

Inter-institutional Variation in Intensity-modulated Radiotherapy for Breast Cancer in Korea (KROG 19-01)

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Abstract. Background/Aim: To present the variations in the target delineation and the planning results of intensity-modulated radiation therapy (IMRT) for breast cancers. Patients and Methods: We requested the target volumes and organs at risk delineation for two cases of left breast cancers, and evaluated the IMRT plans including the supraclavicular and internal mammary node irradiation. Results: Twenty-one institutions participated in this study. Differences in the planning target volume among institutions reached up to three-times for breast-conserving surgery (BCS) case and five-times for mastectomy case. Mean heart

doses ranged from 3.3 to 24.1 Gy for BCS case and from 5.0 to 26.5 Gy for mastectomy case. Ipsilateral lung volumes receiving more than 20 Gy ranged from 4.7 to 57.4% for BCS case and from 16.4 to 55.5% for mastectomy case. Conclusion: There were large variations in the target delineation and planning results of IMRT for breast cancers among institutions. Considering the increased use of breast IMRT, more standardized protocols are needed.

Intensity-modulated radiation therapy (IMRT) is an effective treatment method to limit the doses delivered to the surrounding normal tissues while delivering sufficient doses to the target volumes. IMRT has been increasingly employed in the treatment of breast cancer, especially in the setting of regional nodal irradiation in Korea (1). Recently, two randomized trials proved the survival benefit of regional nodal irradiation, including the radiation of internal mammary lymph nodes (LN) in node-positive or high-risk node-negative patients (2, 3). More patients will receive radiation therapy (RT) for the treatment of internal mammary LNs according to the guidelines (4). Additionally, IMRT has also been suggested as one of the most heart-sparing RT techniques for the treatment of left breast cancer (5). According to the many studies on the cardiotoxicity associated with left breast RT (6-8), the heart is one of the most important organs at risk (OAR). This is an important factor that should be considered in the planning process.

The use of IMRT in the routine clinical practice could help balance the survival benefit and the potentially increased cardiotoxicity of internal mammary LN RT, especially for the treatment of left breast cancer. However, we observed significant variations in the application of IMRT to breast cancer patients. Although several target volume delineation guidelines for breast cancer have been published (9-11), guidelines discrepancies promote variations across institutions (12). Also, the use of various IMRT techniques may further contribute to the heterogeneity of breast IMRT (13-16).

This study aimed to describe the variations in the target volume delineation and planning results of IMRT, including the regional nodal irradiation in Korea.

Patients and Methods

Study design. We collected the institutional policies on computed tomography (CT) simulation, dose prescription, normal tissue dose-volume constraints, and planning process from the participating investigators.

Furthermore, we selected two cases of breast cancer. The first patient displayed a stage IIB left breast cancer (pT2N1, 2 out of 3 nodes were positive). She underwent breast-conserving surgery (BCS) and sentinel lymph node biopsy. The second patient displayed a stage IIIA left breast cancer (pT3N1, 3 out of 20 nodes were positive). She underwent a total mastectomy and axillary lymph node dissection.

The participating investigators were requested to delineate the clinical target volume (CTV) and OARs on the same CT images. We ignored the differences in dose distributions caused by the different electron densities to Hounsfield unit tables. The components of the CTV and OAR were not specified but allowed to follow each institutional policy.

Regarding the IMRT planning, each institution was also requested to follow its policy regarding any specific IMRT techniques. However, the forward-planned IMRT (*i.e.*, the field-in-field technique) was not accepted in this study. Additionally, the elective regional nodal irradiation of supraclavicular and internal mammary LNs was mandatory, while tumor bed boost (*e.g.*, sequential or simultaneous integrated boost) was not included. The institutional review board approved this study (approval no. EUMC 2019-02-019) and waived the requirement of informed consent.

Dosimetric analysis. For planning evaluation, we calculated the conformity index (CI) and the homogeneity index (HI) according to the Report 62 of the International Commission on Radiation Units and Measurements as follows (17, 18):

$$CI = \frac{\text{Volume of PTV covered by the reference dose}}{\text{Volume of PTV}}$$

$$HI = \frac{\text{Minimum dose delivered to the 5\% of PTV}}{\text{Minimum dose delivered to the 95\% of PTV}}$$

We also performed a dose-volume histogram (DVH) analysis for the heart, the ipsilateral lung, the contralateral lung, and the contralateral breast. We evaluated the mean heart dose (MHD) and volume receiving more than 30 Gy (V_{30Gy}). We also measured the ipsilateral and contralateral lung volumes receiving more than 5 Gy (V_{5Gy}) and 20 Gy (V_{20Gy}), respectively. The contralateral breast was not delineated in all institutions and we only evaluated the maximal dose (D_{max} , dose receiving less than 0.3 ml).

