# Risk Factors for Regional and Systemic Metastases in Patients with Sentinel Lymph Node-negative Melanoma

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**Abstract.** Background: Sentinel lymph node status is a strong prognostic factor in melanoma. However, up to 21% of sentinel lymph node-negative patients develop locoregional and distant metastases during follow-up. Aim: To analyze risk factors for locoregional and distant metastasis in patients with sentinel lymph node-negative melanoma. Patients and Methods: A total of 545 patients underwent sentinel lymph node biopsy (SNB) between 2005 and 2013 at our hospital. Data for 449 patients with a negative SNB were analyzed regarding risk factors and development of metastases. Followup was performed until 2016. Results: A total of 72 SNBnegative patients developed metastases, including 25 (34.7%) distant and 47 (63.3%) locoregional metastases. Locoregional metastases occurred earlier compared to distant metastases (with a mean of 24.2 and 23.5 months for regional lymph node and cutaneous metastases, respectively, vs. 31.4 months for distant metastases). Patients with metastases despite negative SNB had a greater tumor thickness (p=0.001), a higher rate of nodular melanoma (p=0.001), ulceration (p<0.001), and were older (p=0.05) compared to SNB-negative patients without subsequent metastases. Out of SNB-negative patients, 16% developed metastases. Conclusion: Close clinical followup including sonography of the draining lymph node region is mandatory for melanoma patients regardless of SNB status.

In Western countries, melanoma is one of the most common types of solid cancer (1). Approximately 20,000 new

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Key Words: Cancer, melanoma, prognostic markers, lymphadenectomy, sentinel lymph node biopsy. melanoma cases are diagnosed every year in Germany, leading to 3,000 associated deaths per year (2).

Prognosis of melanoma patients is known to correlate with features such as tumor thickness, histological subtype and ulceration of the primary tumor (3). Melanoma metastasizes most commonly via lymphatic routes (4), and a tumor thickness of at least 1.0 mm increases the risk of lymphatic metastasis significantly (5). Thus, the status of the sentinel lymph node (SN) is considered a strong prognostic factor in melanoma patients and was included in the staging system for melanoma by the American Joint Committee on Cancer (6-8). Since then, SN biopsy (SNB) has become a standard procedure (9). The clinical benefit of SNB remains controversial, as improvement in overall survival by complete lymph node dissection in SNB-positive patients failed to be demonstrated in two phase III trials (10, 11), and the potential clinical benefit of SNB alone remains unproven (12). Histopathological SNB status, however, proved essential for refined staging and prognostication (3, 13, 14).

The current German guideline recommends SNB in patients with melanoma with a tumor thickness of at least 1.0 mm, or of 0.8 mm if additional risk factors such as ulceration, dermal mitoses or young patient age (<40 years) are present (15). Especially after approval of novel adjuvant therapies for stage III melanoma (16-18), SNB status is also required for clinical decision making.

A positive SN is associated with an increased risk of further metastases (14, 19). However, up to 21.0% of patients with a negative SN can still develop metastases in the draining lymph node region in due course (20). In such a case, the SNB is discussed as being a false-negative (20). Reasons for false-negative SNB include biological factors (*e.g.* tumor-or patient-specific) as well as technical factors (*e.g.* accuracy of nuclear medicine techniques, surgery, histopathology), which have been discussed in the literature (20).

The aim of this retrospective study was to analyze risk factors for regional and distant metastases in patients with SNB-negative melanoma. Therefore, data from 545 patients with melanoma who underwent SNB between August 2005 and December 2013 and subsequent follow-up at our hospital until December 2016 were evaluated. Different patient groups who underwent SNB with regard to tumor thickness, ulceration, histological subtype, localization, gender, and age were compared and these risk factors for SNB-negative patients developing metastases were analyzed.

### **Patients and Methods**

A total of 574 patients with primary cutaneous melanoma between August 2005 and December 2013 were retrospectively analyzed. All patients underwent wide excision of the primary tumor and were planned for SNB at the Department of Surgery, University Hospital Erlangen, Erlangen, Germany. In 29 patients, it was not possible to detect the SN due to various causes. Therefore, these patients were excluded from the final analysis. Thus, the patient collective for further analysis with histologically examined SNB included 545 patients.

