

Clinical Significance and Phenotype of MTA1 Expression in Esophageal Squamous Cell Carcinoma

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Abstract. *Background/Aim: Metastasis-associated gene 1 (MTA1) is considered a potential prognostic factor in esophageal cancer. We investigated the clinical relationship between MTA1, LAT1, and tumor metabolism, as evaluated by positron emission tomography (PET) in esophageal squamous cell carcinoma. Materials and Methods: We analyzed 142 esophageal squamous cell carcinoma patients who underwent curative resection without preoperative treatment. MTA1 expression was assessed by immuno-zahistochemistry, and tested against standardized uptake values from preoperative PET-CT. The association among MTA1, LAT1, and ¹⁸FAMT PET results were analyzed. Results: MTA1 staining was observed in 82 of 142 cancer tissues. Five-year overall survival was 69.9 % in the absence of MTA1, but 50.7% otherwise (p=0.021), while disease-free survival was 66.5% and 49.0% (p=0.071), respectively. Abnormal ¹⁸FAMT accumulation was noted in 13 patients without MTA1 and in 18 patients with MTA1 (p=0.079), with maximum standardized uptake value 1.6±1.6 and 2.7±1.6, respectively (p=0.036). MTA1 expression was positively correlated with LAT1 (p=0.013) and CD34 (p=0.034) expression, but not with Ki-67 (p=0.078). Conclusion: MTA1 shows promise as a diagnostic and prognostic marker in esophageal cancer, and we anticipate that the gene will also prove to be a good therapeutic target.*

Esophageal cancer is the eighth most common cancer worldwide, and prognosis remains poor despite progress in combined-modality therapy (1). Esophageal cancer develops as two major histological forms, namely squamous cell carcinoma and adenocarcinoma. The former is common in Eastern Europe and Asia, especially Japan, while the latter is prevalent in western countries. Most patients are diagnosed at advanced stages, and thus follow an unfavorable clinical course. To improve prognosis, new biomarkers and therapeutic methods are needed.

Metastasis-associated gene 1 (MTA1), the first MTA gene to be identified, was originally found by Toh *et al.* (2) through differential screening of a cDNA library from rat metastatic breast tumors. MTA1 was also found to physically interact with histone deacetylase 1 (HDAC1) (3), remodel chromatin, and deacetylate histones *via* nucleosome remodeling histone deacetylation complexes (4). Subsequently, MTA1 was found to be widely up-regulated in human tumors (5). In addition, Toh *et al.* (6) recently reported that MTA1 overexpression is correlated with aggressive invasion, more extensive metastasis into lymph nodes, and worse pathologies. Thus, MTA1 may be a potential prognostic factor in esophageal squamous cell carcinoma (ESCC), although it is unclear how MTA1-induced features can be clinically detected by imaging or other modalities.

In comparison to normal cells, tumor cells have increased demand for nutrients such as glucose and amino acids. Accordingly, imaging modalities that capture the enhanced metabolism in tumors, including positron emission tomography (PET), have been developed and exploited in cancer treatment (7). For example, PET with 2-[¹⁸F]-fluoro-2-deoxy-D-glucose (¹⁸F-FDG), which is taken up by tumor cells through glucose transporter 1, has been widely used to diagnose malignant lesions (8). Indeed, this modality is

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useful not only to visualize tumor boundaries, but also to predict patient survival after esophageal cancer resection (9). Similarly, L-[3-¹⁸F]-α-methyltyrosine (¹⁸F-FAMT) is used as an amino acid tracer to image various neoplasms by PET (10). Of note, ¹⁸F-FAMT is specifically taken up by neoplasms *via* L-type amino acid transporter 1 (LAT1), while ¹⁸F-FDG is also taken up by non-tumor cells with ongoing inflammation or granulation, which may thereby generate false positives (11, 12). Previously, we demonstrated the value of ¹⁸F-FAMT imaging in treating esophageal cancer, and investigated the clinicopathological significance of LAT1 in ESCC (13, 14). However, the clinical relationship between MTA1, LAT1, and tumor metabolism, as evaluated by PET (15), has not yet been investigated in ESCC.

