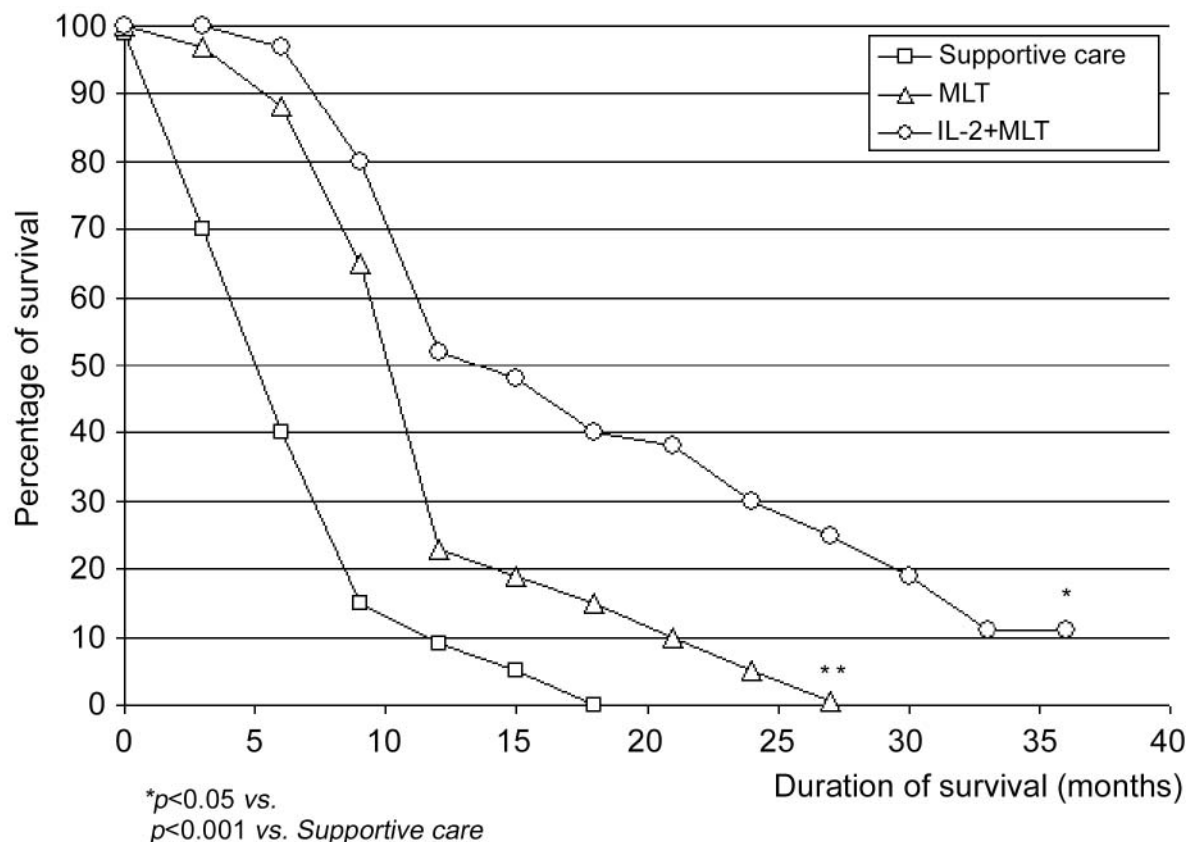


Figure 1. Three-year survival curves of patients with untreatable metastatic solid tumors receiving supportive care alone, supportive care plus the pineal hormone melatonin (MLT) or supportive care plus neuroimmunotherapy with subcutaneous low-dose IL-2 plus MLT.

of dendritic cells (22, 23). Finally, further more promising results may be achieved through an intermittent and more prolonged administration of IL-2, as demonstrated by other authors (24). This study suggests that future immunotherapies of cancer will need to be designed and established not only in an empirical manner (25-27), but also on the basis of the psycho-neuroimmune physiopathological alterations occurring in cancer that are responsible for the evolution of the neoplastic disease until the death of the patients.