Patient data. Data on patient demographics (gender, age), primary tumor characteristics (location, histological features: melanoma subtype, tumor thickness, ulceration), the surgical treatment (excision of the primary tumor, SNB), and date and kind of recurrence of melanoma were obtained for each patient. The location of the primary melanoma was classified into three anatomical sites: head/neck, trunk, and extremities. The histological subtype was classified into five categories: acral lentiginous melanoma, superficial spreading melanoma, nodular melanoma, lentigo maligna melanoma, and other. Histological tumor thickness was determined in all cases. Metastases were classified as regional lymph node/locoregional cutaneous metastases and distant metastases.

Clinical follow-up. Clinical follow-up of patients was performed according to the recommendations of the German Society of Dermatology (15) at the Department of Dermatology, University Hospital Erlangen, Germany including full-body skin examination, sonography of the regional draining lymph node region, and control of the serum tumor markers melanoma-inhibitory activity (MIA) and S100 (21). Clinical follow-up was performed until December 2016. SNB-negative patients who developed a recurrence within the local lymphatic draining basin of the melanoma were defined as patients with false-negative SNB.

Study groups and statistical analysis. This study compared the following groups: (i) SNB-negative patients who developed metastases vs. SNB-negative patients who did not develop metastases in the clinical follow-up; (ii) SNB-negative patients who developed primary locoregional nodal/cutaneous metastases during clinical follow-up vs. SNB-negative patients who developed primary distant metastases; (iii) SNB-negative patients who developed primary locoregional nodal/cutaneous metastases and subsequent distant metastases in clinical follow-up vs. SNB-negative patients who developed primary distant metastases without prior regional nodal/cutaneous metastases; and (iv) SNB-positive patients vs. patients with false-negative SNB.

Statistical analysis was performed using SPSS 23.0 for Microsoft Windows (IBM, Germany, Ehningen). All *p*-values were considered significant at 0.05 or less. Tables and figures were generated *via* Microsoft Excel 2016 (Microsoft, Redmond, Washington, USA).

#### Results

A total of 545 patients underwent SNB. SN was negative in 449 patients (82.4%, SNB-negative patients) whereas metastases in the SN were detected in 96 patients (17.6%, SNB-positive patients). During clinical follow-up of a median of 55 months, 72 (16.0%) out of the 449 patients with negative SN developed locoregional and distant metastases (Figure 1). Twenty-five (34.7%) out of these 72 patients developed primary distant metastases and 47 (63.3%) primary locoregional nodal/cutaneous metastases. Of the latter, 22 patients developed subsequent distant metastases in due course.

SNB-negative patients who developed metastases in clinical follow-up vs. SNB-negative patients who did not. Seventy-two patients (16.0%) out of 449 patients with a negative SNB developed metastases during clinical follow-up. SNB-negative patients who developed metastases in due course were significantly older (p=0.05) and had a significantly greater tumor thickness (p=0.001) when compared to SNB-negative patients who did not develop metastases in due course. In addition, more of these patients suffered from nodular melanoma (p=0.001) and showed ulceration of the primary tumor (p<0.001) (Table I). The results confirm that these factors are associated with metastasis development, even if the SNB is negative.

SNB-negative patients who developed primary locoregional nodal/cutaneous metastases in clinical follow-up vs. SNBnegative patients who developed primary distant metastases. Of the 72 SNB-negative patients, 25 developed primary nodal metastases in the draining lymph node region (34.7%), 22 developed primary cutaneous satellite/in-transit metastases (30.6%), and 25 developed primary distant metastases (34.7%), as shown in Figure 1. Analysis of these three subgroups showed that initial locoregional metastases were more frequently seen in patients with melanoma of the extremities, whereas distant metastases were more frequently seen in patients with melanoma of the trunk (p=0.03). Further clinicopathological parameters, e.g. gender, age, histological subtype, tumor thickness, and ulceration of the primary tumor did not significantly differ in our subgroups (Table II). Whereas locoregional nodal or cutaneous metastases occurred approximately 24.2 or 23.5 months after melanoma diagnosis, respectively, distant metastases were observed after approximately a mean of 31.1 months.