Materials and Methods

Patients. We analyzed 142 patients who were diagnosed with ESCC by pathology, and who underwent curative resection without preoperative treatment at Gunma University Hospital between January 2000 and December 2007. Surgical procedures were considered curative when there was no evidence of residual tumor and resected margins were microscopically free of tumors. The cohort consisted of 126 males and 16 females between 41 and 83 years (mean 64.5 years). Five-year overall and disease-free survival were 65.0% and 56.5%, respectively, with day of surgery considered as day 0. Patient characteristics are listed in Table I. Tumor specimens were histologically classified according to World Health Organization criteria (16), and pathology was assessed according to TNM Classification of Malignant Tumors 7th edition by Union for International Cancer Control (17). Postoperative clinical course was evaluated based on outpatient medical records. Tumor recurrence was confirmed by standard gastrointestinal endoscopy and/or computed tomography (CT) or ¹⁸F-FDG PET. Written informed consent was obtained from all patients with approval from the ethics committee at Gunma University Hospital.

MTA1 expression was assessed by immunohistochemistry, and tested against standardized uptake values from preoperative ¹⁸F-FDG and ¹⁸F-FAMT PET. In addition, we examined the association between standardized uptake values and LAT1 expression, which was previously measured by immunohistochemistry in 42 of the 142 patients who underwent preoperative ¹⁸F-FAMT PET (15). Finally, we analyzed the association among MTA1, LAT1, and ¹⁸F-FAMT PET results using updated follow up data for these 42 patients.

Immunohistochemistry. *MTA1:* MTA1 expression was examined by immunohistochemistry of 4-μm paraffin sections of cancerous and normal esophageal epithelium, using a 1:300 dilution of the rabbit monoclonal antibody D40D1 (Cell Signaling Technology, Japan). Briefly, sections were deparaffinized, rehydrated, soaked in methanol with 0.3% hydrogen peroxide to quench endogenous peroxidase activity, and boiled in Immunosaver (Nishin EM, Tokyo, Japan) at 98°C for 45 min to maximize antigen exposure. Specimens were then blocked at room temperature for 30 min with Protein Block (Dako, CA, USA), probed with anti-MTA1 overnight at 4°C, and visualized with HISTOFINE Simple Stain MAX-PO (MULTI) (Nichirei, Tokyo, Japan) according to the manufacturer's protocol, using as chromogen 0.02% 3,3'-diaminobenzidine tetrahydrochloride in 50

mM ammonium acetate-citrate acid buffer supplemented with 0.005% hydrogen peroxide. Sections were counterstained with Mayer's hematoxylin, and mounted. Specimens not probed with primary antibody were used as negative control, and tumor tissues were considered to express MTA1 when stronger nuclear staining was observed in comparison to normal epithelial cells.

LAT1, Ki-67, and CD34. LAT1, Ki-67, and CD34 expression was previously measured by immunohistochemistry (18) using mouse monoclonal antibodies against LAT1 (provided by Dr. H Endou at J-Pharma, Tokyo, Japan and described in ref. 19, 1:3,200), CD34 (Nichirei, Tokyo, Japan, 1:800), and Ki-67 (Dako, Glostrup, Denmark, 1:40). Tissues were considered to express LAT1 when clear membrane staining was present regardless of cytoplasmic staining. In addition, LAT1 expression was deemed high when over 50% of cells in a tissue were stained. On the other hand, areas with a concentration of Ki-67 staining were considered hot spots, and at most four of such hot spots were evaluated in each specimen. At least ~100 nuclei in each hot spot were assessed, and cells with nuclear staining of any intensity were considered to express Ki-67. Finally, proliferative activity (Ki-67 index) was assessed as the percentage of stained nuclei. CD34-positive vessels in hot spots were also counted at 400× (0.26 mm² field area), and microvessel density was calculated as the number of microvessels per 0.26 mm² field.

Sections were assessed by light microscopy by at least two of the authors blinded to both the sample and the patient outcome. Similarly, two authors independently graded staining intensity in all cases. To test intraobserver variability, each section was reassessed by the same investigators at least 4 weeks after the first assessment. Interobserver variability was also determined by comparing the initial measurements from two investigators.