Results

Institutional policies on breast IMRT. A total of 21 radiation oncologists from 21 institutions participated in this study. During the CT simulation, most institutions (n=16) used a breast board alone for immobilization but none used breath control. The planning target volume (PTV) was expanded by 2-10 mm from the CTV in all but two institutions. Nineteen institutions applied skin-sparing and subtracted an average of 1-5 mm of skin from the surface.

Regarding the IMRT techniques, 13 institutions employed fixed-field IMRT (dynamic or static), 7 employed volumetric modulated arc therapy (VMAT), and one employed helical tomotherapy (HT). All institutions used 6 MV photon beams. Thirteen institutions used conventional fractionation and 8 used hypofractionation.

The planning goal was usually delivering 95% (n=8) or 100% (n=7) of the prescribed dose to at least 95% of the PTV. The detailed dose prescriptions for PTV and normalization are summarized in Table I. For the dose-volume constraints of the ipsilateral lung, V_{20Gy} was the most commonly used (n=13) and its limit ranged from 20% to 40%. Only 5 institutions

Table I. Dose prescriptions for planning target volume (PTV) and normalization goal.

	No. of institutions
Dose prescription	
50 Gy/25fx	8
50.4 Gy/28fx	5
43.2 Gy/16fx	3
40.05 Gy/15fx	2
42.72 Gy/16fx	1
42.4 Gy/16fx	1
41.6 Gy/16fx	1
Normalization goal	
95% dose to 95% of PTV	8
100% dose to 95% of PTV	7
90% dose to 100% of PTV	2
97% dose to 95% of PTV	1
96% dose to 95% of PTV	1
95% dose to 100% of PTV	1
90% dose to 90% of PTV	1

applied constraints for the contralateral lung or both lungs. Only 12 institutions out of 9 considered the contralateral breast in the planning process. The mean heart dose was the most commonly used dose-volume constraint to limit the cardiac dose (n=10) but its limit ranged from 4 Gy to 15 Gy. Nineteen institutions applied an inhomogeneity correction algorithm in the dose calculation.

Target delineation. The variations in the PTV delineation between institutions are presented in Figure 1 (BCS case) and Figure 2 (mastectomy case). The volumetric data of the PTV, heart, and ipsilateral lung are presented in Table II. Because this study did not specify the components of the CTV, such as the supraclavicular LN and the internal mammary LN, the specific comparisons between these components among institutions were unavailable.

Dosimetric data

1) Planning target volume. For the BCS case, the mean values of $D_{95\%}$ and $D_{5\%}$ were 45.0 ± 4.5 Gy and 49.3 ± 5.2 Gy, respectively. The mean values of CI and HI were 0.80 ± 0.24 and 1.10 ± 0.04 , respectively. For the mastectomy case, the mean values of $D_{95\%}$ and $D_{5\%}$ were 43.4 ± 6.6 Gy and 48.1 ± 7.2 Gy, respectively. The mean values of CI and HI were 0.98 ± 0.31 and 1.11 ± 0.04 , respectively.

2) Heart. Figure 3A and B showed the DVHs obtained from the contours by different investigators for the heart of the BCS and mastectomy cases, respectively.

The MHD ranged from 3.3 Gy to 24.1 Gy (median, 12.5 Gy) and the V_{30Gy} from 0% to 28.1% (median, 4.7%) for the

BCS case. For the mastectomy case, the MHD ranged from 5.0 Gy to 26.5 Gy (median, 12.1 Gy) and V_{30Gy} from 0% to 35.6% (median, 6.7%) (Table III).

3) Ipsilateral lung. Figure 4A and B shows the DVHs obtained from the contours by different investigators for the ipsilateral lung of the BCS and mastectomy cases, respectively.

For the BCS case, the median value of V_{20Gy} was 29.5% (range=4.4-57.4%) and the median value of V_{5Gy} was 90.2% (range=37.9-100.0%). For the mastectomy case, the median value of V_{20Gy} was 30.9% (range=16.4-55.5%) and the mean value of V_{5Gy} was 92.5% (range=45.4-100.0%) (Table III).

4) Contralateral lung and contralateral breast. The V_{20Gy} for the contralateral lung ranged from 0% to 59.8% (median, 0.1%) and from 0% to 4.1% (median=0.6%) for the BCS and the mastectomy cases, respectively. The mean value of V_{5Gy} was 34.4% (range=0.7-91.7%) and 47.0% (range=2.1-85.4%) for the BCS and the mastectomy cases, respectively (Table III).