SNB-negative patients who developed primary locoregional nodal/cutaneous metastases and subsequent distant metastases in clinical follow-up vs. SNB-negative patients who developed primary distant metastases without prior locoregional nodal/cutaneous metastases. In clinical follow-

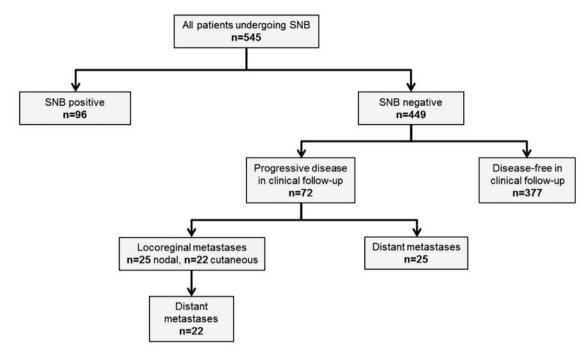


Figure 1. Overview of the whole study database (n=545). SNB: Sentinel lymph node biopsy.

up, some patients also developed primary locoregional metastases and subsequent distal metastases. Thus, we stratified these 72 patients with metastases after negative SNB into three groups: (i) patients with primary locoregional (nodal/cutaneous) metastases; (ii) patients with primary locoregional (nodal/cutaneous) and subsequent distant metastases; and (iii) patients with primary distant metastases (Table III). Although not statistically significant, more SNB-negative patients with subsequent distant metastases were male, had a thicker primary tumor and a higher rate of ulcerated primary tumor (Table III) compared to patients with locoregional but no subsequent distant metastases.

SNB-positive patients vs. patients with false-negative SNB. Nodal recurrence after negative SNB in the same nodal basin is considered a false-negative SNB. Biological factors of the tumor, as well as technical aspects of the SNB procedure, are considered to be possible causes. In our study, 25 patients (5.6%) of all SNB-negative patients) developed nodal metastases after negative SNB and thus had false-negative results. These 25 patients were compared to patients with positive SNB (n=96). Patients with false-negative SNB were significantly older (p=0.01) and more often had a melanoma of the extremities (p<0.001) when compared to patients with positive SNB (Table IV). We observed no significant differences concerning gender, histological subtype, tumor thickness, and ulceration.

Table I. Characteristics of patients with primary cutaneous melanoma with negative sentinel lymph node biopsy (SNB) who developed metastases in clinical follow-up (n=72) compared to SNB-negative patients who did not develop metastases (n=377) with respect to prognostic factors.

Characteristic	Disease-free during follow-up (n=377)	Metastases during follow-up (n=72)	<i>p</i> -Value
Gender			
Male	53.6% (n=202)	51.4% (n=37)	0.7
Female	46.4% (n=175)	48.6% (n=35)	
Age (years)			
Mean	63.2±0.9	68.1±1.5	0.05
Min/max	20-96	31-90	
Histological subtype			
ALM	5.0% (n=19)	11.1% (n=8)	0.001
LMM	1.9% (n=7)	0.0% (n=0)	
NM	44.0% (n=166)	61.1% (n=44)	
SSM	38.5% (n=145)	27.8% (n=20)	
Other	10.6% (n=40)	27.8% (n=20)	
Thickness (mm)			
Mean	$2.2 \pm 0.1$	4.0±0.5	0.001
Ulceration			
Yes	24.7% (n=93)	47.2% (n=34)	< 0.001
No	75.3% (n=284)	52.8% (n=38)	
Localization			
Head/neck	8.2% (n=31)	13.9% (n=10)	0.1
Trunk	31.3% (n=118)	22.2% (n=16)	
Extremities	60.5% (n=228)	63.9% (n=46)	

ALM: Acral lentiginous melanoma; LMM: lentigo maligna melanoma; NM: nodular melanoma; SSM: superficial spreading melanoma.

Table II. Characteristics of patients with primary cutaneous melanoma with negative sentinel lymph node biopsy (SNB) who developed primary regional nodal metastases in clinical follow-up (n=25) compared to SNB-negative patients who developed primary regional cutaneous satellite/in-transit metastases (n=22) and primary distant metastases (n=25) with respect to prognostic factors.

Characteristic	Regional nodal metastases (n=25)	Regional skin metastases (n=22)	Primary distant metastases (n=25)
Gender			
Male	52.0% (n=13)	54.5 (n=12)	48.0% (n=12)
Female	48.0% (n=12)	45.5% (n=10)	52.0% (n=13)
Age (years)			
Mean	69.9±2.4	67.2±2.6	67±2.7
Min/max	45/86	44/90	31/84
Histological subtype			
ALM	24% (n=6)	4.5% (n=1)	4% (n=1)
LMM	0.0% (n=0)	0.0% (n=0)	0.0% (n=0)
NM	48% (n=12)	68.1% (n=15)	68% (n=17)
SSM	16.0% (n=4)	9.1% (n=2)	16.0% (n=4)
UCM	12.0% (n=3)	18.2% (n=4)	12.0% (n=3)
Thickness (mm)			
Mean	$3.7 \pm 0.4$	$3.5 \pm 0.8$	4.6±1
Ulceration			
Yes	48.0% (n=12)	45.5% (n=10)	48.0% (n=12)
No	52.0% (n=13)	54.5% (n=12)	52.0% (n=13)
Localization			
Head/neck	8.0% (n=2)	22.7% (n=5)	12.0% (n=3)
Trunk	12.0% (n=3)	13.6% (n=3)	40.0% (n=10)
Extremities	80.0% (n=20)	63.6% (n=14)	48.0% (n=12)
Time until			
progression (months)			
Mean	24.2	23.5	31.1
Min/max	8/59	3/129	1/96