¹⁸F-FDG and ¹⁸F-FAMT PET. Of the 142 patients, 117 underwent preoperative ¹⁸F-FDG PET, and another 42 underwent preoperative ¹⁸F-FAMT PET. Maximum standardized uptake values were evaluated, and set to zero if tracers did not clearly accumulate in the tumor. However, mean values were calculated based on all tissues regardless of accumulation.

Statistical analysis. Data were analyzed in StatMate ver 5.01. χ^2 test and Fisher's exact test were used to examine relationships among categorical variables. Data from immunohistochemistry were averaged, and correlation among MTA1, LAT1, Ki-67, CD34, and standardized uptake values for ¹⁸F-FDG and ¹⁸F-FAMT were analyzed by nonparametric Spearman's rank test, with *p*-values less than 0.05 considered statistically significant. Survival rates were calculated by the Kaplan-Meier method, and statistical significance was determined by log-rank test. Cox proportional hazards model was used for univariate and multivariate analyses.

Results

MTA1 expression in cancer patients. Immunohistochemistry revealed diffuse MTA1 staining in 82 of 142 cancer tissues. MTA1 was detected exclusively in cancer nuclei, especially in cells that would otherwise have been normal epithelia, although expression was not observed in the basal layer (Figure 1). As can be seen in Table II, cancers expressing

Table I. Patient characteristics.

Parameter	Number
Gender	
Female	16
Male	126
Age	64.5 (41-83)*
Pathological status (UICC 7th edition)	
Histology	
Well	28
Mod	78
Poor	36
Tumor depth	
pT1	63
pT2	15
pT3	58
pT4	6
Lymph node metastasis	
Absent	59
Present	83
Lymphatic permeation	
Absent	28
Present	114
Venous invasion	
Absent	37
Present	105
Recurrence	
Absent	83
Present	59
Total n=142	

*Median (range). Well, Well differentiated; Mod, moderately differentiated; Poor, poorly differentiated.

Table II. Tissues expressing metastasis-associated gene 1 (MTA1) were less differentiated ($p=0.015$), and presented increased tumor depth ($p<0.01$), lymphatic permeation ($p<0.01$), vascular invasion ($p<0.01$), and recurrence ($p=0.078$).

	MTA1 negative (n=60)	MTA1 positive (n=82)	p-Value
Gender			
Female	9	7	0.229
Male	51	75	
Age ¹	63.7±7.7	64.6±8.5	0.528
Histology ²			
Well-mod	51	55	0.015
Poor	9	27	
Tumor depth ²			
pT1	35		
pT2-4	25	54	0.0042
Lymph node metastasis ²			
Absent	28	31	0.290
Present	32	51	
Lymphatic permeation ²			
Absent	19	9	0.0022
Present	41	73	
Venous invasion ²			
Absent	24	13	0.0012
Present	36	69	
Recurrence ²			
Absent	41	44	0.078
Present	19	38	

¹mean±SD; ²UICC 7th edition. Well, Well differentiated; Mod, moderately differentiated; Poor, poorly differentiated.

MTA1 showed more progressed state. Five-year overall survival was 69.9% in the absence of MTA1, but 50.7% otherwise ($p=0.021$), while disease-free survival was 66.5% and 49.0% ($p=0.071$), respectively (Figure 2).

Lymph node metastasis was the only independent prognostic factor in multivariate analysis of both overall survival, with HR= 2.03, 95% CI=1.06-3.90, and $p=0.034$, and disease-free survival, with HR=2.23, 95% CI=1.13-4.48, and p 0.02 (Table III).

Accumulation of ¹⁸F-FDG and ¹⁸F-FAMT in cancer tissues. Of 117 patients who underwent ¹⁸F-FDG PET, 68 expressed MTA1, and 49 did not. Abnormal ¹⁸F-FDG accumulation was observed in 62 patients who expressed MTA1, and in 36 patients who did not ($p=0.0104$), with maximum standardized uptake value 7.3±5.8 in the former and 6.7±4.3 in the latter ($p=0.574$).