For the BCS case, the D_{max} for the contralateral breast ranged from 5.5 Gy to 30.6 Gy (median, 17.8 Gy). For the mastectomy case, it ranged from 12.0 Gy to 32.6 Gy (median, 20.3 Gy) (Table III).

Discussion

In this study, the CTV delineation was allowed to follow each institutional policy. The PTV margin ranged from 0 mm to 10 mm. With a 0-5 mm subtraction from the skin surface, the PTV volumes were different by up to three- and five-times for the BCS and the mastectomy cases, respectively, among institutions. In addition to these variations in the target volume delineation, we observed large variations in the dose-volume constraints for the OAR, which resulted in a wide range of OAR doses among institutions.

A similar finding was also reported in the United States (19). Nine different radiation oncologists compared the target volumes. They found that the breast volume of the node-positive BCS patient ranged from 413.5 cm³ to 1070.6 cm³ and the chest wall volume of the mastectomy patient ranged from 361.5 cm³ to 949.9 cm³. They observed a greater variation in the delineation of the regional nodes, although the absolute volume and their differences were relatively small. Because they did not apply a PTV margin except for the boost PTV, the overall PTV difference might be greater. Based on these results, the Radiation Therapy Oncology Group suggested an atlas for breast cancer RT (9). A similar guideline was also suggested by the European Society for Radiotherapy and Oncology (10) and the Danish Breast Cancer Cooperative group (11). However, these guidelines displayed discrepancies especially for the extent of the nodal target volumes (12). Therefore, a large difference can still be observed in the target volume of node-positive cases requiring regional nodal irradiation.



Figure 1. Contours of planning target volumes in the level of clavicular head (upper) and of mid-breast (middle) in an axial plane and in a coronal plane (lower) for a breast conserving surgery case.

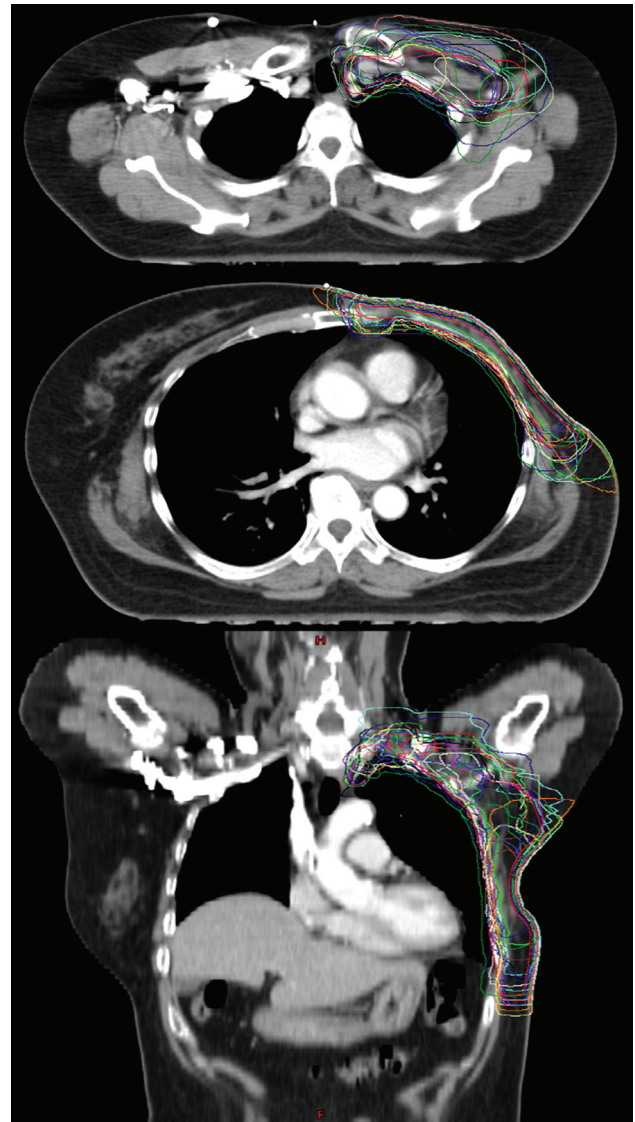


Figure 2. Contours of planning target volumes in the level of clavicular head (upper) and of mid-breast (middle) in an axial plane and in a coronal plane (lower) for a mastectomy case.