ALM: Acral lentiginous melanoma; LMM: lentigo maligna melanoma; NM: nodular melanoma; SSM: superficial spreading melanoma.

# Discussion

SNB is the standard of care in cutaneous melanoma which has a tumor thickness of at least 1.0 mm, or of 0.8 mm with additional risk factors (15). In melanoma with a tumor thickness of 1.0-4.0 mm, metastases in the SN are present in 25% of patients (3) which our data are in good accordance with as there were 17.6% SNB-positive patients (n=96, out of a total of 545 patients). As reported in the literature (2), tumor thickness and ulceration of the primary tumor are risk factors for positive SNB. Male gender as an independent risk factor as observed in our patient collective was also described in some prior studies (14, 22).

A negative SNB is known to be associated with a beneficial prognosis in medium- to high-risk melanoma (23). However, 16.0% of our patients (n=72, out of a total of 449 patients) still developed regional (n=25) or distant (n=47) metastases during

Table III. Characteristics of patients with primary cutaneous melanoma with negative sentinel lymph node biopsy (SNB) who developed primary regional nodal/cutaneous metastases in clinical follow-up (n=22) compared to SNB-negative patients who developed primary regional and subsequent distant metastases (n=25) and primary distant metastases (n=25) with respect to prognostic factors.

Characteristic	Primary regional metastases (n=22)	Primary regional and subsequent distant metastase (n=25)	Primary distant metastases s (n=25)
Gender			
Male	45.2% (n=14)	63.6% (n=14)	48.0% (n=12)
Female	54.8% (n=17)	36.4% (n=8)	52.0% (n=13)
Age (years)	` /	` /	` ′
Mean	66.7±2.6	68.5±2.4	67.0±2.7
Min/max	32-90	49-85	31-84
Histological subtype			
ALM	12.9% (n=4)	13.6% (n=3)	4.0% (n=1)
LMM	0.0% (n=0)	0.0% (n=0)	0.0% (n=0)
NM	54.8% (n=17)	63.6% (n=14)	68.0% (n=17)
SSM	16.1% (n=5)	13.6% (n=3)	16.0% (n=4)
UCM	16.1% (n=5)	9.1% (n=2)	12.0% (n=3)
Thickness (mm)			
Mean	$2.8 \pm 0.3$	$4.4\pm0.9$	$4.6 \pm 1.0$
Ulceration			
Yes	35.5% (n=11)	54.5% (n=12)	48.0% (n=12)
No	64.5% (n=20)	45.5% (n=10)	52.0% (n=13)
Localization			
Head/neck	12.9% (n=4)	18.2% (n=4)	12.0% (n=3)
Trunk	9.7% (n=3)	13.6% (n=3)	40.0% (n=10)
Extremities	77.4% (n=24)	68.2% (n=15)	48.0% (n=12)
Time until			
progression (month)			
Regional			
Mean	21.9	13.6	
Min/max	1/61	1/19	
Systemic			
Mean		29.5	31.1
Min/max		9/64	1/96

ALM: Acral lentiginous melanoma; LMM: lentigo maligna melanoma, NM: nodular melanoma; SSM: superficial spreading melanoma.

clinical follow-up, despite having a negative SNB. This result is within the range of 5.6-21.0% which was reported in the literature (20). The variation of recurrences is dependent on the follow-up interval. Kretschmer *et al.* reported an increase in nodal basin recurrences of SNB-negative patients of 2.5% (after 1-year follow-up) up to 12.6% (after 10 years of follow-up) in 2,653 patients (24). We, therefore, included patients at our hospital from 2005-2013 to ensure a sufficiently long follow-up interval with a mean of at least 3 years.