On the other hand, MTA1 expression was observed in 21 of 42 patients who underwent preoperative ¹⁸F-FAMT PET, but not in the other 21 patients. Abnormal ¹⁸F-FAMT accumulation

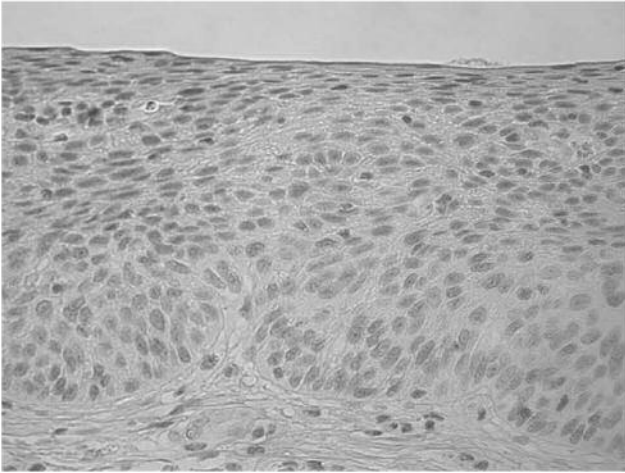
was noted in 13 patients without MTA1 and in 18 patients with MTA1 ($p=0.079$), with maximum standardized uptake value 1.6±1.6 and 2.7±1.6, respectively ($p=0.036$).

Expression of LAT1, Ki-67, CD34 in cancer patients. Analysis of LAT1, Ki-67, and CD34 expression in the same 42 patients who underwent preoperative ¹⁸F-FAMT PET indicated that LAT1 was weakly expressed in 16 of 21 patients without MTA1, but strongly expressed in the other 5. In contrast, LAT1 was abundantly expressed in 13 of 21 patients with MTA1, but only weakly expressed in the other 8 ($p=.013$). The Ki-67 index in patients without MTA1 was 39.6±20.0, but 50.2±18.0 in all others ($p=0.078$), with microvessel density 16.4±6.2 in the former and 21.1±7.7 ($p=0.034$) in the latter.

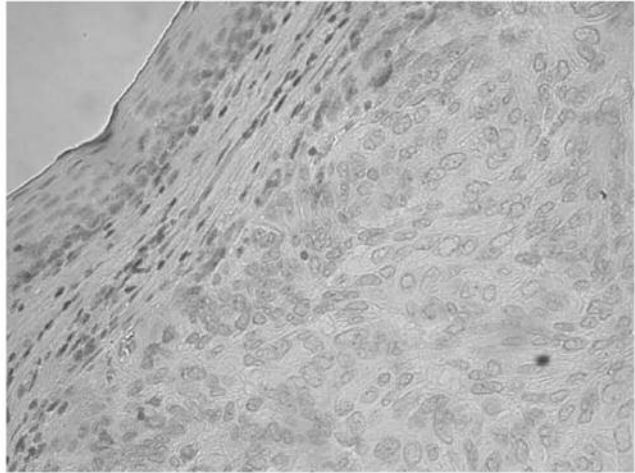
Discussion

We examined the clinicopathological significance of MTA1 in ESCC patients who underwent radical surgery without preoperative treatment, using new and published (but