Inter-observer variation in the target volume delineation is not a new issue regarding the standardization of RT in several other primary sites (20-22). However, such a discrepancy is still observed even in head and neck cancer cases that have a long history of IMRT application (23). Recently, it was noted that an atlas-based auto-segmentation could reduce the inter-observer variation in target volumes (24). Additionally, a deep learning-based auto-segmentation is more consistent compared with an atlas-based one (25). Moreover, a digital platform such as Anatom-e, which provides an atlas as well as guidelines and protocols, could

facilitate target contouring and decrease the inter-observer variability (26).

The aforementioned study performed in the United States already showed that the variability in target delineation can result in dramatic variations in the dosimetric results even after applying the same planning goal (19). Besides the difference in the target delineation, various IMRT techniques are also employed among institutions. In our study, a fixed-field IMRT was more commonly used than a VMAT or HT. Because the aim of our study was not to prove the superiority of a specific IMRT technique over

Table II. Volumetric data of planning target volume (PTV) and organs at risk.

	Breast conserving surgery		Mastectomy	
	Median	Range	Median	Range
PTV (cm ³)	1092.3	(502.3-1550.1)	953.7	(243.3-1347)
Heart (cm ³)	597.3	(390.6-735.7)	672.7	(477.6-798.7)
Ipsilateral lung (cm ³)	732.2	(716.8-814.8)	1024.2	(998.0-1116.3)

Table III. Dosimetric data of organs at risk.

	Breast conserving surgery		Mastectomy	
	Median	Range	Median	Range
Heart				
Mean heart dose (Gy)	12.5	(3.3-24.1)	12.1	(5.0-26.5)
V _{30Gy} (%)	4.7	(0.0-28.1)	6.7	(0.0-35.6)
Ipsilateral lung				
V _{20Gy} (%)	29.5	(4.4-57.4)	30.9	(16.4-55.5)
V _{5Gy} (%)	90.2	(37.9-100.0)	92.5	(45.4-100.0)
Contralateral lung				
V _{20Gy} (%)	0.1	(0.0-59.8)	0.6	(0-4.1)
V _{5Gy} (%)	34.4	(0.7-91.7)	47.0	(2.1-85.4)
Contralateral breast				
D _{max} (Gy)	17.8	(5.5-30.6)	20.3	(12.0-32.6)

others, we did not give any detailed guidelines to the investigators regarding the planning process, such as dose fractionation, dose prescription, and dose-volume constraints. Considering the target and OAR volumes as well as the planning process were different among institutions, our ability to compare the dosimetric data among the different IMRT techniques was somewhat limited. Many dosimetric studies have been published regarding optimal IMRT techniques for breast cancer (13-16). In our study, we also used different targets and planning goals, therefore, the direct comparison of the dosimetric data across studies should be performed with caution.

MHD is an important dose-volume parameter that was reported to predict acute coronary events in a study by Darby *et al.* (8). In our study, MHD was the most commonly used dose-volume constraint. However, optimal constraints for the heart, including the internal mammary LN, are not yet established in the IMRT planning. In a single institutional prospective study from the Memorial Sloan Kettering Cancer Center, the average MHD by fixed-field IMRT, including internal mammary LN, was 13.2 Gy (27), which was similar to our results. To further decrease MHD, the breath-hold technique combined with IMRT could be considered for

eligible patients (28), although the institutions in our study did not employ any breath controls.

For the ipsilateral lung, the V_{20Gy} was the most commonly used dose-volume constraint in this study. According to the Danish Breast Cancer Cooperative Group, the ipsilateral lung V_{20Gy} is recommended to limit less than 35% in cases including the periclavicular LN. However, no specification is provided when an internal mammary LN RT is also given (11). The median ipsilateral lung V_{20Gy} was 29% in the study performed at the Memorial Sloan Kettering Cancer Center (20), which was again similar to our results. The researchers also reported that the long-term pulmonary outcomes were acceptable, justifying the use of fixed-field IMRT in node-positive breast cancer.

Recently, Verbakel *et al.* reported the results of a quality improvement program in the RT planning for head and neck cancer (29). They compared the planning results based on the same target volumes and planning goals among the participating institutions and distributed all anonymized plans. The authors also shared the optimization strategy of the best plan in terms of OAR sparing and requested to repeat this plan for the same case. They observed an improved OAR sparing through this intervention. Given the