References

- Riley V: Psychoneuroendocrine influences on immunocompetence and neoplasia. *Science* 212: 1100-1109, 1981.
- Rubinow DR: Brain, behaviour and immunity: an interactive system. *J Natl Cancer Inst Monogr* 10: 79-82, 1990
- Chouaib S and Fradelizi D: The mechanism of inhibition of human IL-2 production. *J Immunol* 129: 2463-2468, 1982.
- Atzpodien J and Kirchner H: Cancer, cytokines and cytotoxic cells: interleukin-2 in the immunotherapy of human neoplasms. *Klin Wochenschr* 68: 1-11, 1990.
- Fumagalli L, Lissoni P, Di Felice G, Meregalli S, Valsuani G, Mengo S and Rovelli F: Pre-treatment serum markers and lymphocyte response to interleukin-2 therapy. *Br J Cancer* 80: 407-411, 1999.
- Maestroni GJM: The immunoneuroendocrine role of melatonin. *J Pineal Res* 14: 1-10, 1993.
- Jankovic BD: Neuroimmunomodulation. *Ann NY Acad Sci* 741: 3-38, 1994.
- Lissoni P, Cangemi P, Pirato D, Roselli MG, Rovelli F, Brivio F, Malugani F, Maestroni GJM, Conti A, Laudon M, Malysheva O and Giani L: A review on cancer-psycho-spiritual interactions. *Neuroendocrinol Lett* 22: 175-180, 2001.
- Conti A and Maestroni GJM: The clinical neuroimmunotherapeutic role of melatonin in Oncology. *J Pineal Res* 19: 103-110, 1995.
- Lissoni P: Is there a role for melatonin in supportive care? *Supp Care Cancer* 10: 110-116, 2000.
- Brzezinski A: Melatonin in humans. *N Engl J Med* 336: 186-195, 1997.
- Guerrero JM and Reiter RJ: Melatonin-immune system relationships. *Curr Topics Med Chem* 2: 167-180, 2002.
- Grimm EA, Mazumder A, Zhang HZ and Rosenberg SA: Lymphokine-activated killer cell phenomenon. *J Exp Med* 155: 1823-1841, 1982.

- 14 Rosenberg SA: The immunotherapy and gene therapy of cancer. *J Clin Oncol* 10: 1801-191, 1992.
- 15 Lissoni P, Barni S, Tancini G, Ardizzoia A, Ricci G, Aldeghi R, Brivio F, Tisi E, Rovelli F and Rescaldani R: A randomized study with subcutaneous low-dose interleukin-2 alone vs interleukin-2 plus the pineal neurohormone melatonin in advanced solid neoplasms other than renal cancer and melanoma. *Br J Cancer* 69: 196-199, 1994.
- 16 Lissoni P, Pittalis S, Rovelli F, Vigore L, Roselli MG and Brivio F: Interleukin-2, melatonin and interleukin-12 as a possible neuroimmune combination in the biotherapy of cancer. *J Biol Regul Homeost Agents* 9: 63-66, 1995.
- 17 El-Domeiri AAH and Das Gupta TK: Reversal by melatonin of the effect of pinealectomy on tumor growth. *Cancer Res* 33: 2830-2833, 1973.
- 18 Sze SF, Ng TB and Liu WK: Antiproliferative effect of pineal indoles on cultured tumor cell lines. *J Pineal Res* 14: 27-33, 1993.
- 19 Barthsch H and Bartsch C: Effect of melatonin on experimental tumors under different photoperiods and times of administration. *J Neural Transm* 52: 269-279, 1981.
- 20 American Society of Clinical Oncology. Outcomes of Cancer Treatment for Technology Assessment and Cancer Treatment Guidelines (Special Article). *J Clin Oncol* 14: 671-679, 1996.
- 21 Grotenhermen F: Pharmacology of cannabinoids. *Neuroendocrinol Lett* 25: 14-23, 2004.
- 22 Gabrilovich DI, Chen HL, Girgis KR, Cunningham HT, Meny GM, Nadaf S, Kavanaugh D and Carbone DP: Production of vascular endothelial growth factor by human tumors inhibits the functional maturation of dendritic cells. *Nat Med* 2: 1096-1103, 1996.
- 23 Lissoni P, Vigoré L, Ferranti R, Bukovec R, Meregalli S, Mandalà M, Barni S, Tancini G, Fumagalli L and Gianni L: Circulating dendritic cells in early and advanced cancer patients: diminished percent in the metastatic disease. *J Biol Regul Homeost Agents* 13: 216-219, 1999.
- 24 Recchia F, Saggio G, Nuzzo A, Biondi E, Blasio A, Di Cesta A, Candeloro G, Alesse E and Rea S: Multicentric phase 2 study of interleukin-2 and 13-cis retinoic acid as maintenance therapy in advanced non-small cell lung cancer. *J Immunother* 29: 87-94, 2006.
- 25 Mills E, Wu P, Seely D and Guyatt G: Melatonin in the treatment of cancer: a systematic review of randomized controlled trials and meta-analysis. *J Pineal Res* 39: 360-366, 2005.
- 26 Ahmadzadeh M and Rosenberg SA: IL-2 administration increases CD4⁺CD25^{hi} Foxp3⁺ regulatory T cells in cancer patients. *Blood* Vol. 107, No. 6: 2409-2414, 2006.
- 27 Zheng SG, Wang J, Wang P, Gray JD and Horwitz DA: IL-2 is essential for TGF- β to convert naive CD4⁺CD25⁻ cells to CD25⁺Foxp3⁺ regulatory T cells and for expansion of these cells. *J Immunol* 178: 2018-2027, 2007.
- 28 Ahn JS, Krishnadas DK and Agrawal B: Dendritic cells partially abrogate the regulatory activity of CD4⁺CD25⁺ T cells present in the human peripheral blood. *Int Immunol* 19(3): 227-237, 2007.

Received October 15, 2007
Revised December 15, 2007
Accepted February 8, 2008