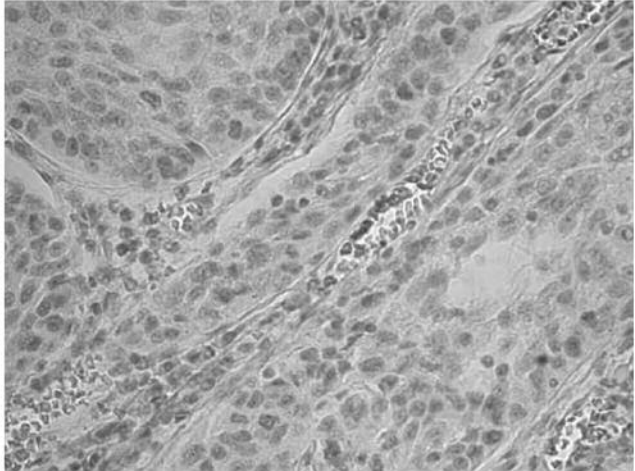
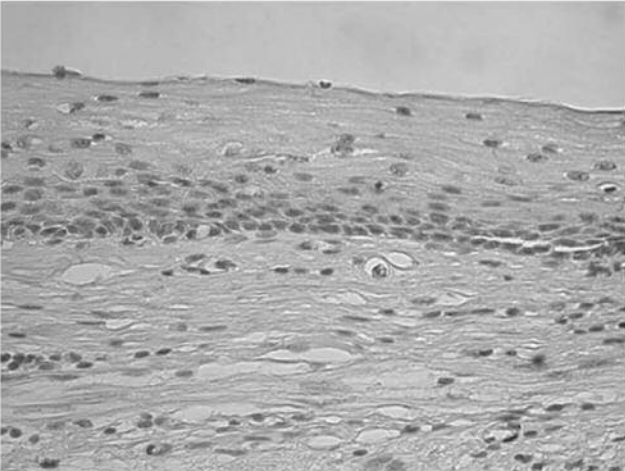
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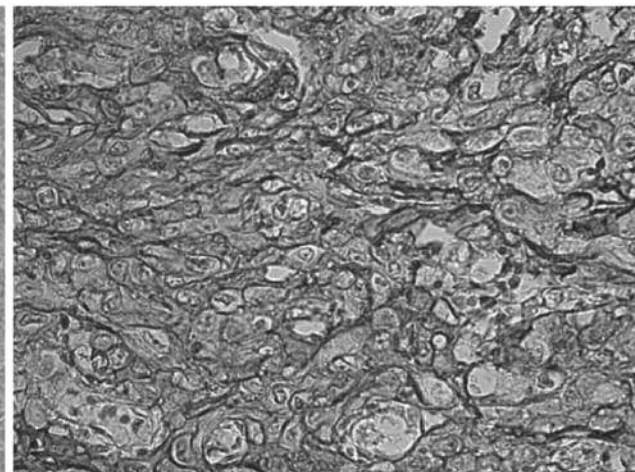
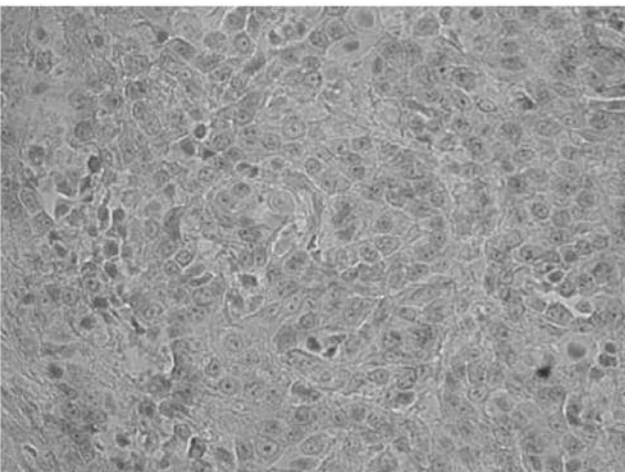


Figure 1. *Continued*

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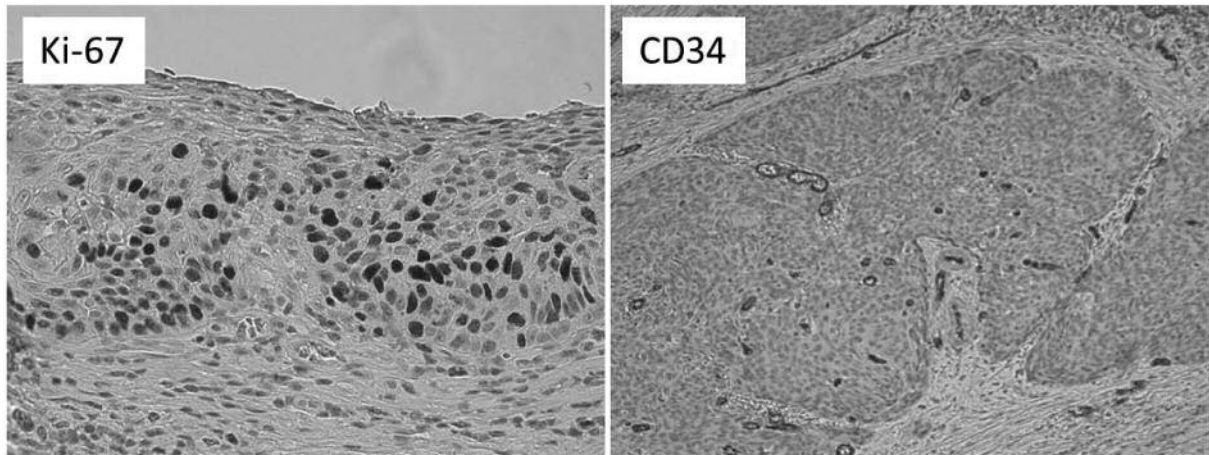