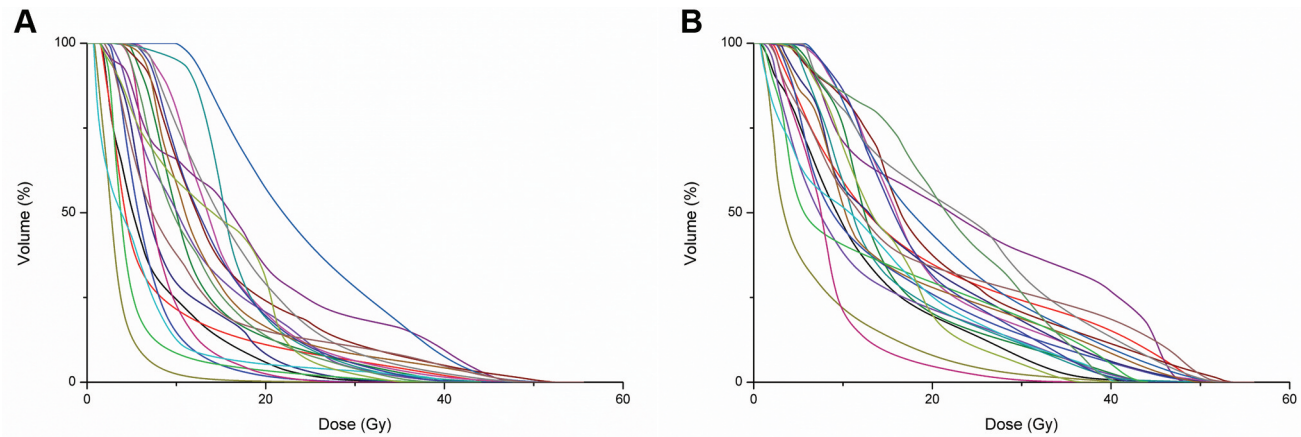


Figure 3. Dose-volume histograms for the heart (A) and left lung (B) for a breast conserving surgery case.

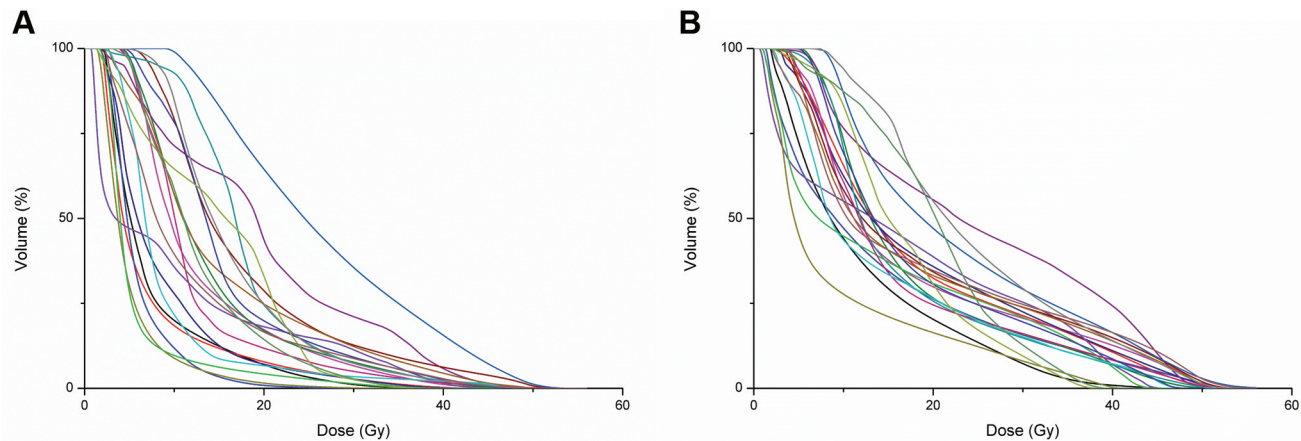


Figure 4. Dose-volume histograms for the heart (A) and left lung (B) for a mastectomy case.

large variations in the target volumes and planning results in our study, a similar approach could improve the quality of breast IMRT.

There are several limitations to this study. We did not request to follow any specific contouring guidelines and the components of the CTV were not identical among institutions. Therefore, we could not determine which components of the CTV mainly contributed to the variation. The dose-volume constraints were also dependent on the institutional policy, which partly resulted in a wide range of OAR doses. However, the aim of our study was not to select the best answer among several contouring guidelines, dose-volume constraints, or IMRT techniques but to describe the current status of breast IMRT among institutions. Given the large variations observed in this study, a prospective interventional study to improve the quality of breast IMRT,

both in the target volume consistency and OAR sparing, is being planned.

In conclusion, we observed large variations in the target delineation and planning results of IMRT for breast cancer among Korean institutions. Considering the increased use of breast IMRT, more standardized protocols are needed.

Conflicts of Interest

There were no conflicts of interest related to this article.

Authors' Contributions

Conception and design: SKH; Provision of study materials: all Authors; Collection and assembly of data: KK, CM and JH; Data analysis and interpretation: KK and CM; Manuscript writing: all authors; Final approval of manuscript: all Authors.

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