Our data confirm the nodular melanoma subtype, greater tumor thickness, as well as ulceration of the primary tumor as risk factors for future metastases after negative SNB (7, 25-27). Nodular melanoma compared to superficial

Table IV. Characteristics of patients with primary cutaneous melanoma with positive sentinel lymph node biopsy (SNB) compared to patients with false-negative SNB with respect to prognostic factors.

	FN SNB (n=25)	SNB pos (n=96)	<i>p</i> -Value
Gender			
Male	52.0% (n=13)	69.8% (n=67)	0.09
Female	48.0% (n=12)	30,2% (n=29)	
Age (years)			
Mean	69.9±2.4	62.4±1.7	
Min/max	45/86	20/90	0.01
Histological subtype			
ALM	24.0% (n=6)	8.3% (n=8)	0.2
LMM	0.0 (n=0)	0.0 (n=0)	
NM	48.0% (n=12)	65.6% (n=63)	
SSM	16.0% (n=4)	18.8% (n=18)	
UCM	12.0% (n=3)	7.3% (n=7)	
Thickness (mm)			
Mean (mm)	$3.7 \pm 0.4$	4.3±0.32	0.4
Ulceration			
Yes	48.0% (n=12)	49.0% (n=47)	0.93
No	52.0% (n=13)	51.0% (n=49)	
Localization			
Head/neck	8.0% (n=2)	9.4% (n=9)	< 0.001
Trunk	12.0% (n=3)	44.8% (n=43)	
Extremities	80.0% (n=20)	45.8% (n=44)	

SNB: Sentinel lymph node biopsy; FN: false-negative; pos: positive; ALM: acral lentiginous melanoma; LMM: lentigo maligna melanoma; NM: nodular melanoma; SSM: superficial spreading melanoma.

spreading melanoma in 214 consecutive patients was, for instance, associated with a higher tumor thickness as well as a higher ulceration rate, but not with a mandatory risk of recurrence (28). This co-dependence of melanoma subtype, tumor thickness, and ulceration has to be considered, as well as the size of the patient cohorts of these studies.

We stratified our 72 patients with melanoma metastases after negative SNB into three groups for detailed analysis. Twenty-five of these patients developed primary regional nodal metastases in clinical follow-up (34.7%), 22 patients developed regional cutaneous satellite/in-transit metastases (30.6%), and 25 developed primary distant metastases (34.7%). This distribution in our study differs from reports in the literature, where the majority of patients either developed regional cutaneous satellite/in-transit metastases (22) or distant metastases (27). Regarding the time interval until disease progression, our patients developed regional metastases after a mean of 24 months, whereas primary and secondary distant metastases were seen at significantly later time-points of 31 and 29 months, respectively. Jones et al. described comparable time intervals until disease progression with locoregional cutaneous (23 months) as well as distant metastases (30 months), whereas locoregional nodal metastases were already seen after approximately 14 months.

In order to perform the surgical procedure for SNB, collaboration of different medical specialties is necessary, including i) nuclear medicine for correct injection of the tracer (29) and imaging technique for localization of the SNB (30); ii) surgery with a standardized technique to define an intraoperative successful excision of the SNB; and iii) pathology with serial tissue sectioning immunohistochemistry (15), as well as additional molecularpathological techniques (31, 32). Intrinsic reasons for regional recurrence of melanoma despite a negative SNB include the biology of melanoma with lymphovascular invasion and local/in-transit recurrences (33). Moreover, patient-specific factors such as variability in the lymphatic drainage, especially observed in the head/neck region (22, 34), as well as lymphatic dysfunction in the elderly (35), and lymphatic obstruction (36) have been described. In our study, patients with false-negative SNB were significantly older than patients with positive SNB, representing a possible dysfunction of lymphatic drainage with progressive age. Our data confirm risk factors for recurrence after negative SNB such as older age, tumor thickness, and ulceration.

In summary, the current study of cutaneous melanomas demonstrated that tumor thickness, ulceration, nodular melanoma, as well as age, are significant risk factors for developing locoregional nodal/cutaneous as well as distant metastases in patients with melanoma with negative SNB. Furthermore, in patients with primary loco-regional recurrence within a short time interval after SNB, a high risk for further systemic metastases exists. Thus, close clinical follow-up including sonography of the regional draining lymph node region, as well as radiological examinations, is mandatory in patients with the presented risk factors. Interestingly, especially in older patients with negative SNB, there is an increased risk for false-negative results. Therefore, these patients need a close follow-up.

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