Figure 1. (A-C) Immunostaining indicates accumulation of metastasis-associated gene 1 (MTA1) in nuclei. Tumors were considered to express MTA1 when stronger nuclear staining was observed in comparison to normal epithelial cells (A). Otherwise, tissues were considered to not express MTA1 (B,C). (D) Immunostaining indicates expression of L-type amino-acid transporter 1 (LAT1) in cell membranes (right). The panel on the left is a tissue not expressing LAT1. Tumors in which over 50 % of cells were stained were considered to strongly express LAT1. (E) Immunostaining for Ki-67 and CD34. Ki-67 index was calculated as the ratio of Ki-67-stained cells to all cells. Microvessel index was calculated as the number of CD34-stained cells per field at 400 \times .

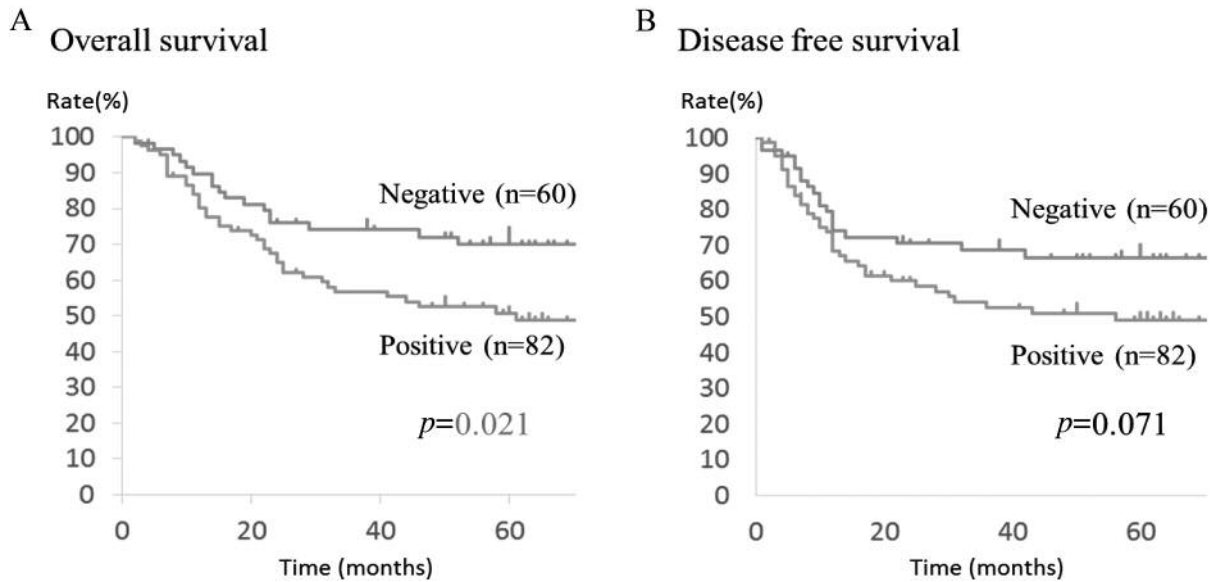


Figure 2. Kaplan-Meier analysis of five-year (A) overall and (B) disease-free survival stratified by MTA1 expression. Overall survival was significantly higher (69.9%) in patients not expressing MTA1 than in patients expressing MTA1 (50.7%, $p=0.021$), although disease-free survival was comparable at 66.5% and 49.0%, respectively ($p=0.071$).

updated) data. To our best knowledge, this is the first examination of the relationship among MTA1, LAT1, and ^{18}F -FAMT uptake. We found that MTA1 expression was associated with less tissue differentiation and more aggressive invasion into surrounding tissues and vessels,

and is thus likely to contribute to tumor malignancy, in line with previous studies (20). Indeed, MTA1 was originally identified from rat metastatic breast tumors, and abundant expression was subsequently observed in several types of cancer, highlighting its role in tumor metastasis (6),

Table III. Expression of metastasis-associated gene 1 (MTA1) was predictive of overall and disease-free survival in univariate analysis. However, lymph node metastasis was the only statistically independent predictor of poor prognosis in multivariate analysis, with $p=0.034$ for overall survival and $p=0.020$ for disease-free survival.

Variables	Overall survival						Disease free survival						
	Univariate			Multivariate			Univariate			Multivariate			
Parameter/Value/Number	HR	95% CI	p-Value	HR	95% CI	p-Value	HR	95% CI	p-Value	HR	95%CI	p-Value	
Age													
≤65	81	0.88	0.53-1.46	0.611			0.60	0.35-1.05	0.071				
>65	61												
Gender													
Female	16	1.80	0.63-5.16	0.275			1.27	0.51-3.14	0.610				
Male	126												
Histology													
Well+Mod	106	1.56	0.91-2.67	0.108			1.72	0.993-3.21	0.053				
Poor	36												
Tumor Depth													
pT1	63	2.51	1.45-4.35	0.001	1.66	0.90-3.04	0.102	3.20	1.77-5.77	<0.001	1.87	0.98-3.54	0.056
pT2-4	79												
Lymphnode metastasis													
Absent	59	2.57	1.46-4.53	0.001	2.03	1.06-3.90	0.034	3.42	1.84-6.37	<0.001	2.23	1.13-4.48	0.020
Present	83												
Lymphatic permeation													
Absent	28	2.28	1.07-4.83	0.032	0.79	0.27-2.34	0.677	3.52	1.41-8.78	0.007	1.25	0.37-4.21	0.718
Present	114												
Venous invasion													
Absent	37	2.56	1.27-5.17	0.009	1.56	0.64-3.81	0.328	3.16	1.45-6.88	0.004	1.49	0.60-3.74	0.391
Present	105												
MTA1 expression													
Negative	60	1.82	1.06-3.12	0.029	1.41	0.81-2.43	0.223	1.65	0.95-2.86	0.076	1.08	0.62-1.88	0.783
Positive	82												

HR, Hazard ratio; 95% CI, 95% confidence interval; Well, Well differentiated; Mod, moderately differentiated; Poor, poorly differentiated.

especially into lymph nodes (21). Although we did not observe a correlation between MTA1 and metastasis of esophageal cancer into lymph nodes, the latter was the only independent prognostic factor in multivariate analysis, and a significant relationship may indeed be found in a larger cohort.

MTA1 is abundantly expressed under hypoxic conditions to deacetylate hypoxia-inducible factor-1a (HIF-1a), which then induces expression of vascular endothelial growth factor (VEGF) to promote tumor angiogenesis (3, 22, 23). Accordingly, we found that MTA1 expression correlated with CD34 expression, a marker of angiogenesis, suggesting that MTA1-induced HIF-1a/VEGF signaling is a key regulator of angiogenesis in ESCC.

Although ^{18}F -FDG and ^{18}F -FAMT PET are equally useful in treating esophageal cancer, there is a wide difference in uptake, mostly as a result of differences in data collection and processing. On one hand, ^{18}F -FDG uptake is measured as the sum of glucose transport and

phosphoenzyme activity, and is relatively high. On the other hand, ^{18}F -FAMT uptake is due solely to amino acid transport, especially *via* LAT1 in malignant tumors, resulting in tumor-specific, but relatively low accumulation. Previously, we demonstrated that LAT1 expression is associated with ^{18}F -FAMT accumulation, and we now demonstrate that MTA1 expression in tumor cells is correlated both with LAT1 expression ($p=0.013$), and ^{18}F -FAMT accumulation ($p=0.036$). Although we did not investigate the mechanism underlying this relationship, MTA1 is already known to elicit expression of tyrosine kinases such as VEGF *via* chromatin remodeling. In turn, the increased tyrosine kinase activity may stimulate demand for tyrosine, which in tumor cells is transported by LAT1 as noted (15, 24). Hence, although it is possible that LAT1 is epigenetically controlled *via* MTA1-induced chromatin remodeling, the data instead suggest that MTA1 alters amino acid metabolism in ESCC *via* tyrosine kinase, and thereby elicits LAT1 expression and ^{18}F -FAMT accumulation.

Furthermore, we found that MTA1 was abundantly expressed in cancer cells, but only weakly expressed in normal squamous epithelia (21, 25), in line with Miyashita *et al.* (26), who observed nuclear accumulation of MTA1 in all stages of squamous carcinogenesis, including at formation of proliferative squamous hyperplasia, dysplasia, and carcinoma. In light of this result, MTA1 and HDAC1 were proposed to be master coregulatory molecules involved in esophageal carcinogenesis.

MTA proteins physically interact with HDAC1 and HDAC2 (27-30) to epigenetically control gene expression by deacetylating histones and altering chromatin structure (4, 31, 32). Thus, MTA/HDAC complexes are intimately involved in normal transcriptional balance (33). Accordingly, HDAC-mediated epigenetic control is often dysregulated in numerous types of cancer (34), and HDAC inhibitors were reported to induce growth arrest, differentiation, and apoptosis in transformed cells, to interfere directly with the mitotic spindle checkpoint, and to disrupt the cell cycle at the G₂ phase, allowing cells to prematurely enter the M phase (35). For example, Junfen *et al.* (34) demonstrated that the pan-HDAC inhibitor TSA inhibited cell proliferation, cell-cycle regulation, and apoptosis in esophageal squamous carcinoma cells, and suppressed PI3K/Akt and MAPK signaling (34). HDAC inhibitors were also reported to increase radio sensitivity, p21 expression, reactive oxygen species production, G₂/M arrest, and apoptosis in the same cells, as well as to decrease the mitochondrial membrane potential (36). Thus, HDAC inhibitors are believed to be appropriate treatments for ESCC in combination with radiation therapy.

RNA interference against MTA1 was shown to downregulate integrin β 1, p53, MMP9, and pAkt; to upregulate E-cadherin, MDM2, and PTEN; and to reduce cell adhesion, wound healing, invasion, and migration *in vivo* (37-39) and *in vitro* (38). Hence, new strategies to inhibit MTA1, in combination with existing treatments, may provide new avenues to treat esophageal squamous cell cancer (21). Indeed, MTA1 silencing is an alternate strategy to specifically inhibit MTA1/HDAC complexes and avoid the side effects associated with general inhibition of HDACs (37).

We note that our data were collected exclusively from squamous cell carcinomas, which comprise the majority of cases in Japan and East Asia, although adenocarcinomas are prevalent in Europe. In addition, our cohort consisted of patients who underwent radical esophagectomy without preoperative therapy, although the number of such patients continues to decrease. Hence, our cohort consisted of the small sample size. Indeed, neoadjuvant therapy is now becoming standard therapy for Stage II and III esophageal cancer, while outcomes from definitive chemoradiation therapy have continued to improve. Moreover, although ¹⁸F-

FAMT PET was adopted in our institution in 2008, it has been discontinued due to the cost and limited availability of ¹⁸F-FAMT. Hence, standardized uptake values for ¹⁸F-FAMT were available for only 42 cases, and the small sample size may have biased results. In addition, ¹⁸F-FAMT is only weakly accumulated in tumors. Thus, fewer tissues are recognized as having taken up ¹⁸F-FAMT, resulting in lower mean standardized uptake values, and potentially biasing the comparison between tissues expressing or not expressing MTA1. Finally, our results indicate only a statistical relationship between MTA1 and LAT1 in tumor cells, and further investigation is required to definitively establish a functional relationship.

Conclusion

MTA1 alters amino acid metabolism and promotes angiogenesis in tumors *via* LAT1, CD34, and HIF1- α . Hence, MTA1 shows promise as a diagnostic and prognostic marker in esophageal cancer, and we anticipate that the gene will also prove to be a good therapeutic target.

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